




NC DEPARTMENT OF
**HEALTH AND
HUMAN SERVICES**
Division of Health Benefits

ROY COOPER • Governor

KODY H. KINSLEY • Secretary

JAY LUDLAM • Deputy Secretary, NC Medicaid

SIGNATURE REQUEST MEMORANDUM

TO: Jay Ludlam ^{DS}
FROM: Ashley Blango, SPA Coordinator
RE: State Plan Amendment
Title XIX, Social Security Act
Transmittal #2024-0028

Purpose

Attached for your review and signature is a Medicaid State Plan Amendment (Health Homes) summarized below, and submitted on August 7, 2024, with a due date of August 14, 2024.

Clearance

This amendment has been reviewed for both accuracy and completeness by:

Ashley Blango, Betty J. Staton, Kathryn Horneffer, Lotta Crabtree, Adam Levinson, Melanie Bush

Background and Summary of Request

It is recommended that you sign this State Plan Amendment submission per Centers for Medicare and Medicaid Services (CMS) protocol as head of the Single State Agency administering the Medicaid program.

This SPA will temporarily increase the monthly payment rate of the State's Health Home benefit, called Tailored Care Management. With this notice, North Carolina is announcing that it will extend the \$343.97 temporary payment rate through December 31, 2024. The temporary payment rate for the period of January 1, 2025, through June 30, 2025, will remain at \$294.86. The temporary rate increases reflect the level of effort required by providers, based on available data on provider time and effort to date, to implement the Tailored Care Management model.

The proposed effective date of the SPA is July 1, 2024.

Your approval of this State Plan Amendment is requested. If you have any questions or concerns, please contact me or Ashley Blango at 919-812-6145.

NC MEDICAID

NC DEPARTMENT OF HEALTH AND HUMAN SERVICES • DIVISION OF HEALTH BENEFITS

Records / Submission Packages - Your State

NC - Submission Package - NC2024MS00030 - (NC-24-2028) - Health Homes

Summary Reviewable Units News Related Actions

CMS-10434 OMB 0938-1188

Package Information

Package ID	NC2024MS00030	Submission Type	Official
Program Name	Tailored Care Management	State	NC
SPA ID	NC-24-2028	Region	Atlanta, GA
Version Number	1	Package Status	Pending

Submission - Summary

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS00030 | NC-24-2028 | Tailored Care Management

Package Header

Package ID	NC2024MS00030	SPA ID	NC-24-2028
Submission Type	Official	Initial Submission Date	N/A
Approval Date	N/A	Effective Date	N/A
Superseded SPA ID	N/A		

State Information

State/Territory Name:	North Carolina	Medicaid Agency Name:	Department of Health and Human Services
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Submission Component

State Plan Amendment Medicaid CHIP

Submission - Summary

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS00030 | NC-24-2028 | Tailored Care Management

Package Header

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Superseded SPA ID	N/A		

SPA ID and Effective Date

SPA ID NC-24-2028

Reviewable Unit	Proposed Effective Date	Superseded SPA ID
Health Homes Intro	7/1/2024	NC-22-0024
Health Homes Population and Enrollment Criteria	7/1/2024	NC-22-0024
Health Homes Providers	7/1/2024	NC-22-0024
Health Homes Service Delivery Systems	7/1/2024	NC-22-0024

Reviewable Unit	Proposed Effective Date	Superseded SPA ID
Health Homes Payment Methodologies	7/1/2024	NC-24-0014
Health Homes Monitoring, Quality Measurement and Evaluation	7/1/2024	NC-22-0024

Submission - Summary

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS0003O | NC-24-2028 | Tailored Care Management

Package Header

Package ID	NC2024MS0003O	SPA ID	NC-24-2028
Submission Type	Official	Initial Submission Date	N/A
Approval Date	N/A	Effective Date	N/A
Superseded SPA ID	N/A		

Executive Summary

- Summary Description Including Goals and Objectives** The goals of this State Plan Amendment are to reflect the launch of North Carolina's Tailored Plans, a new NC Medicaid managed care product, to extend the temporary increase to the Health Home payment rate, and to provide assurance that North Carolina will report to CMS annually on the applicable mandatory Core Set measures:
- Currently, North Carolina's Health Home benefit is offered through Prepaid Inpatient Health Plans (PIHPs), which offer behavioral health, intellectual/developmental disability (I/DD), and traumatic brain injury (TBI) services to members enrolled in NC Medicaid Direct (i.e., North Carolina's Medicaid fee-for-service delivery system). On July 1, 2024, North Carolina launched Tailored Plans, an integrated managed care product which serves individuals with significant behavioral health conditions, I/DD, and/or TBI. With this launch, the Health Home benefit will be offered through Tailored Plans, as well as PIHPs. At Tailored Plan launch, the vast majority of Health Home members will transition from the PIHP in their region to the Tailored Plan in their region. North Carolina does not expect any disruptions in Tailored Care Management with this transition because both types of managed care products are offered by the same carriers, called local management entities/managed care organizations (LME-MCOs). The state has made changes to various reviewable units to note the launch of Tailored Plans, but there are no changes to the Tailored Care Management model.
 - North Carolina was previously approved to temporarily increase the payment rate from \$269.66 to (1) \$343.97 starting on February 1, 2024, through June 30, 2024, and (2) \$294.86 starting on July 1, 2024, through June 30, 2025 (SPA 24-0014). With this SPA, North Carolina will extend the \$343.97 temporary payment rate of through December 31, 2024. The \$294.86 temporary payment rate will take effect on January 1, 2025.
 - North Carolina provides assurance that it will report to CMS annually on all measures on the applicable mandatory Core Set measures.

Federal Budget Impact and Statute/Regulation Citation

Federal Budget Impact

	Federal Fiscal Year	Amount
First	2024	\$0
Second	2025	\$0

Federal Statute / Regulation Citation

The state elects to implement the Health Homes state plan option under Section 1945 of the Social Security Act.

Supporting documentation of budget impact is uploaded (optional).

Name	Date Created	
TCM rate increase Fiscal Impact V2.4.xlsx (002)	7/9/2024 12:55 PM EDT	

Submission - Summary

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS0003O | NC-24-2028 | Tailored Care Management

Package Header

Package ID	NC2024MS0003O	SPA ID	NC-24-2028
Submission Type	Official	Initial Submission Date	N/A
Approval Date	N/A	Effective Date	N/A

Superseded SPA ID N/A

Governor's Office Review

- No comment
- Comments received
- No response within 45 days
- Other

Submission - Medicaid State Plan

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS0003O | NC-24-2028 | Tailored Care Management

CMS-10434 OMB 0938-1188

The submission includes the following:

- Administration
- Eligibility
- Benefits and Payments
- Health Homes Program

Do not use "Create New Health Homes Program" to amend an existing Health Homes program. Instead, use "Amend existing Health Homes program," below.

- Create new Health Homes program
- Amend existing Health Homes program
- Terminate existing Health Homes program

Health Homes SPA - Reviewable Units

Only select Reviewable Units to include in the package which you intend to change.

<input type="checkbox"/>	Reviewable Unit Name	Included in Another Submission Package	Source Type
<input checked="" type="checkbox"/>	Health Homes Intro	(APPROVED
<input type="checkbox"/>	Health Homes Geographic Limitations	(APPROVED
<input checked="" type="checkbox"/>	Health Homes Population and Enrollment Criteria	(APPROVED
<input checked="" type="checkbox"/>	Health Homes Providers	(APPROVED
<input checked="" type="checkbox"/>	Health Homes Service Delivery Systems	(APPROVED
<input checked="" type="checkbox"/>	Health Homes Payment Methodologies	(APPROVED
<input type="checkbox"/>	Health Homes Services	(APPROVED
<input checked="" type="checkbox"/>	Health Homes Monitoring, Quality Measurement and Evaluation	(APPROVED

1 - 8 of 8

- 1945A Health Home Program

Submission - Public Notice/Process

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS00030 | NC-24-2028 | Tailored Care Management

Package Header

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Approval Date	N/A	Effective Date	N/A
Superseded SPA ID	N/A		

Name of Health Homes Program

Tailored Care Management

Public notice was provided due to proposed changes in methods and standards for setting payment rates for services, pursuant to 42 CFR 447.205.

Upload copies of public notices and other documents used

Name	Date Created	
24-0028 HH SPA One-Day Public Notice	7/9/2024 1:17 PM EDT	

Submission - Tribal Input

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS00030 | NC-24-2028 | Tailored Care Management

Package Header

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Superseded SPA ID	N/A		

Name of Health Homes Program:

Tailored Care Management

One or more Indian Health Programs or Urban Indian Organizations furnish health care services in this state

- Yes
- No

This state plan amendment is likely to have a direct effect on Indians, Indian Health Programs or Urban Indian Organizations, as described in the state consultation plan.

- Yes
- No

The state has solicited advice from Indian Health Programs and/or Urban Indian Organizations, as required by section 1902(a)(73) of the Social Security Act, and in accordance with the state consultation plan, prior to submission of this SPA.

Complete the following information regarding any solicitation of advice and/or tribal consultation conducted with respect to this submission:

Solicitation of advice and/or Tribal consultation was conducted in the following manner:

All Indian Health Programs

Date of solicitation/consultation:	Method of solicitation/consultation:
7/9/2024	Written correspondence via email

All Urban Indian Organizations

States are not required to consult with Indian tribal governments, but if such consultation was conducted voluntarily, provide information about such consultation below:

All Indian Tribes

The state must upload copies of documents that support the solicitation of advice in accordance with statutory requirements, including any notices sent to Indian Health Programs and/or Urban Indian Organizations, as well as attendee lists if face-to-face meetings were held. Also

upload documents with comments received from Indian Health Programs or Urban Indian Organizations and the state's responses to any issues raised. Alternatively indicate the key issues and summarize any comments received below and describe how the state incorporated them into the design of its program.

Name	Date Created	
Tribal Response Attachment for Tribal or Indian Health Services_HH SPA_Q3 2024	7/29/2024 4:13 PM EDT	

Indicate the key issues raised (optional)

- Access
- Quality
- Cost
- Payment methodology
- Eligibility
- Benefits
- Service delivery
- Other issue

Submission - Other Comment

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS0003O | NC-24-2028 | Tailored Care Management

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SAMHSA Consultation

Name of Health Homes Program

Tailored Care Management

- The State provides assurance that it has consulted and coordinated with the Substance Abuse and Mental Health Services Administration (SAMHSA) in addressing issues regarding the prevention and treatment of mental illness and substance abuse among eligible individuals with chronic conditions.

Date of consultation
8/6/2022

Health Homes Intro

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS0003O | NC-24-2028 | Tailored Care Management

Package Header

Package ID	NC2024MS0003O	SPA ID	NC-24-2028
Submission Type	Official	Initial Submission Date	N/A
Approval Date	N/A	Effective Date	7/1/2024
Superseded SPA ID	NC-22-0024		
	System-Derived		

Program Authority

1945 of the Social Security Act

The state elects to implement the Health Homes state plan option under Section 1945 of the Social Security Act.

Name of Health Homes Program

Tailored Care Management

Executive Summary

Provide an executive summary of this Health Homes program including the goals and objectives of the program, the population, providers,

services and service delivery model used

North Carolina is undergoing a Medicaid transformation, transitioning the majority of its Medicaid population from a predominantly fee-for-service delivery system to integrated managed care. Under this transformation, authorized via the state's 1115 demonstration, there will be three types of integrated managed care products, all of which will provide a robust set of physical health, behavioral health, long-term services and supports (LTSS), and pharmacy benefits:

- (1) Standard Plans, which serve the majority of beneficiaries.
- (2) Behavioral Health and I/DD Tailored Plans (Tailored Plans), which launched July 1, 2024, which serve members with significant behavioral health conditions (encompassing mental health conditions (i.e., serious and persistent mental illness, serious mental illness serious emotional disturbance) and severe substance use disorders), an intellectual/developmental disability (I/DD), and/or a traumatic brain injury (TBI).
- (3) Children and Families Specialty Plan (CFSP) for enrollees served by the child welfare system.

Beneficiaries who are delayed, exempt, or excluded from integrated managed care will remain enrolled in their current Medicaid delivery system, called NC Medicaid Direct, to access physical health services, LTSS, and pharmacy through Medicaid fee-for-service and behavioral health and I/DD services through a prepaid inpatient health plan (PIHP).

North Carolina launched its Health Home benefit, called Tailored Care Management, on July 1, 2023. The Health Home benefit is available to all NC Medicaid beneficiaries who meet the eligibility criteria described in this SPA.

In the period before the launch of Tailored Plans, all Health Home members were served by PIHPs in NC Medicaid Direct. At Tailored Plan launch, the Health Home benefit will be offered through two delivery systems: Tailored Plans and PIHPs. Tailored Plans and PIHPs are both administered by local management entity-managed care organizations (LME-MCOs), North Carolina's regionally-based and publicly-owned health plans that deliver and oversee Tailored Care Management. At Tailored Plan launch, the vast majority of Health Home members transitioned from the PIHP in their region to the Tailored Plan in their region. North Carolina does not expect any disruptions in Tailored Care Management with this transition. The LME-MCOs operate both types of managed care products for their contracted region. Thus, the assigned care manager can follow the member regardless of delivery system or whether the member is obtaining Tailored Care Management through an LME-MCO, Advanced Medical Home Plus (AMH+) practice, or Care Management Agency (CMA).

Eligible Medicaid beneficiaries are auto-enrolled in the Health Home benefit (Tailored Care Management) offered by the Tailored Plan or PIHP in their region, with the option to opt out of Tailored Care Management. Health Home members are assigned to one of three approaches for obtaining Tailored Care Management: a primary care practice certified by the state as an AMH+ practice, a behavioral health or I/DD provider certified by the state as a CMA, or a plan-based care manager. Members have the ability to exercise choice in their assignment and change that assignment. The organization that an individual is assigned to will assign a care manager who will work with a multidisciplinary care team in delivering Tailored Care Management, inclusive of the six core Health Home services.

The goal for the Health Home program is to advance the delivery of high-quality, integrated, whole-person care through better coordination and collaboration across all of an enrollee's needs.

General Assurances

- The state provides assurance that eligible individuals will be given a free choice of Health Homes providers.
- The states provides assurance that it will not prevent individuals who are dually eligible for Medicare and Medicaid from receiving Health Homes services.
- The state provides assurance that hospitals participating under the state plan or a waiver of such plan will be instructed to establish procedures for referring eligible individuals with chronic conditions who seek or need treatment in a hospital emergency department to designated Health Homes providers.
- The state provides assurance that FMAP for Health Homes services shall be 90% for the first eight fiscal quarters from the effective date of the SPA. After the first eight quarters, expenditures will be claimed at the regular matching rate.
- The state provides assurance that it will have the systems in place so that only one 8-quarter period of enhanced FMAP for each health homes enrollee will be claimed.
- The state provides assurance that there will be no duplication of services and payment for similar services provided under other Medicaid authorities.

Health Homes Population and Enrollment Criteria

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS00030 | NC-24-2028 | Tailored Care Management

Package Header

Package ID	NC2024MS00030	SPA ID	NC-24-2028
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Superseded SPA ID	NC-22-0024		
	System-Derived		

Categories of Individuals and Populations Provided Health Home Services

The state will make Health Home services available to the following categories of Medicaid participants

- Categorically Needy (Mandatory and Options for Coverage) Eligibility Groups
- Medically Needy Eligibility Groups Mandatory Medically Needy

- Medically Needy Pregnant Women
- Medically Needy Children under Age 18

Optional Medically Needy (select the groups included in the population)

Families and Adults

- Medically Needy Children Age 18 through 20
- Medically Needy Parents and Other Caretaker Relatives

Aged, Blind and Disabled

- Medically Needy Aged, Blind or Disabled
- Medically Needy Blind or Disabled Individuals Eligible in 1973

Health Homes Population and Enrollment Criteria

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS0003O | NC-24-2028 | Tailored Care Management

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Superseded SPA ID	NC-22-0024 System-Derived		

Population Criteria

The state elects to offer Health Homes services to individuals with:

- Two or more chronic conditions
- One chronic condition and the risk of developing another

Specify the conditions included:

- Mental Health Condition
- Substance Use Disorder
- Asthma
- Diabetes
- Heart Disease
- BMI over 25
- Other (specify):

Name	Description
Intellectual and Developmental Disability (I/DD), Traumatic Brain Injury (TBI), and Severe Substance Use Disorder (SUD)	- See below for description

Specify the criteria for at risk of developing another chronic condition:

Individuals with an I/DD defined as those:

- Having a qualifying I/DD diagnosis, e.g., Autistic Spectrum Disorder, Down Syndrome, Fetal Alcohol Syndrome, mild, moderate, severe, or profound developmental disabilities. For the latest list of qualifying I/DD diagnoses see Table 2 at: <https://medicaid.ncdhhs.gov/appendix-b-behavioral-health-idd-tailored-plan-criteria-0/download?attachment>.
- Being enrolled in the NC Innovations 1915(c) home and community-based services waiver targeted to people with an I/DD.
- Being on the NC Innovations waiver waiting list.
- Having used a Medicaid-covered I/DD service that will only be available via NC Medicaid Direct (i.e., used a service that is not offered through a Standard Plan).
- Having used an I/DD service funded with state, local, federal, or other non-Medicaid funds.

Research shows that individuals with I/DD are at high risk for a second chronic condition. Data from the National Core Indicator (NCI) surveys show that people with an I/DD diagnosis have a high prevalence of co-occurring obesity (33.6%), mood disorders (30%), anxiety disorders (27%), mental illness or psychiatric diagnoses (12%). One study found that chronic pain

impacts 13-15% of the population (McGuire 2013). A number of medical conditions are also common to individuals with Autism, including obesity (33.6% prevalence for children ages 2 to 17; Hill 2015), psychiatric disorders (33% prevalence in those aged 15 and older; Doshi-Velez 2014), and gastrointestinal disorders (24.3% prevalence in those aged 15 and older; Doshi-Velez 2014).

References

Doshi-Velez, Finale, et al. "Comorbidity Clusters in Autism Spectrum Disorders: An Electronic Health Record Time-Series Analysis." (2014). Available at: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3876178/>

Hill, Alison Presmanes, et al. "Obesity and Autism." (2015). Available at: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4657601/>

McGuire, Brian E, and Susan Kennedy. "Pain in People with an Intellectual Disability." (2013). Available at: https://www.researchgate.net/profile/Brian-Mcguire/publication/236060422_Pain_in_people_with_an_intellectual_disability/links/5c3202e0a6fdcc6b59768e1/Pain-in-people-with-an-intellectual-disability.pdf

National Core Indicators. "NCI Charts (2017-2018)." (2018). Available at: <https://www.nationalcoreindicators.org/charts/>

North Carolina Department of Health and Human Services. "Appendix B." (2022). Available at: <https://medicaid.ncdhhs.gov/appendix-b-behavioral-health-idd-tailored-plan-criteria-0/download?attachment> (Note: This document includes Tailored Care Management eligibility criteria)

Individuals with a TBI defined as those:

- Being enrolled in the NC TBI 1915(c) home and community-based services waiver.
- Being on the NC TBI waiver waiting list.
- Having used a TBI service funded with state, local, federal, or other non-Medicaid.

Research shows that individuals with TBI often are at high risk for a second chronic condition. For example, one study indicates that common comorbidities people with TBI may develop post-TBI include hypertension (20.1%), anxiety (19.6%), high cholesterol (17.1%), and diabetes (8.7%) (percentages show prevalence in study population; Hammond 2019). The Agency for Healthcare Research and Quality (AHRQ) notes that depression prevalence post-TBI ranges from 12.2% to 76.6% (AHRQ 2011). People with TBI are almost nine times more likely to commit suicide than are other people of similar age, sex, psychiatric diagnosis, and history of SUD (Ahmedani 2017), and 4.5 times more likely to suffer from SUD one year after injury (Weil, Corrigan, and Karelina 2016).

References

Agency for Healthcare Research and Quality (AHRQ). "Comparative Effectiveness Review Number 25, Effective Health Care Program: Traumatic Brain Injury and Depression Executive Summary." (2011). Available at: https://effectivehealthcare.ahrq.gov/sites/default/files/related_files/depression-brain-injury_executive.pdf

Ahmedani, Brian K, et al. "Major Physical Health Conditions and Risk of Suicide." (2017). Available at: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC6602856/>

Hammond, Flora M, et al. "Prevalence of Medical and Psychiatric Comorbidities Following Traumatic Brain Injury." (2019). Available at: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC6602856/>

North Carolina Department of Health and Human Services. "Appendix B." (2022). Available at: <https://medicaid.ncdhhs.gov/appendix-b-behavioral-health-idd-tailored-plan-criteria-0/download?attachment> (Note: This document includes Tailored Care Management eligibility criteria)

Weil, Zachary M., John D. Corrigan, and Kate Karelina. "Alcohol Abuse After Traumatic Brain Injury: Experimental and Clinical Evidence." (2016). Available at: <https://www.sciencedirect.com/science/article/abs/pii/S0149763415302359>

Individuals with a severe SUD defined as:

- Having a qualifying SUD diagnosis code and associated service utilization indicative of severe impairment (use of a Medicaid-covered enhanced behavioral health service during the lookback period). For the latest list of qualifying SUD diagnoses, see Table 6 at: <https://medicaid.ncdhhs.gov/appendix-b-behavioral-health-idd-tailored-plan-criteria-0/download?attachment>.
- Having used a Medicaid-covered SUD service that will only be available through NC Medicaid Direct (i.e., used a service that is not offered through a Standard Plan).
- Having used an SUD service funded with state, local, federal, or other non-Medicaid funds.
- Having an admission to a state alcohol and drug abuse treatment center (ADATC), including, but not limited to, individuals who have had one or more involuntary treatment episode(s) in a state-owned facility.

Individuals with SUD are at risk for additional chronic conditions due to current alcohol or other non-opioid substance use, or a history of such. Excessive alcohol use can lead to the development of high blood pressure (1.3 – 2.8 times higher risk), heart disease (1.1 times higher risk), stroke (1.7 – 2.2 times higher risk), liver disease (5.1 – 6 times higher risk), and cancer (1.2 – 6.5 times higher risk) (CDC and Rehm, et al. 2010). Cocaine use is associated with mental illness (10-40% likelihood (American Addiction Centers)), HIV (19% prevalence), hepatitis B (47% prevalence), and hepatitis C (15% prevalence among injecting drug users worldwide) (United Nations Office on Drugs and Crime). Cocaine use also accounts for 25% of non-fatal heart attacks in individuals ages 18-45 (Antai-Otong 2006).

Additionally, about 50% of those who experience a mental illness during their lives will also experience an SUD and vice versa (Ross 2012, Kelly 2013).

References

American Addiction Centers. "The Risks and Side Effects of Cocaine Addiction" (2021). Available at: <https://americanaddictioncenters.org/cocaine-treatment/risks>

Antai-Otong, Deborah. "Medical Complications of Cocaine Addiction: Clinical Implications for Nursing Practice." (2006). Available at: <http://people.uncw.edu/noeln/Articles/Medical-cocaine.pdf>

Kelly, Thomas M, and Dennis C Daley. "Integrated Treatment of Substance Use and Psychiatric Disorders." (2013). Available at: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3753025/>

North Carolina Department of Health and Human Services. "Appendix B." (2022). Available at: <https://medicaid.ncdhhs.gov/appendix-b-behavioral-health-idd-tailored-plan-criteria-0/download?attachment> (Note: This document includes Tailored Care Management eligibility criteria)

Rehm, Jurgen, et al. "The Relation Between Different Dimensions of Alcohol Consumption and Burden of Disease: An Overview." (2010). Available at: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3306013/>

Ross, Stephen, and Eric Peselow. "Co-Occurring Psychotic and Addictive Disorders: Neurobiology and Diagnosis." (2012). Available at: <https://pubmed.ncbi.nlm.nih.gov/22986797/>

United Nations Office on Drugs and Crime. "World Drug Report 2012." (2012). Available at: https://www.unodc.org/documents/data-and-analysis/WDR2012/WDR_2012_web_small.pdf

One serious and persistent mental health condition

Specify the criteria for a serious and persistent mental health condition:

Individuals with a serious and persistent mental health condition defined by:

- Having a qualifying mental health diagnosis code highly associated with serious and persistent mental illness (e.g., primary psychotic disorders) For the latest list of diagnoses, see Tables 3 and 4 at: <https://medicaid.ncdhhs.gov/appendix-b-behavioral-health-idd-tailored-plan-criteria-0/download?attachment>.
- Having a qualifying mental health diagnosis code and associated service utilization indicative of severe impairment (use of a Medicaid-covered

enhanced behavioral health service during the lookback period). Qualifying mental health criteria:

- For certain diagnoses, North Carolina also requires that the person have associated service utilization indicative of severe impairment (use of a Medicaid-covered enhanced behavioral health service) consistent with an SED or an SMI. For the latest list of diagnoses and behavioral health enhanced services, see Tables 4 and 5 at: <https://medicaid.ncdhhs.gov/appendix-b-behavioral-health-idd-tailored-plan-criteria-0/download?attachment>.
- Use of electroconvulsive therapy
- Use of clozapine or long-acting injectable antipsychotics
- Suicide attempt
- Having used a Medicaid-covered behavioral health service that will only be available NC Medicaid Direct (i.e., used a service that is not offered through a Standard Plan).
- Having used a mental health service funded with state, local, federal, or other non-Medicaid.
- Having two or more psychiatric hospitalizations or readmissions within 18 months.
- Having an admission to a state psychiatric hospital, including, but not limited to, individuals who have had one or more involuntary treatment episodes in a state-owned facility.
- Having two or more visits to the emergency department for a psychiatric problem within 18 months.
- Having two or more episodes using Behavioral Health crisis services within 18 months.
- Being served by Transitions to Community Living, North Carolina's Olmstead settlement for individuals with serious mental illness or serious and persistent mental illness.
- Being classified as a child with complex needs, as that term is defined in the 2016 settlement agreement between North Carolina and Disability Rights of North Carolina. The settlement defines children with complex needs as Medicaid-eligible children ages 5 and under 21, who have been diagnosed with a developmental disability (including Intellectual Disability and/or Autism Spectrum Disorder) and a mental health disorder, who are at risk of not being able to return to or maintain placement in a community setting.

Health Homes Population and Enrollment Criteria

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS00030 | NC-24-2028 | Tailored Care Management

Package Header

Package ID	NC2024MS00030	SPA ID	NC-24-2028
Submission Type	Official	Initial Submission Date	N/A
Approval Date	N/A	Effective Date	7/1/2024
Superseded SPA ID	NC-22-0024		
	System-Derived		

Enrollment of Participants

Participation in a Health Homes is voluntary. Indicate the method the state will use to enroll eligible Medicaid individuals into a Health Home:

- Opt-In to Health Homes provider
- Referral and assignment to Health Homes provider with opt-out
- Other (describe)

Describe the process used:

Overview

The Health Home benefit, called Tailored Care Management, will be available to all NC Medicaid beneficiaries who meet the eligibility criteria. The Health Home benefit will be offered through two delivery systems: Tailored Plans, which are integrated managed care products for individuals with significant behavioral health conditions, I/DD, and/or TBI, and PIHPs, which offer behavioral health, I/DD, and TBI services to members enrolled in NC Medicaid Direct (i.e., North Carolina's Medicaid fee-for-service delivery system). LME-MCOs—NC's regionally-based and publicly-owned managed care plans that will deliver and oversee Tailored Care Management—administer Tailored Plans and PIHPs and will serve as the Health Home for all members enrolled in Tailored Care Management. Tailored Care Management is the default care management model for individuals who meet Health Home eligibility criteria and are enrolled in Tailored Plans and PIHPs. Individuals enrolled in other delivery systems who are eligible for the Health Home benefit have the option to transition into a Tailored Plan and/or NC Medicaid Direct at any point during the plan year to obtain it.

Initial Launch of Health Home Benefit




Prior to the launch of the Tailored Care Management Health Home benefit on July 1, 2023, the NC Department of Health and Human Services (DHHS) identified through claims, encounters, and enrollment data which beneficiaries are eligible to obtain Tailored Care Management. All eligible beneficiaries were auto-enrolled into the Tailored Care Management Health Home benefit through a PIHP, unless they were obtaining a duplicative service.

Tailored Plan Launch

At Tailored Plan launch on July 1, 2024, beneficiaries meeting eligibility criteria who are not otherwise part of a population that is delayed or excluded from managed care were auto-enrolled in a Tailored Plan and will continue to obtain Tailored Care Management through the same AMH+, CMA or LME-MCO that they were enrolled in prior to this date. Given that LME-MCOs administer both Tailored Plans and PIHPs, North Carolina does not expect any disruptions in care management during this transition. Individuals who are delayed or excluded from integrated Medicaid managed care remain enrolled in NC Medicaid Direct and continue to have access to Tailored Care Management through a PIHP if they meet the Health Home eligibility criteria.

Please see the Addendum to this section for more information.

The state provides assurance that it will clearly communicate the individual's right to opt out of the Health Homes benefit or to change Health Homes providers at any time and agrees to submit to CMS a copy of any letter or communication used to inform the individuals of the Health Homes benefit and their rights to choose or change Health Homes providers or to elect not to receive the benefit.

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MD-Welcome-Packet-TCM-Insert-20220615	8/18/2022 10:26 AM EDT	
REVISED_TCM Oversight and Assignment_6.16.23	6/16/2023 1:32 PM EDT	
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Health Homes Providers

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS00030 | NC-24-2028 | Tailored Care Management

Package Header

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Types of Health Homes Providers

Designated Providers

Indicate the Health Homes Designated Providers the state includes in its program and the provider qualifications and standards

- Physicians
- Clinical Practices or Clinical Group Practices
- Rural Health Clinics
- Community Health Centers
- Community Mental Health Centers

- Home Health Agencies
- Case Management Agencies
- Community/Behavioral Health Agencies
- Federally Qualified Health Centers (FQHC)
- Other (Specify)

Provider Type	Description
LME-MCOs, in their role administering Tailored Plans and PIHPs	<p>North Carolina determined that each LME-MCO, as a carrier of Tailored Plans and PIHPs, is qualified to serve as a Health Home. Tailored Plans are fully integrated managed care plans that serve individuals with significant behavioral health conditions, I/DD, and TBI. PIHPs are a limited benefit managed care product that manage behavioral health and I/DD services for individuals delayed, excluded, or exempt from managed care.</p> <p>As a Health Home, LME-MCOs perform the following functions:</p> <ul style="list-style-type: none"> - Contract with all AMH+s/CMAs in their region. - Assign members to a Tailored Care Management approach/provider and honor member's preference in approach: AMH+ practice, CMA, or a care manager based at the plan. - Employ care managers who work with multidisciplinary care teams in delivering Tailored Care Management to members assigned to them. - Distribute payments to CMAs and AMH+ practices for delivering Tailored Care Management to assigned members. - Train all care managers to deliver Tailored Care Management (on their own or through a vendor). - Conduct oversight of AMH+ practices and CMAs. - Consume and use physical health, BH, I/DD, and TBI claims, pharmacy and encounter data, clinical data, Admission, Discharge, Transfer (ADT) data, risk stratification information, and/or unmet health-related resource needs data. - Share and transmit data to AMH+ practices and CMAs in support of Tailored Care Management. - Provide regular reports to the state, including those required by CMS. - Hold primary responsibility for delivering Tailored Care Management for the population assigned to them via a care manager and multidisciplinary care team. (See "Health Home Delivery System" for more details

Provider Type	Description
AMH+ Practices	<p>Organizations must go through a state-designed certification process to become an AMH+ practice.</p> <p>AMH+ practices must:</p> <ul style="list-style-type: none"> - Be primary care practices actively serving as a state-designated Advanced Medical Home Tier 3 practice or state-designated primary care practices that have attested to meeting standards necessary to provide local care management services and reflect capacity for data-driven care management and population health capabilities for their assigned populations. - Have experience delivering primary care services to the Tailored Care Management-eligible population or otherwise demonstrate strong competency to serve that population. Primary care practices, Rural Health Clinics, Federally Qualified Health Centers, community health centers, and Local Health Departments may apply to be AMH+ practices. - Attest to having a patient panel with at least 100 active Medicaid patients who have an SMI, SED, or severe SUD; an I/DD; or a TBI. "Active" patients are those with at least two encounters with the AMH+ applicant's practice team in the past 18 months. - Meet all requirements specified as part of the certification process (e.g., requirements related to health information technology (IT), staffing, quality measurement and improvement). (See "Other Health Homes Provider Standards" for more details on the certification process and provider requirements.) <p>AMH+ practices will hold primary responsibility for delivering Tailored Care Management for the population assigned to them via a care manager and multidisciplinary care team.</p>
Care Management Agencies (CMAs)	<p>Organizations must go through a state-designed certification process to become a CMA.</p> <p>CMAs must have:</p> <ul style="list-style-type: none"> - Experience delivering behavioral health, I/DD, and/or TBI services to the Tailored Care Management-eligible population. Organizations including Community Mental Health Centers, clinical practices/clinical group practices, and community-based behavioral health agencies may apply to be

Provider Type	Description
Care Managers and Supervising Care Managers	<p>CMAAs.</p> <ul style="list-style-type: none"> - The primary purpose, at the time of certification, of delivering NC Medicaid, NC Health Choice, or state-funded services, other than care management, to the Tailored Care Management-eligible population in North Carolina. - Met all requirements specified as part of the certification process (e.g., requirements related to health information technology (IT), staffing, quality measurement, and improvement). (See "Other Health Homes Provider Standards" for more details on the certification process and provider requirements.) <p>CMAAs will hold primary responsibility for delivering Tailored Care Management for the population assigned to them, via a care manager and multidisciplinary care team.</p> <p>Below are descriptions of the qualifications of the professionals who will deliver Tailored Care Management:</p> <p>Care Managers must meet North Carolina's definition of Qualified Professional per 10A-NCAC 27G .0104</p> <ul style="list-style-type: none"> - "Qualified professional" means, within the mental health/ developmental disability/ substance abuse services (mh/dd/sas) system of care: <ul style="list-style-type: none"> a) an individual who holds a license, provisional license, certificate, registration or permit issued by the governing board regulating a human service profession, except a registered nurse who is licensed to practice in the State of North Carolina by the North Carolina Board of Nursing who also has four years of full-time accumulated experience in mh/dd/sa with the population served; or b) a graduate of a college or university with a Master's degree in a human service field and has one year of full-time, post-graduate degree accumulated mh/dd/sa experience with the population served, or a substance abuse professional who has one year of full-time, post-graduate degree accumulated supervised experience in alcoholism and drug abuse counseling; or c) a graduate of a college or university with a bachelor's degree in a human service field and has two years of full-time, post-bachelor's degree accumulated mh/dd/sa experience with the population served, or a substance abuse professional who has two years of full-time, post-bachelor's

Provider Type	Description
	<p>degree accumulated supervised experience in alcoholism and drug abuse counseling; or d) a graduate of a college or university with a bachelor's degree in a field other than human services and has four years of full-time, post-bachelor's degree accumulated mh/dd/sa experience with the population served, or a substance abuse professional who has four years of full-time, post-bachelor's degree accumulated supervised experience in alcoholism and drug abuse counseling.</p> <p>Supervising care managers serving members with behavioral health conditions must have the following minimum qualifications: - A license, provisional license, certificate, registration or permit issued by the governing board regulating a human service profession (including Licensed Clinical Social Worker (LCSW), Licensed Marriage and Family Therapist (LMFT), Licensed Clinical Addiction Specialist (LCAS), Licensed Clinical Mental Health Counselor (LCMHC), Licensed Psychological Associate (LPA)), or a Registered Nurse (RN) license issued by the North Carolina Board of Nursing; and - Three years of experience providing care management, case management, or care coordination to the population being served.</p> <p>Supervising care managers serving members with an I/DD or a TBI must have one of the following minimum qualifications: - A bachelor's degree and Five years of experience providing care management, case management, or care coordination to complex individuals with I/DD or TBI; or - A master's degree in a human services field and Three years of experience providing care management, case management, or care coordination to complex individuals with an I/DD or a TBI.</p>

Health Homes Providers

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- Teams of Health Care Professionals
- Health Teams

Health Homes Providers

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Provider Infrastructure

Describe the infrastructure of provider arrangements for Health Home Services

Health Home services and providers have been incorporated into North Carolina's Tailored Plan and PIHP infrastructure. The Health Home structure is as follows:

- LME-MCOs, as carriers of the Tailored Plan and PIHP products, serve as the Health Homes for individuals who meet the Tailored Care Management Health Home eligibility criteria. These individuals have the choice of obtaining Health Home services (Tailored Care Management) from one of three approaches: through an AMH+ practice, a CMA, or a care manager based at a plan (see the "enrollment" section of the SPA for additional details on assignment and choice). LME-MCOs also hold primary responsibility for delivering Tailored Care Management for the population assigned to them via a care manager and multidisciplinary care team.

LME-MCOs must submit their Tailored Care Management policies and procedures for Department review and approval. As part of the certification process, providers submitted a written application detailing their policies and procedures and demonstrating the following:

- Active, working relationships with community providers that offer a wide scope of clinical and social services, including strong reciprocal relationships among relevant behavioral health, I/DD, and primary care providers, in order to facilitate referrals among providers;
- Appropriate structures in place to oversee the Tailored Care Management model, including evidence of a strong governance structure;
- Approach for meeting all required components of Tailored Care Management, including establishing and activating multidisciplinary care teams, the sharing of pertinent data across the team, and conducting case conferences; and
- Care management data systems that electronically document and store the care plan or ISP.

The Department has conducted readiness reviews to validate that LME-MCOs and providers are prepared to deliver Tailored Care Management. The Department will monitor that these activities occur within the assigned organization providing Health Home services through required monthly reporting, targeted audits of member records, and External Quality Review Organization (EQRO) assessments.

- AMH+ practices and CMAs hold primary responsibility for delivering Tailored Care Management for the population assigned to them via a care manager and multidisciplinary care team. North Carolina's vision is to increase over time the proportion of actively engaged Tailored Plan and PIHP members receiving Tailored Care Management from AMH+ practices and CMAs (as opposed to a care manager based at the LME-MCO). To help achieve this vision, North Carolina has established a four-year "glide path" where LME-MCOs will be required to meet escalating annual targets on the percentage of members actively engaged in Tailored Care Management via AMH+ practices and CMAs.

- Clinically Integrated Networks (CINs) or Other Partners—AMH+ practices and CMAs may partner with CINs or Other Partners for support with specific functions and capabilities required to operate as an AMH+ practice or a CMA. CINs/Other Partners may offer a wide range of support, including care manager staffing support, assistance with meeting health IT requirements, and supporting AMH+ and CMA data integration, analytics, and use (e.g., importing and analyzing claims/encounter data).

- Care Managers/Supervising Care Managers—the organization that an individual is assigned to for Tailored Care Management (AMH+ practice, CMA, or LME-MCO) assigns a care manager who will work with a multidisciplinary care team in delivering Tailored Care Management.

- Care Manager Extenders (e.g., Peer Support Specialists, Community Navigators, Community Health Workers (CHWs), people with lived experience and with an I/DD or TBI, parents or guardians of an individual with an I/DD or a TBI or a behavioral health condition* may support care managers in delivering certain components Tailored Care Management (see "Other Provider Health Home Standards" for details on extender qualifications.

* A parent/guardian cannot serve as an extender for their own family member))

Care managers (or supervising care managers) closely supervise extenders and ensure that they work within their training and scope. Extenders may support care managers in delivering Tailored Care Management by performing activities that fall within the below categories:

- Performing general outreach, engagement, and follow-up with members
- Coordinating services/appointments (e.g., appointment/wellness reminders, arranging transportation)
- Engaging in health promotion activities (as defined in the Tailored Care Management Provider Manual) and knowledge sharing
- Sharing information with the care manager and other members of the care team on the member's circumstances
- Providing and tracking referrals and providing information and assistance in obtaining and maintaining community-based resources and social support services
- Participating in case conferences
- Support the care manager in assessing and addressing unmet health-related resource needs

- Multi-disciplinary care team—including the member, care manager, and the following individuals, varying based on the member's needs:
- Caregivers(s)/legal guardians
- Supervising care manager
- Primary care provider
- Behavioral health provider(s)
- I/DD and/or TBI providers
- Other specialists
- Nutritionists
- Pharmacists and pharmacy techs
- Obstetrician/gynecologist (for pregnant women)
- Care manager extenders (e.g., Peer Support Specialist, Community Navigator, CHW)
- In-reach and transition staff
- Other providers and individuals, as determined by the care manager and member

Organizations providing Tailored Care Management (AMH+ practices, CMAs, and LME-MCOs) do not necessarily need to have all the care team members on staff or embedded in the AMH+ practice or CMA – providers of various specialties may participate in care teams virtually from other settings. Organizations must establish a plan to activate relationships with primary care providers and other key interdisciplinary agencies/providers. Additionally, organizations must have written policies and procedures to ensure that multidisciplinary care team formation and communication occur in a timely manner and that the care team is documented in the care plan or ISP and is regularly updated. To implement such policies, care managers are required to conduct regular case conferences with members of the multidisciplinary care team, as appropriate based on member needs.

- Clinical Consultants—care managers also have access to clinical consultants in order to secure expert support appropriate for the needs of their members, including a general psychiatrist or child and adolescent psychiatrist, a neuropsychologist or psychologist, and a primary care physician, as appropriate.

Supports for Health Homes Providers

Describe the methods by which the state will support providers of Health Homes services in addressing the following components

1. Provide quality-driven, cost-effective, culturally appropriate, and person- and family- centered Health Homes services
2. Coordinate and provide access to high quality health care services informed by evidence-based clinical practice guidelines
3. Coordinate and provide access to preventive and health promotion services, including prevention of mental illness and substance use disorders
4. Coordinate and provide access to mental health and substance abuse services
5. Coordinate and provide access to comprehensive care management, care coordination, and transitional care across settings. Transitional care includes appropriate follow-up from inpatient to other settings, such as participation in discharge planning and facilitating transfer from a pediatric to an adult system of health care
6. Coordinate and provide access to chronic disease management, including self-management support to individuals and their families
7. Coordinate and provide access to individual and family supports, including referral to community, social support, and recovery services
8. Coordinate and provide access to long-term care supports and services
9. Develop a person-centered care plan for each individual that coordinates and integrates all of his or her clinical and non-clinical health-care related needs and services
10. Demonstrate a capacity to use health information technology to link services, facilitate communication among team members and between the health team and individual and family caregivers, and provide feedback to practices, as feasible and appropriate
11. Establish a continuous quality improvement program, and collect and report on data that permits an evaluation of increased coordination of care and chronic disease management on individual-level clinical outcomes, experience of care outcomes, and quality of care outcomes at the population level

Description

The state is supporting providers of Health Home services by:

Establishing Health Home requirements for the LME-MCO in the Tailored Plan and PIHP contracts, including the LME-MCO's role in supporting and overseeing Health Home providers. North Carolina has facilitated an intensive readiness process to ensure the LME-MCOs are ready to oversee and perform all aspects of the Health Home benefit, including developing training requirements and providing ongoing technical assistance to AMH+ practices and CMAs. (See the "Health Homes Service Delivery Systems" section for more details on the LME-MCOs' role in the model.)

Implementing a certification process to ensure community-based providers have the infrastructure for and are otherwise prepared to offer Health Home services at launch. (See the "Health Homes Service Delivery Systems" section for more details on the LME-MCOs' role in the model.)

Ensuring all care managers, supervisors, and care manager extenders receive high-quality, intensive training. Each LME-MCO must design and implement a training plan that includes the following required domains identified by North Carolina in the Tailored Plan and PIHP contracts: whole-person health and unmet resource needs, community integration, components of Health Home care management, health promotion, and other care management skills (e.g., transitional care management best practices). Care managers, supervisors, and care manager extenders who serve members with an I/DD or TBI, children, pregnant and postpartum women with SUD or SUD history, and members with LTSS needs receive additional trainings. LME-MCOs must submit their training programs to the state for approval and are responsible for ensuring all care managers, supervising care managers, and care manager extenders serving its members, whether based at the LME-MCO, AMH+, or CMA, are trained. The training program ensures that care managers and care manager extenders will address each of the eleven components of the Health Home program and provide the six core Health Home services.

North Carolina has identified the following core modules that care managers, care manager extenders, and supervisors must complete before being deployed to serve members: overview of NC Medicaid Delivery system, principles of integrated and coordinated care, knowledge of Innovations and TBI waiver eligibility, an overview of Tailored Care Management (e.g., model's purpose, target populations, services, role of enrollees and their families in care planning), and eligibility, assessment, and coordination of 1915(i) services. Care managers, care manager extenders, and supervisors must complete the remaining training modules within six months of being deployed to serve members.

Publishing a Tailored Care Management provider manual. The manual describes the functions AMH+ practices and CMAs are expected to perform, including activities care managers must perform on an ongoing basis. The manual also outlines Health IT and data sharing requirements, the care management assignment process and the role of member choice in that process, care manager qualifications, and the approach to AMH+ practice/CMA oversight.

Offering statewide technical assistance (TA). The state launched a statewide technical assistance program to support AMH+ practices and CMAs in becoming successful high-quality providers of Tailored Care Management. The TA program is designed to help providers operationalize Tailored Care Management, including through identifying gaps related to workflows/technology/personnel to succeed in the model, and developing and implementing solutions to address those gaps. TA modalities include dedicated one-on-one practice coaching, group learning opportunities (e.g., learning collaboratives), written best practices, and on-demand subject matter expertise.

Providing capacity building funding. The state has designed a capacity building program, which is designed to meet federal requirements for managed care performance incentive arrangements set by 42 CFR 438.6(b)(2). Through the capacity building program, funds are distributed to LME-MCOs, AMH+ practices, and CMAs to be used for investment in three key areas: care management-related Health IT infrastructure, workforce development (hiring and training care managers), and operational readiness (developing policies/procedures/workflows and other competencies linked to operationalizing the Tailored Care Management model). Under the program, LME-MCOs are eligible to obtain funding if they achieve state-determined milestones related to these three major areas of investments; they then distribute funding to AMH+ practices and CMAs.

Maintaining a centralized webpage with resources for Health Home providers. The Tailored Care Management provider manual, guidance documents, and other resources are available at the Tailored Care Management webpage: <https://medicaid.ncdhhs.gov/tailored-care-management>, which is updated regularly.

Other Health Homes Provider Standards

The state's requirements and expectations for Health Homes providers are as follows

The state's requirements and expectations for the LME-MCOs in their role as Health Homes are summarized in the section "Health Homes Service Delivery Systems." LME-MCOs are required to contract with all certified AMH+ practices and CMAs in their geographic region and AMH+/CMAs will deliver Tailored Care Management for assigned members.

In order to achieve the designation of an AMH+ or CMA, providers must undergo the following certification process:

Submit a written application and undergo a "desk review." The state, or its designee, will review each application to assess whether the provider organization is on track to satisfy the full criteria to deliver Tailored Care Management at Tailored Care Management launch or at a target certification date (if after initial launch).

The application desk review assesses the below categories.

Eligibility – To be eligible to become an AMH+, the applicant must have a patient panel with at least 100 active Medicaid patients who have an SMI, SED, or severe SUD; an I/DD; or a TBI. AMH+ practice applicants must also be actively serving as a state-designated Advanced Medical Home Tier 3 practice and intend to become a network primary care provider for the population eligible for Tailored Care Management. To be eligible to become a CMA, the applicant's primary purpose at the time of certification must be the delivery of NC Medicaid, NC Health Choice, or state-funded services, other than care management, to the Tailored Care Management-eligible population in North Carolina. Additionally, applicants must have experience delivering behavioral health, I/DD, and/or TBI services to the Tailored Care Management-eligible population.

Organizational Standing and Experience - Applicants must demonstrate:

- Relevant experience to provide Tailored Care Management to the Tailored Care Management-eligible population, specifically the subpopulation(s) for whom it proposes to become a certified Tailored Care Management provider (e.g., adult, child, I/DD, or TBI populations).
- Active, working relationships with community providers that offer a wide scope of clinical and social services, including strong reciprocal relationships among relevant behavioral health, I/DD, and primary care providers, in order to facilitate referrals among providers as well as provide formal and informal feedback and opportunities to share best practices.
- The capacity and financial sustainability to establish care management as an ongoing line of business.
- Appropriate structures in place to oversee the Tailored Care Management model, including evidence of a strong governance structure.

Staffing – Applicants must be able to ensure that all care managers providing Tailored Care Management meet or will meet minimum qualification requirements and will be supervised by a supervising care manager. At the time of certification, applicants must provide an estimate of how many care managers/supervisors they intend to employ and describe their recruitment strategy to attract and retain well-qualified care management staff.

Care managers serving all members must have the following minimum qualifications:

- Meet North Carolina's definition of a Qualified Professional per 10A-NCAC 27G .0104.
- For care managers serving members with LTSS needs: two years of prior LTSS and/or HCBS coordination, care delivery monitoring, and care management experience, in addition to the requirement cited above. (This experience may be concurrent with the years of experience required to become a Qualified Professional.)

Supervising care managers serving members with behavioral health conditions must have the following minimum qualifications:

- A license, provisional license, certificate, registration or permit issued by the governing board regulating a human service profession (including Licensed Clinical Social Worker (LCSW), Licensed Marriage and Family Therapist (LMFT), Licensed Clinical Addiction Specialist (LCAS), Licensed Clinical Mental Health Counselor (LCMHC), Licensed Psychological Associate (LPA)), or a Registered Nurse (RN) license issued by the North Carolina Board of Nursing; and
- Three years of experience providing care management, case management, or care coordination to the population being served.

Supervising care managers serving members with an I/DD or a TBI must have one of the following minimum qualifications:

- A bachelor's degree and five years of experience providing care management, case management, or care coordination to complex individuals with I/DD or TBI;
- or
- A master's degree in a human services field and three years of experience providing care management, case management, or care coordination to complex individuals with an I/DD or a TBI.

A care manager extender is defined as an individual who:

- Is at least 18 years of age;
- Has a high school diploma or equivalent;
- Is trained in Tailored Care Management (as described later in this document);
- Is supervised by a care manager (or supervising care manager) at an AMH+ practice, CMA, or LME-MCO, and meets one of the below requirements:
 - Be a person with lived experience with an I/DD or a TBI with demonstrated knowledge of and direct personal experience navigating the North Carolina

Medicaid delivery system.*

or

-Be a person with lived experience with a behavioral health condition who is a Certified Peer Support Specialist.

or

- A parent or guardian of an individual with an I/DD or a TBI or a behavioral health condition who has at least two years of direct experience providing care for and navigating the Medicaid delivery system on behalf of that individual (parent/guardian cannot serve as an extender for their family member).*

or

-Has two years of paid experience performing the types of extender functions described in the "Provider Infrastructure" section above, with at least one year of paid experience working directly with the Tailored Care Management-eligible population.

* North Carolina will require additional trainings for individuals with lived experience and parents/guardians to prepare them to perform the duties of an extender.

Delivery of Tailored Care Management – Applicants must:

Describe their approach for meeting all the required components of Tailored Care Management, including

- Completing care management comprehensive assessments and reassessments;
- Developing written care plans/individual support plans (ISPs);
- Establishing and activating multidisciplinary care teams, including the sharing of pertinent data across the team and conducting case conferences;
- Delivering ongoing Tailored Care Management, inclusive of the six core Health Home services;
- Addressing unmet health-related resource needs; and
- Identifying members in transition and delivering transitional care management.

If an applicant will serve the Innovations and TBI waiver populations, it must also describe its approach to addressing the additional care coordination requirements for this population.

Health IT – Applicants must:

- Attest to having an electronic health record (EHR) or clinical system of record that is in use by the organization’s providers to record, evaluate, and transmit member clinical information, including medical adherence.
- Describe which care management data system(s) they will use to track assessments, care plans/ISPs, and care team actions. Upon launching Tailored Care Management, AMH+s/CMA must have a care management data system that can:
 - Maintain up-to-date documentation of Tailored Care Management member lists and assignments of individual members to care managers;
 - Electronically document and store the care management comprehensive assessment and re-assessment;
 - Electronically document and store the care plan or ISP;
 - Consume claims and encounter data;
 - Provide role-based access to each member of the multidisciplinary care team;
 - Provide access to – and electronically share, if requested – member records with the member’s care team to support coordinated care management, as well as the member, in accordance with federal, state, and Department privacy, security, and data-sharing requirements; and
 - Track referrals.
- Applicants must share when ADT alerts/functionality will be in place and describe how ADT alerts will be monitored and conveyed to care managers. Upon launching Tailored Care Management, AMH+ practices and CMAs must have access to ADT data that correctly identifies when members are admitted, discharged, or transferred to or from an ED or a hospital in real time or near real time.

Quality Measurement and Improvement – Applicants must describe how they will participate in quality measurement documentation, data collection and abstraction, analysis, and outreach in accordance with current North Carolina Medicaid requirements.

Upon launching Tailored Care Management, AMH+ practices and CMAs must gather, process, and share data with LME-MCOs for the purpose of quality measurement and reporting. At least annually, the AMH+ or CMA must evaluate the Tailored Care Management services it provides to ensure that the services are meeting the needs of empaneled members and refine the services as necessary.

Training - Applicant must attest that care managers and supervising care managers will complete required trainings provided by LME-MCOs.

Undergo a site review - North Carolina will arrange to conduct one or more site reviews with providers that "pass" the desk review to drive a final decision on certification and to increase understanding of each organization's capacity, strengths, and areas for improvement. Organizations are not expected to meet all criteria fully at the point of the site review but must be on track to meet the criteria by Tailored Care Management launch or at a target certification date (if after initial launch).

Conduct readiness reviews and further site visits – The Department and LME-MCOs will conduct final readiness reviews and additional site reviews (as needed) to ensure AMH+ practices and CMAs are ready to begin delivering Tailored Care Management. Readiness reviews will occur as part of PIHP contracting with AMH+ practices and CMAs and will examine numerous aspects of provider readiness, including providers' staffing, health IT capabilities, and final policies and procedures.

Name	Date Created
No items available	

Health Homes Service Delivery Systems

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Package Header

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Identify the service delivery system(s) that will be used for individuals receiving Health Homes services

- Fee for Service
- PCCM
- Risk Based Managed Care

The Health Plans will be a Designated Provider or part of a Team of Health Care Professionals

- Yes
- No

Provide a summary of the contract language that you will impose on the Health Plans in order to deliver the Health Homes services

The Tailored Plan and PIHP contracts include requirements for the LME-MCOs both in their role overseeing the Health Home program and as a Health Home provider. North Carolina conducts readiness reviews, end-to-end testing, and other activities to verify that LME-MCOs are prepared to oversee and perform all aspects of Tailored Care Management.

In their oversight role, LME-MCOs perform the following functions:

- Auto-enroll all members eligible for Tailored Care Management into Tailored Care Management.
- Offer a contract to all willing AMH+ practices and CMAs in their region.
- Provide members choice in selecting a Tailored Care Management approach/provider (AMH+ practice, CMA, or plan-based care manager) and assign those who did not express choice to an approach and provider based on an algorithm determined or approved by the State.
- Develop and ensure that AMH+ practices and CMAs also develop policies for communicating and sharing information with members and their families and other caregivers with appropriate consideration for language, literacy, and cultural preferences, including sign language, closed captioning, and/or video capture.
- Ensure that all care managers meet minimum qualification requirements
- Have IT infrastructure and data analytic capabilities to support the Department's vision for care management, including the capabilities to:
 - Consume and use physical health, behavioral health, I/DD and TBI claims, pharmacy and encounter data, clinical data, ADT data, risk stratification information, and/or unmet health-related resource seeds data; and
 - Share and transmit data with AMH+ practices and CMA.
- Provide oversight and conduct monitoring of AMH+ practices and CMAs to ensure all Tailored Care Management requirements are met.
- Ensure compliance with federal requirements for conflict-free case management for members enrolled in a 1915(c) waiver or who are obtaining 1915(i) services.
- Complete and submit all required reporting to the state.
- Ensure that members do not receive duplicative care management services.

In their role as a Health Home provider, plans perform the following functions for members assigned to them and obtaining Tailored Care Management:*

- Meet all the required components of Tailored Care Management, including
- Completing care management comprehensive assessments and reassessments;
 - Developing written care plans/ISPs;
 - Establishing and activating multidisciplinary care teams, including the sharing of pertinent data across the team and conducting case conferences;
 - Delivering ongoing Tailored Care Management, inclusive of the six core Health Home services;
 - Addressing unmet health-related resource needs; and
 - Identifying members in transition and delivering transitional care management.
- Have a care management data system that can
- Maintain up-to-date documentation of Tailored Care Management member lists and assignments of individual members to care managers;
 - Electronically document and store the care management comprehensive assessment and re-assessment;
 - Electronically document and store the care plan or ISP;
 - Consume claims and encounter data;

- Provide access to – and electronically share, if requested – member records with the member’s care team to support coordinated care management, as well as the member, in accordance with federal, state, and Department privacy, security, and data-sharing requirements; and
-Track referrals.

Access ADT data that correctly identifies when members are admitted, discharged, or transferred to or from an ED or a hospital in real time or near real time.

* AMH+ practices and CMAs perform these functions for members assigned to them for Tailored Care Management.

The State provides assurance that any contract requirements specified in this section will be included in any new or the next contract amendment submitted to CMS for review.

Name	Date Created
No items available	

The State intends to include the Health Home payments in the Health Plan capitation rate

- Yes
- No

Indicate which payment methodology the State will use to pay its plans

- Fee for Service (describe in Payment Methodology section)
- Alternative Model of Payment (describe in Payment Methodology section)
- Other

Other Service Delivery System

Health Homes Payment Methodologies

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS00030 | NC-24-2028 | Tailored Care Management

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Package ID	NC2024MS00030	SPA ID	NC-24-2028
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Payment Methodology

The State's Health Homes payment methodology will contain the following features

- Fee for Service
- PCCM (description included in Service Delivery section)
- Risk Based Managed Care (description included in Service Delivery section)
- Alternative models of payment, other than Fee for Service or PMPM payments (describe below)
- Tiered Rates based on
 - Severity of each individual's chronic conditions
 - Capabilities of the team of health care professionals, designated provider, or health team
 - Other

Describe below

Please see below

Describe any variations in payment based on provider qualifications, individual care needs, or the intensity of the services provided Please see below

Provide a comprehensive description of the policies the state will use to establish Health Homes alternative models of payment. Explain how the methodology is consistent with the goals of efficiency, economy and quality of care. Within your description, please explain the nature of the payment, the activities and associated costs or other relevant factors used to determine the payment amount, any limiting criteria used to determine if a provider is eligible to receive the payment, and the frequency and timing through which the Medicaid agency will distribute the payments to providers.

As a result of North Carolina's annual review of provider costs and the time spent delivering Health Home services to members, North Carolina will temporarily increase the payment rate from \$269.66 to (1) \$343.97 starting on February 1, 2024, through December 31, 2024, and (2) \$294.86 starting on January 1, 2025, through June 30, 2025. During the entire period starting on February 1, 2024, through June 30, 2025, North Carolina will also temporarily increase the add-on payment for individuals enrolled in the Innovations or TBI waivers and for members obtaining 1915(i) services from \$78.94 to \$79.73. The temporary rate increases reflect the level of effort required by providers, based on available data on provider time and effort to date, to implement the Tailored Care Management model. North Carolina is not making any other changes to the payment methodology described below.

Tailored Care Management rates are separate from the health plans' risk-based managed care capitation rates. Health Home providers—LME-MCOs, AMH+ practices, and CMAs—will be paid a monthly rate for each member enrolled in Tailored Care Management that obtained a qualifying Health Home contact in the month. A qualifying Health Home contact is defined as an interaction that includes the member (or guardian, as indicated) that fulfills one or more of the six core health home services. The state will add an additional payment to the monthly rates for individuals enrolled in the Innovations or TBI waivers and for members obtaining 1915(i) services to reflect additional care coordination responsibilities required for these HCBS programs. Please see the Addendum to this section for more information on additional care coordination responsibilities required for these HCBS programs. For members receiving provider-based Tailored Care Management, LME-MCOs will be required to pass the full amount of the monthly payment down to the provider delivering Tailored Care Management.

In order to access the payment for any given member, the LME-MCO must demonstrate that one Health Home service was delivered to the member during the previous month. For members obtaining Health Home services through AMH+ practices and CMAs, LME-MCOs will make payments to their providers for those months when a core Health Home service was delivered, passing down 100% of the payments. For each member assigned to them who has received a qualifying Health Home service that month, AMH+ practices and CMAs will be required to submit a single claim to the LME-MCO demonstrating that they delivered a at least one Health Home core service. LME-MCOs may retain the entirety of the payment for members receiving Health Home services through a plan-based care manager.

North Carolina's payment model encourages the provision of high-quality care and ensures members are receiving the right care, at the right place, at the right time by providing Health Home providers with robust standards for what Tailored Care Management entails. It will also ensure that LME-MCOs and AMH+ practices and CMAs are only reimbursed in months in which Health Home services are delivered.

Rates were developed with input from clinical experts on the average amount of time and effort Health Home providers are expected to spend on any given member who receives a qualifying Health Home contact in a month. Rates were based on care manager, care manager extender, and supervising care manager labor costs (including salary, fringe benefits, and vacation/sick time) combined with expected caseloads and adding costs associated with administration/overhead, program expenses and required clinical consultant time. Salaries were derived from state-specific wage data from the Bureau of Labor Statistics. Expected caseloads were developed

based on the estimated time needed to deliver meaningful, in-person and telephonic/virtual contacts on a monthly basis to a member that receives a qualifying Health Home contact, time needed for travel and other non-member facing time (e.g., coordination with providers), and the annual productive time for each care manager. The rates will be paid on a per member per month (PMPM) basis for members who received a qualifying Health Home contact in the month.

North Carolina will review rates at least annually and review the provider costs (salary, fringe benefits, and administration/overhead) and the time spent delivering Health Home services to members when determining the appropriateness of the rates.

Effective Date: 7/1/2024
Website where rates are displayed: <https://medicaid.ncdhhs.gov/revised-tcm-rate-guidance/download?attachment>

Health Homes Payment Methodologies

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Assurances

The State provides assurance that it will ensure non-duplication of payment for services similar to Health Homes services that are offered/covered under a different statutory authority, such as 1915(c) waivers or targeted case management.

Describe below how non-duplication of payment will be achieved In order to avoid the duplication of payment for similar services, the state analyzed programs and settings that offer beneficiaries services similar to Health Home services. Through this analysis, North Carolina determined that the following services are duplicative of Tailored Care Management:

- Case management provided through Assertive Community Treatment.
- Case management provided through Intermediate Care Facilities for Individuals with Intellectual Disabilities.
- Case management provided through nursing facilities for individuals who have resided in, or are likely to reside there, for a period of 90 days or longer.
- Case management provided through the Community Alternatives Program for Children (CAP/C).
- Case management provided through the Community Alternatives Program for Disabled Adults (CAP/DA).
- Case management provided through the High-Fidelity Wraparound program.
- Care management provided through the EBCI Tribal Option.
- Care management provided through the Program of All-Inclusive Care for the Elderly.
- Care management provided by the state's PCCM vendor.
- Care Management for At-Risk Children (program offered by North Carolina Medicaid and administered by the state's local health departments providing care management services for at-risk children ages zero to five).

Tailored Care Management may be provided for one month if a beneficiary is transitioning to or from ACT, a long-stay in a nursing facility, or ICF-IID to or from Tailored Care Management.

As the Department reviews and approves new in lieu of services (ILOS) and State Plan services, the Department will monitor whether these new services are duplicative of Tailored Care Management and will perform the activities below to prevent duplication.

Individuals who opt out or are not engaged in Tailored Care Management will receive care coordination through the PIHP or Tailored Plan. North Carolina will not claim the enhanced Health Home match for these individuals.

North Carolina has developed multiple strategies to ensure members do not receive services that are duplicative of Tailored Care Management, including through LME-MCO oversight and systems requirements and quarterly reporting/monitoring requirements:

- LME-MCO Oversight/Reporting Obligations. LME-MCOs are contractually obligated to ensure that members do not receive a duplicative service and must submit, for state review and approval, their policies, and procedures for ensuring members do not receive duplicative care management from multiple sources.

- Audits. The Department will audit LME-MCOs to verify that LME-MCOs are not making payments to AMH+ practices and CMAs for both Tailored Care Management and a duplicative service for the same beneficiary in a single month except for months in which a member is transitioning services. LME-MCOs are responsible for ensuring that they do not submit a claim to the Department for Tailored Care Management in a month that a provider delivered a

duplicative service to a beneficiary.

- The state has developed payment methodologies and rates that are consistent with section 1902(a)(30)(A).
- The State provides assurance that all governmental and private providers are reimbursed according to the same rate schedule, unless otherwise described above.
- The State provides assurance that it shall reimburse providers directly, except when there are employment or contractual arrangements consistent with section 1902(a)(32).

Optional Supporting Material Upload

Name	Date Created	
REVISED_Addendum to Payment Methodology Section_NC HH SPA_January 2024 (002)	1/29/2024 11:30 AM EST	

Health Homes Monitoring, Quality Measurement and Evaluation

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS00030 | NC-24-2028 | Tailored Care Management

Package Header

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	System-Derived		

Monitoring

Describe the state's methodology for calculating cost saving (and report cost savings annually in Quality Measure Report). Include savings that result from improved coordination of care and chronic disease management achieved through the Health Homes Program, including data sources and measurement specifications, as well as any savings associated with dual eligibles, and if Medicare data was available to the state to utilize in arriving at its cost-savings estimates:

NC will assess cost savings associated with the Health Home program by comparing health care costs between individuals obtaining Tailored Care Management and individuals in a comparison group who are not receiving the intervention. To establish the comparison group, North Carolina will identify individuals who are similar to those participating in the program but opt out of Tailored Care Management or individuals who do not engage in Tailored Care Management.

The use of a comparison group with similar characteristics provides a measure of expected costs for the Health Home population absent the influence of the Health Home program. Propensity scores will be used to match members in the treatment group with members in the comparison group who had a similar probability of being enrolled in the Health Home based on observable health condition and demographic characteristics.

Demographic and health condition covariates will be identified for each member, and each will be incorporated into the propensity scoring methodology. Covariates will be included if they affect the outcome(s) regardless of whether they predict enrollment into a Health Home.¹ These covariates will include characteristics such as age, gender, county of residence, member months, LTSS needs, dual eligibility status, HCBS waiver status and/or member months, specific health conditions, and Chronic Illness and Disability Payment System (CDPS) risk score. Data for all covariates will be measured at baseline.

Once the populations are matched, a difference-in-differences (DiD) analysis will be performed to compare the costs for the two populations during the baseline period and the remeasurement period. The difference-in-differences analysis will allow for an expected cost for the Health Home population to be calculated by subtracting the average change in the comparison group from the average change in the treatment group.

To calculate cost savings, the expected costs of Health Home members (i.e., change in costs for the comparison group between the baseline and remeasurement period) will be subtracted from the actual costs of Health Home members (i.e., change in costs for the treatment group during the same time period). To calculate the total cost savings, the expected cost will be subtracted from the sum of the actual and administrative costs (i.e., any monthly payments for each Health Home member). These difference-in-differences calculations will be conducted through regression analysis using appropriate statistical modelling for the relevant outcome using best practices recommended in the literature (e.g., linear regression, log transformed costs, and/or two-part hurdle model in the event of a high prevalence of members with zero costs).² Regression analysis will allow for calculation of statistical significance of the DiD estimate and the ability to include additional control variables (e.g., any remaining unbalanced covariates following propensity score matching).

If cost data for Medicare claims/encounters are available, these data will be incorporated into the analysis to provide a complete picture of costs for dual eligible members. If Medicare cost data are not available, then dual eligible members will either be excluded from the analysis or analyzed separately. A separate analysis would allow NC to identify any cost savings specific to Medicaid. In the event that members who meet the eligibility requirements are excluded from the final analysis (e.g., due to the member being a statistical outlier in terms of cost), the final report will document the process used to determine exceptions. The approach outlined above assumes that an appropriate comparison group can be identified. If an appropriate comparison group cannot be identified, alternate data sources, statistical methods, or actuarial approaches could be considered.

1) <https://bit.ly/2UTvWmV>

2) <https://bit.ly/3Pqhmh>; <https://bit.ly/3A4DLQp>; or <https://bit.ly/3Pqhqt3>.

Describe how the state will use health information technology in providing Health Homes services and to improve service delivery and coordination across the care continuum (including the use of wireless patient technology to improve coordination and management of care and patient adherence to recommendations made by their provider).

LME-MCOs will be required to have IT infrastructure and data analytic capabilities to:

- Consume and use physical health, behavioral health, I/DD and TBI, pharmacy, and claim and encounter data; ADT data; and risk stratification information and/or unmet health-related resource needs data; and
- Share administrative and clinical information about their attributed members with their AMH+ practices and CMAs—or their designated CIN or other partner—including assignment files; eligibility and enrollment data; historical physical, behavioral health, and pharmacy claims (including pharmacy lock-in); encounter data; risk stratification information; and quality measure performance.

AMH+ practices, CMAs, and LME-MCOs must have care management platforms—or “data systems”—that allow care managers to understand who their assigned populations are, document and monitor member care needs, and respond as those needs change. Specifically, these organizations will be required to have care management data systems that can:

- Maintain up-to-date documentation of members enrolled in Tailored Care Management and assignments of individual members to care managers;
- Electronically document and store care management comprehensive assessments, reassessments, care plans, and ISPs;
- Consume and store claims and encounter data;
- Provide access to—and electronically share, if requested—member records with the member’s care team to support coordinated care management, as well as with the member, in accordance with federal, state, and North Carolina Department of Health and Human Services privacy, security, and data-sharing requirements; and
- Track referrals.

AMH+ practices, CMAs, and LME-MCOs are also required to use ADT data that correctly identifies when members are admitted, discharged, or transferred to or from an emergency department or a hospital in real time or near real time. These organizations must implement a systematic, clinically appropriate process for designated staffing for responding to certain high-risk ADT alerts, including:

- Real-time (within minutes/hours) response to notifications of emergency department visits, for example by contacting the emergency department to arrange rapid follow-up;
- Same-day or next-day outreach for designated high-risk subsets of the population; and
- Additional outreach within several days after the alert to address outpatient needs or prevent future problems for other patients who have been discharged from a hospital or an emergency department (e.g., to assist with scheduling appropriate follow-up visits or medication reconciliations post-discharge).

AMH+ practices, CMAs, and LME-MCOs will be required to use NCCARE360, North Carolina’s statewide coordinated care network, to electronically connect those with identified unmet health-related resource needs to community resources and allow for a feedback loop on the outcome of that connection. Specifically, these organizations will be required to:

- Use the NCCARE360 resource repository to identify health service organizations (community-based organizations and social service agencies) that offer services specific to a Health Home member’s unmet health-related needs;
- Refer and directly connect members to community resources identified via NCCARE360; and
- Track closed-loop referrals to confirm services were received.

AMH+ practices and CMAs will also be required to have an EHR or a clinical system of record that is in use by the AMH+ practice’s or CMA’s providers that may electronically record, store, and transmit member clinical information.

Health Homes Monitoring, Quality Measurement and Evaluation

MEDICAID | Medicaid State Plan | Health Homes | NC2024MS0003O | NC-24-2028 | Tailored Care Management

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	System-Derived		

Quality Measurement and Evaluation

- The state provides assurance that all Health Homes providers report to the state on all applicable quality measures as a condition of receiving payment from the state.
- The state provides assurance that it will identify measureable goals for its Health Homes model and intervention and also identify quality measures related to each goal to measure its success in achieving the goals.
- The state provides assurance that it will report to CMS information to include applicable mandatory Core Set measures submitted by Health Home providers in accordance with all requirements in 42 CFR §§ 437.10 through 437.15 no later than state reporting on the 2024 Core Sets, which must be submitted and certified by December 31, 2024 to inform evaluations, as well as Reports to Congress as described in Section 2703(b) of the Affordable Care Act and as described by CMS. In subsequent years, states must report annually, by December 31st, on all measures on the applicable mandatory Core Set measures that are identified by the Secretary.
- The state provides assurance that it will track avoidable hospital readmissions and report annually in the Quality Measures report.

PRA Disclosure Statement: Centers for Medicare & Medicaid Services (CMS) collects this mandatory information in accordance with (42 U.S.C. 1396a) and (42 CFR 430.12); which sets forth the authority for the submittal and collection of state plans and plan amendment information in a format defined by CMS for the purpose of improving the state application and federal review processes, improve federal program management of Medicaid programs and Children’s Health Insurance Program, and to

standardize Medicaid program data which covers basic requirements, and individualized content that reflects the characteristics of the particular state's program. The information will be used to monitor and analyze performance metrics related to the Medicaid and Children's Health Insurance Program in efforts to boost program integrity efforts, improve performance and accountability across the programs. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1188. The time required to complete this information collection is estimated to range from 1 hour to 80 hours per response (see below), including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

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