

North Carolina Advanced Medical Home (AMH) Program

Frequently Asked Questions

9.4.2018

Please refer to the [glossary](#) at the end of this document for a list of terms, definitions, and acronyms described in these FAQs.

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| General | What is the AMH program? | DHHS has developed the AMH program as the primary vehicle for delivering care management as the State transitions its Medicaid program to managed care. The AMH program requires PHPs to coordinate care management functions with enrolled providers, which may in some cases be performed directly by the practice, or through an affiliated CIN or other partner. In general, practice requirements and Medical Home Fees will remain unchanged from Carolina ACCESS (the State's current PCCM program). However, there will be opportunities for practices to take on additional care management responsibilities in exchange for higher reimbursement. |
| General | What populations are rolling into managed care and when? | <p>Most Medicaid and NC Health Choice populations will be mandatorily enrolled in PHPs beginning in November 2019. There will be limited exceptions to mandatory enrollment for certain populations who may be better served outside of Medicaid managed care. These populations may be either exempt—meaning that they may choose to enroll in either fee-for-service or Medicaid managed care—or excluded—meaning they must remain enrolled in fee-for-service. Additionally, certain high-need populations that will be mandatorily-enrolled in managed care will be allowed to do so on a delayed timeline. See below for population by managed care status:</p> <p>Exempt/Excluded:</p> <ul style="list-style-type: none">• Beneficiaries dually-eligible for Medicaid and Medicare• PACE beneficiaries• Medically needy beneficiaries• Beneficiaries only eligible for emergency services• Presumptively eligible beneficiaries, during the period of presumptive eligibility• Health Insurance Premium Payment (HIPP) beneficiaries• Members of federally recognized tribes <p>Certain exempt/excluded populations will have the option to enroll in managed care beginning in 2019, while others will only have the option to enroll in fee-for-service or an LME-MCO.</p> <p>Delayed Mandatory Enrollment for Special Populations (Year 1 begins in November 2019):</p> <ul style="list-style-type: none">• Year 3: Children in foster care and adoptive placements• Year 3: Certain Medicaid and NC Health Choice beneficiaries with an SMI, SUD or I/DD diagnosis and those enrolled in the TBI waiver |

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| | | <ul style="list-style-type: none"> • No earlier than Year 5: Medicaid-only beneficiaries receiving long-stay nursing home services • No earlier than Year 5: Medicaid-only CAP/C and CAP/DA waiver beneficiaries • No earlier than Year 5: Individuals who are dually-eligible for Medicare and Medicaid |
| General | How will beneficiaries that are exempt or excluded or are on a delayed managed care timeline receive Medicaid coverage? | Medicaid-eligible beneficiaries that are not transitioning to managed care will remain enrolled in the Medicaid fee-for-service program. |
| General | Are practices required to participate in AMH in order to enroll in the Medicaid program or continue to see Medicaid patients? | No. Participation in AMH is voluntary. Practices may join one or more PHP provider networks as a non-AMH practice if they wish to participate in managed care but not AMH. Participation in AMH also has no bearing a practice's ability to participate in fee-for-service. |
| General | Where can I find more information about North Carolina's transition to managed care? | <p>A policy paper describing key programmatic features of the State's transition to managed care can be found here.</p> <p>DHHS has also created a Medicaid Transformation homepage, containing additional informational resources, 1115 waiver documents, and procurement materials. It can be found here.</p> |
| General | How will the AMH program fit into North Carolina's existing care management infrastructure? | <p>The AMH program will replace Carolina ACCESS in the managed care environment. Carolina ACCESS will continue to operate for certain beneficiaries that remain in fee-for-service.</p> <p>Existing care management programs for pregnant women and at-risk children, including OBCM and CC4C, will continue to operate under managed care, although under new names (Care Management for High-Risk Pregnancy and Care Management for At-Risk Children, respectively). The State will be publishing additional information on these programs in the fall of 2018.</p> |
| General | What is the difference between the AMH "Tiers"? | <p>Under AMH Tiers 1 and 2, PHPs retain primary responsibility for ensuring that beneficiaries receive appropriate care management services:</p> <ul style="list-style-type: none"> • AMH Tier 1 is open only to practices that are currently enrolled in CAI and will sunset after two years from the launch of managed care (see here for additional information about "grandfathering"). Practice requirements are the same as for Carolina ACCESS and for AMH Tier 2 (see here for more information on practice requirements). Practices in AMH Tier 1 will receive Medical Home Fees equal to those in CAI (see below for a detailed overview of AMH payments). • AMH Tier 2 is open to all eligible practices and has the same practice requirements as Carolina ACCESS and AMH Tier 1. Practices in AMH Tier 2 will receive Medical Home Fees equal to those in CAI/CCNC. <p>Under AMH Tier 3, practices assume primary responsibility for care management, which must be</p> |

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| | | <p>delivered either directly or through a CIN or other partner. These requirements are <i>in addition to</i> Tier 1 and 2 requirements. To compensate practices for taking on additional responsibility, Tier 3 practices will be eligible for an additional Care Management Fee paid by the PHP. Additional information about Care Management Fees can be found in the glossary here.</p> <p>A practice's AMH tier certification will not have any impact on the practice's status in Carolina ACCESS.</p> |
| Practice Requirements | What are the practice requirements for AMH Tiers 1 and 2? | <p>Practice requirements for Tiers 1 and 2 are the same as requirements for Carolina ACCESS. All AMH practices must:</p> <ul style="list-style-type: none"> • Perform primary care services that include certain preventive and ancillary services (for more information on these services, refer to the AMH Provider Manual) • Create and maintain a patient-clinician relationship • Provide direct patient care a minimum of 30 office hours per week • Provide access to medical advice and services 24 hours per day, seven days per week • Refer to other providers when service cannot be provided by the PCP • Provide oral interpretation for all non-English proficient beneficiaries at no cost |
| Practice Requirements | What are the practice requirements for AMH Tier 3? | <p>Under Tier 3, practices assume primary care management responsibility. Requirements for Tier 3 include Tier 2 requirements plus additional care management responsibilities. All AMH Tier 3 practices must:</p> <ul style="list-style-type: none"> • Risk stratify all empaneled patients • Provide care management to high-need patients • Develop a Care Plan for all patients receiving care management • Provide short-term, transitional care management along with medication management to all empaneled patients who have an ED visit or hospital ADT event • Demonstrate that, at a minimum, they have active access to an ADT data source that correctly identifies specific empaneled Medicaid managed care members' admissions, discharges or transfers to/from an emergency department or hospital in real time or near real time. • Receive claims data feeds (directly or via a CIN or other partner) and meet State-designated security standards for their storage and use <p>For a full list of requirements, refer to the AMH Provider Manual.</p> |
| Practice Requirements | Do AMHs need to have all of the required care management capabilities in-house? | <p>No. Practices may contract with a CIN or other partner to provide care management services and other operational support in order to satisfy AMH practice requirements. However, participating practices are accountable for ensuring that patients are receiving required services, either directly from the practice or through a CIN or other partner.</p> |
| Practice Requirements | How closely will the AMH program align with the PCMH program? Will PCMH | <p>Attestation requirements for AMH Tier 3 are closely aligned with guidelines for NCQA Level 3 PCMH recognition. However, NCQA PCMH certification alone will not qualify practices for participation in AMH. PCMH-certified practices that wish to participate in AMH will need to follow the process for becoming</p> |

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| | recognition qualify practices for participation in AMH? | AMH-certified, as described in the FAQ here . |
| Practice Requirements | Will practices have to interface with multiple care managers (i.e., a care manager for each PHP)? | <p>Most likely, if in Tier 1 or 2. In Tiers 1 and 2, PHPs will be accountable for ensuring that beneficiaries receive required care management services. Since most practices are likely to contract with multiple PHPs, it is possible that these practices will have to interface with different care managers across multiple PHP contracts.</p> <p>For Tier 3 practices, PHPs will delegate care management responsibility to the practice level. This will allow practices to establish a unified care management platform across all of its PHP contracts.</p> |
| Practice Requirements | What are the technology and data-sharing requirements for participation in the AMH program? | See the recent concept paper entitled “Data Strategy to Support the Advanced Medical Home Program in North Carolina” for more information on this topic. |
| Practice Requirements | Will patients empaneled by Tier 1 and 2 practices receive the same care management services as those empaneled by Tier 3 practices? | Yes. Patients empaneled by AMH Tier 1 and 2 practices (and non-AMH, managed care-enrolled practices) will receive the same level of care management services as those empaneled by Tier 3 practices. Patients empaneled by non-AMH/AMH Tier 1/AMH Tier 2 practices will receive local care management services provided either directly by the PHP or through an entity contracted by the PHP. Patients empaneled by Tier 3 practices will receive the same level of care management but with services provided by the Tier 3 practice directly or by a CIN/other partner that has contracted with the Tier 3 practice (instead of the PHP). |
| Payment | How will payment for primary care case management services change following North Carolina’s transition to managed care? | <p>For most of North Carolina’s Medicaid beneficiaries, the current PCCM program – Carolina ACCESS – will be replaced under managed care by the AMH program. The AMH program will be similar in many respects to Carolina ACCESS, except Medical Home Fees will be issued to practices by PHPs instead of directly from DHHS (as under Carolina ACCESS). Tier 3 AMHs will also have the opportunity to negotiate additional Care Management Fees.</p> <p>Carolina ACCESS payments will continue to be unchanged for beneficiaries that remain in fee-for-service.</p> |
| Payment | What is the AMH payment structure? | <p>Medical Home Fees under the AMH program will be similar to those established under Carolina ACCESS. All AMHs will receive Medical Home Fees (although amounts vary by tier).</p> <p>In exchange for taking on additional care management functions, Tier 3 AMHs will also be eligible for an additional, negotiated Care Management Fee from PHPs.</p> <p>AMH Tier 1</p> <ul style="list-style-type: none"> • Medical Home Fee: \$1 PMPM – all assigned beneficiaries <p>AMH Tier 2</p> |

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| | | <ul style="list-style-type: none"> • Medical Home Fee: \$2.50 PMPM – non-ABD beneficiaries • Medical Home Fee: \$5.00 PMPM – members of the ABD eligibility group <p>AMH Tier 3</p> <ul style="list-style-type: none"> • Medical Home Fee: \$2.50 PMPM – non-ABD beneficiaries • Medical Home Fee: \$5.00 PMPM – members of the ABD eligibility group • Care Management Fee: negotiated amount with PHP |
| Payment | Will the AMH program impact medical services payments in Medicaid? | No. The AMH program will not have any effect on medical services payments and will only impact how PMPM payments for primary care case management services are delivered (i.e., those currently delivered through Carolina ACCESS). |
| Payment | Are there opportunities for practices to receive compensation in excess of Medical Home Fees that currently exist under the Carolina ACCESS program? | Yes. In addition to PMPM Medical Home Fees, which will be set at the same levels as Carolina ACCESS Medical Home Fees, Tier 3 AMHs will have the opportunity to receive Care Management Fees that are negotiated between the practice and the PHP. Tier 3 practices will also be eligible to receive upside-only performance payments from PHPs. These are additional payments that practices may earn if they meet specified cost of care, quality and patient experience measure benchmarks, and do NOT include any potential penalties if the practice does not meet those benchmarks. More information on AMH measures and performance payments will be provided later in the fall. |
| Payment | When will practices begin receiving AMH Medical Home Fees and Care Management Fees? | <p>Medical Home Fees and Care Management Fees will commence once the practice has contracted with a PHP and no earlier than November 2019 (see below for more information on PHP contracting).</p> <p>DHHS is only responsible for certifying that practices are eligible to contract with PHPs as AMHs. Certification does not mean that payments are automatically triggered, as these will only be issued once the practice contracts with one or more PHPs.</p> |
| Payment | Are Medical Home Fees negotiable? | <p>Medical Home Fee amounts are intended to serve as payment floors and PHPs are required to pay no less than published Medical Home Fees. Practices in any AMH tier are free to negotiate higher Medical Home Fees with PHPs.</p> <p>The State has not set minimum payment amounts for Care Management Fees paid to Tier 3 practices by PHPs, but the requirement that PHPs contract with 80% of Tier 3-certified practices in their service areas will serve as a basis for practices to negotiate fees that are appropriate given the additional practice requirements associated with this tier.</p> |
| Payment | If a patient refuses care management, will practices still receive Medical Home Fees and/or Care Management Fees? | <p>Yes. Medical Home Fees and Care Management Fees both will be made on a PMPM basis regardless of whether patients actually utilize care management services.</p> <p>Practices are free to negotiate separate arrangements with PHPs where they receive reimbursement contingent upon delivery of specific care management services. However, PHPs will not be permitted to pay less than the Medical Home Fee payment floors.</p> |

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| Payment | Can practices receive PMPM care management payments through other programs at the same time they are receiving AMH medical home payments? | Yes. Participation in AMH does not preclude participation in other care management programs through North Carolina Medicaid (including OBCM and CC4C) or any other payer. |
| Attestation & Certification | What types of practices are eligible to participate in AMH? How do practices become AMH-certified? | <p>In order to be eligible to participate in the AMH program, practices must provide primary care services and be enrolled in the North Carolina Medicaid program. Eligible practices are single- and multi-specialty groups led by allopathic and osteopathic physicians in the following specialties:</p> <ul style="list-style-type: none"> • General Practice • Family Medicine • Internal Medicine • OB/GYN • Pediatrics • Psychiatry and Neurology <p>For a full list of permitted subspecialties, see here.</p> <p>Eligible practices must also be certified by DHHS to participate in the AMH program. Practices that are already participating in Carolina ACCESS will be grandfathered into the AMH program. Practices that are not currently participating in Carolina ACCESS or wish to participate in a higher AMH tier will need to complete an application through NCTracks in order to become certified or change their certification status. Detailed instructions on how to attest to an AMH tier through NCTracks will be available once the portal launches on October 1, 2018.</p> |
| Attestation & Certification | When will practices be able to begin the certification process? When is the certification deadline? | <p>The AMH certification portal will launch through NCTracks on October 1, 2018. While there is no deadline for certification, PHPs will not be required to contract with practices that are certified as AMHs after January 31, 2019 (i.e., PHPs would not be required to honor a practice's AMH certification status after this date). As such, practices are strongly encouraged to certify for AMH as soon as possible in order to prepare for PHP provider network formation.</p> <p>Practices that wish to participate in AMH that are not currently enrolled in the Medicaid program are encouraged to do so through NCTracks as soon as possible, as this may delay the certification process. All practices must be enrolled in Medicaid before they can be certified to participate in AMH.</p> |
| Attestation & Certification | Will participation in Carolina ACCESS streamline AMH certification? | Yes. Practices currently enrolled in Carolina ACCESS that take no action will automatically receive an AMH certification status through a grandfathering process. CAI practices will be placed in AMH Tier 1, and CAII/CCNC practices will be placed in AMH Tier 2. |

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| | | <p>Current CAI practices that wish to participate in Tier 2 will need to elect to participate in Tier 2 via NCTracks.</p> <p>There will be no grandfathering into Tier 3. All practices, including those currently participating in Carolina ACCESS, will need to complete the Tier 3 attestation process through NCTracks in order to become certified.</p> |
| Attestation & Certification | Can any practice participate in Tier 1? How long will Tier 1 remain an option? | No. Only current CAI practices will be permitted to enter AMH Tier 1 and will do so via a grandfathering process. Practices not currently participating in Carolina ACCESS will not be able to participate in AMH Tier 1. Additionally, CAII/CCNC practices will also not be able to participate in AMH Tier 1. AMH Tier 1 will be phased out two years following managed care launch, at which time Tier 1 practices will be required to elect to participate in Tier 2 or attest to Tier 3. |
| Attestation & Certification | Can any practice participate in Tier 3? | Yes. All Medicaid-enrolled primary care practices in permitted specialties that attest to meeting Tier 3 practice requirements (described above) may participate in Tier 3. |
| Attestation & Certification | Will practices be required to contract with CCNC in order to participate in the AMH program? | No. Contracting with CCNC (or any CIN or other partner) is not a requirement of participation in the AMH program at any tier level. However, DHHS anticipates that most practices electing to participate in Tier 3 will do so with the assistance of a CIN or other partner, as Tier 3 will require significant operational, care management, and technological capacity. Tier 3 practices are free to work with CCNC, a different partner, or carry out required care management functions in-house. |
| Attestation & Certification | Will DHHS produce a list of approved CINs and other partners? | No. Practices are responsible for ensuring that CINs/other partners can fulfill AMH requirements for enrolled patients they serve, regardless of whether care management services are delivered directly by the practice or through a CIN or other partner. |
| Attestation & Certification | Can LHDs participate in the AMH program? | Yes. LHDs that provide primary care services and meet the requirements described above are eligible to participate as AMHs. |
| Attestation & Certification | Do practices need to be enrolled in Carolina ACCESS in order to participate in the AMH program? | Yes. All practices must have completed the Carolina ACCESS enrollment process through NCTracks before they will be permitted to enroll in an AMH tier. Practices not currently enrolled in Carolina ACCESS may apply to participate through NCTracks at any time. Practices will not be required to contract with CCNC (i.e., become a CAII practice) in order to participate in the AMH program. |
| Attestation & Certification | What is the unit of enrollment for the AMH program? | Practices will enroll in the AMH program at the NPI/location level. For organizational NPIs, each service location must certify and enroll separately. Practices will not have the ability to “batch attest” for multiple service locations under a single NPI. |
| Attestation & Certification | Will group NPIs be required to participate in the AMH program across all of their service locations? | No. Practices will be required to be certified for the AMH program for each NPI/location combination and may choose to participate at only some of these locations. Practices may also have different tier certifications for different locations within an organizational NPI. |
| Attestation & | Will practices be required to | Practices are not required to have attested care management capacity in place <i>at the time of</i> |

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| Certification | have attested care management capacity at the time of attestation? Is this capacity required by the time managed care goes live in November, 2019? | <p><i>attestation</i>. For example, if a Tier 3 practice plans to hire a CIN or other partner during the summer of 2019 to undertake care management functions on behalf of the practice, this would be permitted provided that all care management functions will be fully operational by the time managed care launches in November 2019.</p> <p>Practices are required to have attested capabilities in place by the time managed care launches in November 2019. Practices should not attest to capabilities they are not certain will be in place, either through a CIN/other partner or in-house, by November 2019.</p> |
| PHP Contracting | How will practices be assigned beneficiaries under the AMH program? | <p>Following enrollment in a PHP, Medicaid beneficiaries will have the opportunity to select a PCP or will be auto-assigned to one by the PHP. DHHS will require PHPs to use a methodology for auto-assigning beneficiaries to PCPs. The methodology will be required to consider beneficiary claims history, family member providers, geography, special medical needs, and language/cultural preference.</p> <p>Beneficiary assignment to an AMH will be dependent on PCP assignment. For example, an organizational NPI that enrolls in the AMH program will be assigned beneficiaries for all PCPs that practice under that NPI.</p> |
| PHP Contracting | Will PHPs be required to accept AMH certifications? | <p>In general, yes. During the initial contracting period, PHPs will be required to accept Tier 2 certifications “as is” and may not choose to reclassify Tier 2-certified practices.</p> <p>PHPs will also be required to contract with 80% of Tier 3-certified practices in their service areas. PHPs will not be <i>required</i> to contract with Tier 3-certified practices at a Tier 3 level if they are unable to reach mutually agreeable contract terms (although this would count against the PHP's 80% contracting requirement). However, PHPs must accept Tier 3-certified practices into their provider networks at a minimum Tier 2 level if they cannot reach agreement on Tier 3 contracting terms.</p> |
| PHP Contracting | Are practices locked-in to participating as an AMH Tier 3 practice if they attest to meeting Tier 3 requirements? | <p>No. Practices are free to decline Tier 3 responsibilities if they are not able to reach mutually agreeable contract terms with the PHP <i>even if the practice has attested to meeting Tier 3 requirements</i>. Before contracting at a Tier 3 level, practices should consider whether agreed upon Care Management Fees are adequate to cover the costs of additional care management responsibilities.</p> <p>If practices, PHPs will still be required to accept these practices as Tier 2 AMHs and pay Medical Home Fees.</p> |
| PHP Contracting | Who will oversee AMHs to ensure that they are meeting all program requirements? | <p>PHPs will be required to include certain language in contracts with AMH practices. The State will require PHPs to submit template contracts for use with AMHs to ensure that standard contract terms are incorporated. The state will not review each individual PHP/AMH contract, but PHPs will be expected to include contract language that tracks closely with one or more of the templates approved by the State. For additional information on standard contract terms, see Appendices A and B of the AMH Provider</p> |

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| | | <p>Manual.</p> <p>PHPs will be permitted to assess the capabilities of Tier 3-certified practices as part of the initial contracting process and prior to managed care go-live (NCQA requires that plans conduct an “initial evaluation” for all delegated functions. However, since NCQA accreditation is not required until Year 3, PHPs may vary in their approach to this). Activities by PHPs may include conducting an onsite review, telephone consultation, documentation review, or other virtual/offsite reviews. PHPs may perform evaluations of the CIN instead of or in addition to the AMH if the AMH contracts with a third party to provide any of the Tier 3 care management required services.</p> <p>PHPs will have broad discretion in ongoing oversight and monitoring of AMH practices’ performance against tier-specific AMH requirements, as reflected in contracts with AMH practices. After launch, and as part of the ongoing AMH Tier 3 design process, the State may consider if collaborative approaches to monitoring for AMH Tier 3 practices should be implemented in future years. Such collaboration may involve alignment among PHPs (once awarded) and could consider ways to streamline and conduct annual file audits to streamline the process for both AMH Tier 3 practices and PHPs.</p> |
| PHP Contracting | Can AMH practices be “re-classified” to a different tier by the PHP? | <p>Yes, in limited instances. After managed care launch, and in the event that an AMH practice is unable to perform the activities of the AMH tier to which it initially attested, the State will require the PHP to send a notice to the AMH practice. If the AMH is not able to perform the activities associated with their AMH tier, the State will permit the PHP to stop paying Medical Home Fees (and Care Management Fees, as applicable) and change (lower) the tier status of the AMH. This would not impact an AMH practice’s tier certification from the perspective of the State or any contracted arrangements with other PHPs. A PHP cannot lower the tier level of other AMH practice locations associated with the same organizational NPI or CIN without an assessment, nor can it lower the tier level of an AMH practice location based on a different PHP’s findings.</p> <p>The Department will maintain in its system of record an indicator showing the tier level of each AMH practice’s contracts with PHPs in their region. In the event that a PHP contracts with a Tier 3-certified AMH at a Tier 2 level, this contract will not be counted towards the 80% requirement.</p> <p>Note: For other aspects of underperformance not related to care management or other AMH functions, such as fraud or negligence, PHPs and the State would follow their usual processes.</p> |
| PHP Contracting | What appeal rights do AMH practices have? | AMH practices will have the right to appeal any tier certification downgrades to the PHP by going through their regular appeals process but will not be able to appeal directly to the State (practices only have appeal rights to the State for the State-designated practice certification process). However, the |

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| | | State will monitor PHPs' downgrade decisions as part of its overall monitoring of PHP activities, and may consider PHPs' pattern of downgrading in its ongoing compliance activities and in subsequent contracting decisions. |

AMH Glossary

- **1115 Demonstration Waiver:** Provides states with additional flexibility to design and improve their Medicaid programs by demonstrating and evaluating state-specific policy approaches to better serve Medicaid populations. North Carolina's amended 1115 demonstration waiver application focuses on the specific items of the Medicaid Managed Care transformation that require approval from the federal government.
- **Admission, discharge, transfer (ADT) feed:** Data feed notifying practices when members have been admitted, transferred or discharged from a hospital or emergency department. Tier 3 (and eventually Tier 4) AMHs must attest that, at a minimum, they have active access to an ADT data source that correctly identifies specific empaneled Medicaid managed care members' admissions, discharges or transfers to/from an ED or hospital in real time or near real time. At the outset of the AMH program, Tier 1 and Tier 2 AMHs are also strongly encouraged (but not required) to make use of ADT feeds.
- **Advanced Medical Home (AMH) program:** The primary vehicle for delivering care management as North Carolina transitions to managed care. The AMH program requires PHPs to coordinate care management functions with enrolled practices, which may in some cases be performed directly by the practice or through an affiliated CIN or other partner.
- **Aged, Blind, Disabled (ABD):** Medicaid eligibility group for individuals who are categorically eligible for Medicaid on the basis of being aged, blind, or disabled.
- **Care Coordination for Children (CC4C):** Care management program provided by LHDs for at-risk children ages zero to five. The program provides coordination between healthcare providers, linkages and referrals to other community programs and supports, and family supports.
- **Care management:** Team-based, person-centered approach to effectively managing patients' medical, social and behavioral conditions. PHPs will maintain ultimate accountability for care management but will have the ability to delegate responsibility for these functions to the practice level through the AMH program. Key functions of care management include: risk stratifying all empaneled patients; providing care management to high-need patients; developing a Care Plan for all patients receiving care management; providing short-term, transitional care management along with medication management to all empaneled patients who have an ED visit or hospital ADT event and who are high-risk of readmissions and other poor outcomes; and receiving claims data feeds (directly or via a CIN/other partner) and meeting State-designated security standards for their storage and use.
- **Care Management Fee:** Tier 3-certified practices will have the opportunity to negotiate Care Management Fees *in addition* to regular AMH Medical Home Fees. While PHPs will not be *required* to offer Tier 3 practices a minimum Care Management Fee, PHPs are required to contract with 80% of Tier 3-certified AMHs in the service areas. This will provide practices with leverage to negotiate fees that are appropriate given the additional care management functions that Tier 3 AMHs are required to take on.
- **Care Plan:** AMH Tier 3 practices are required to develop Care Plans for each high-need patient receiving care management. Care Plans must be individualized and person-centered, using a collaborative approach including patient and family participation where possible. Care Plans must incorporate findings from the PHP Care Needs Screening/risk scoring, practice-based risk stratification and Comprehensive Assessment with clinical knowledge and must include, at a minimum, the following elements:
 - Measurable patient (or patient and caregiver) goals;
 - Medical needs including any behavioral health needs;
 - Interventions;
 - Intended outcomes; and
 - Social, educational, and other services needed by the patient.

- **Carolina ACCESS:** North Carolina’s PCCM program since the early 1990s. Under Carolina ACCESS, practices certified as meeting certain standards for clinical access and care management receive a monthly PMPM fee; the standards and payments are tiered into two levels (CAI and CAII). Since the late 1990s, DHHS has contracted with Community Care of North Carolina (CCNC) to provide care management and enhanced services for practices and beneficiaries through a regionally-based care management model.
 - **Carolina ACCESS I (CAI):** Practices that enroll in Carolina ACCESS through NCTracks but do not enter into a contract with their local CCNC network are enrolled in CAI. CAI practices must meet all necessary practice requirements as determined by DHHS, including after-hours availability, panel size, the availability of interpretation services, hours of operation, and the availability of certain preventive and ancillary services that vary by age. In addition to fee-for-service payments, CAI practices receive \$1.00 PMPM for beneficiaries enrolled with their practice.
 - **Carolina ACCESS II (CAII/CCNC):** Practices that enroll in Carolina ACCESS through NCTracks and sign a separate contract with their local CCNC network are enrolled in CAII. This track is often referred to simply as “CCNC”. The practice requirements for CAII are identical to those in CAI with the only difference being the agreement with CCNC, which entails engagement in quality improvement and care management activities. In addition to fee-for-service payments, CAII practices receive \$2.50 PMPM for non-ABD beneficiaries and \$5.00 PMPM for ABD beneficiaries.
- **Clinically integrated network (CIN) or other partner:** Organization that provides support to AMH practices in areas such as handling data, performing analytics, and in the delivery of advanced care coordination and care management functions. DHHS does not intend for independent practices’ gaps in data/analytics, care management and related capabilities to serve as barriers for participation in more advanced AMH tiers. Rather, DHHS seeks to ensure that such practices can team with other practices and third-party partners that demonstrate high levels of competency and expertise in several areas to fulfill the responsibilities of the AMH program. AMH practices may choose to partner with CCNC (or any other partner) to fulfill these functions but are not required to do so for any level of participation in AMH. Other Tier 3 practices are free to serve as a CIN/partner for other Tier 3 practices.
- **Community Alternatives Program for Children (CAP/C):** A North Carolina Medicaid 1915(c) Waiver program that provides home- and community-based services to medically fragile children who are at risk for institutionalization in a nursing home because of their medical needs.
- **Community Alternatives Program for Disabled Adults (CAP/DA):** A North Carolina Medicaid 1915(c) Waiver program that allows seniors and disabled adults ages 18 and older to receive support services in their own home, as an alternative to nursing home placement.
- **Dual-eligible beneficiaries:** Beneficiaries who are eligible for both Medicare and Medicaid, including those enrolled in Medicare Part A and/or Part B and receiving full Medicaid benefits and/or assistance with Medicare premiums or cost sharing.
- **Emergency department (ED):** Treatment facility specializing in emergency medicine and treating patients with acute needs.
- **Federally Recognized Tribes:** Indian entities recognized and eligible to receive services from the United States Bureau of Indian Affairs. In North Carolina, this includes the Eastern Band of Cherokee Indians.
- **Fee-for-service:** A payment model in which providers are paid for each service provided.
- **Grandfathering:** Process by which practices that are currently enrolled in Carolina ACCESS will be automatically moved into AMH. CAI practices will be moved into AMH Tier 1, and CAII/CCNC practices will be moved into Tier 2.
- **Health Insurance Premium Payment (HIPP) program:** In some cases, DHHS will pay private health insurance premiums for certain individuals who are eligible for Medicaid, have private health insurance through their employer, have a high-risk illness, and are at risk of losing private coverage.
- **Intellectual/Developmental Disability (I/DD):** Category of disorders that negatively affect the trajectory of an individual’s physical, intellectual, and and/or emotional development. These are usually present at birth and often affect multiple body parts or systems.

- **Local Health Departments (LHDs):** LHDs have long played a critical role in North Carolina in the provision of care management services for high-risk pregnant women and at-risk children, in addition to primary care services and other critical public health functions. LHDs that provide primary care services are permitted to participate in Carolina ACCESS and AMH.
- **Managed Care:** In September 2015, the General Assembly enacted Session Law 2015-245, directing the transition of Medicaid from a fee-for-service structure to a managed care structure in order to advance high-value care, improve population health, engage and support providers, and establish a sustainable program with predictable costs. Beginning in November 2019, DHHS will delegate the direct management of certain health services and financial risks to PHPs. PHPs will receive a monthly capitated payment and will contract with providers to deliver health services to their members.
- **Medicaid:** Provides health coverage to over 2 million North Carolinians, including eligible low-income adults, children, pregnant women, elderly adults and people with disabilities. References to “Medicaid” in this document also encompass NC Health Choice, the State’s comprehensive health coverage program for low-income children.
- **Medical Home Fees:** PMPM payment to Carolina ACCESS and AMH practices that meet certain standards for clinical access and care management. Fees vary between CAI and CAII/CCNC. Additionally, CAII/CCNC and AMH practices receive increased Medical Home Fees for ABD beneficiaries.
- **Medically needy:** Medicaid eligibility pathway for families, children, aged, blind, or disabled individuals, and pregnant women with income that is too high to qualify for Medicaid but who have significant medical expenses and limited assets.
- **National Provider Identifier (NPI):** Standard unique health identifier for health care providers adopted by the Secretary of US Department of Health and Human Services. NPIs are established at the individual provider-level or at the organization-level.
- **North Carolina Department of Health and Human Services (DHHS):** DHHS manages the delivery of health- and human-related services for all North Carolinians, including the State’s most vulnerable citizens – children, elderly, disabled and low-income families. It administers the State’s Medicaid and NC Health Choice programs as well as a number of other programs and initiatives aimed at improving the health, safety and well-being of residents.
- **North Carolina Health Choice (NC Health Choice):** North Carolina’s CHIP program. NC Health Choice provides comprehensive health coverage program for low-income children.
- **Obstetric Care Management (OBCM):** Care management program provided by LHDs for pregnant Medicaid beneficiaries identified as being at high risk of a poor birth outcome. The care management model consists of education, support, linkages to other services and management of high-risk behavior that may have an impact on birth outcomes. Women identified as having a high-risk pregnancy are assigned a pregnancy care manager to coordinate their care and services through the end of the post-partum period.
- **Obstetrics and Gynecology (OB/GYN):** A medical specialty that deals primarily with maternal and infant health, although many OB/GYN providers in North Carolina provide primary care services. OB/GYNs that provide primary care services are permitted to participate in Carolina ACCESS and AMH.
- **Patient-Centered Medical Home (PCMH):** PCMH is a widely used primary care medical home model developed and recognized by the National Committee for Quality Assurance (NCQA). PCMH contains similar requirements those used in AMH, but recognition has no bearing on AMH certification.
- **Practice:** Term is intended to encompass a broad range of healthcare facilities, clinics, and providers that deliver medical care services to North Carolina Medicaid beneficiaries. Practices will participate in the AMH program at the NPI/location level. For practices that enroll through organizational NPIs, individual AMH practices may include multiple providers.
- **Prepaid Health Plan (PHP):** A PHP is managed care organization to which DHHS will delegate the direct management of certain health services and financial risk. PHPs will receive a monthly capitated payment and will contract with providers to deliver health services to their members. PHPs will be subject to rigorous monitoring and oversight by DHHS across many metrics to ensure adequate provider networks, high program quality, and other important aspects of a successful Medicaid managed care program.

- **Presumptive eligibility:** Permits qualified entities to immediately extend temporary Medicaid coverage to uninsured individuals if they appear to be eligible based on income.
- **Primary care case management (PCCM):** Model of managed care in which the State pays population-based, PMPM payments to practices that agree to meet certain standards for clinical access and care management.
- **Primary care provider (PCP):** Physician, physician extender (e.g., physician assistant, nurse practitioner, certified nurse midwife), or group practice/center selected by the beneficiary or assigned by the PHP to provide and coordinate all the beneficiary's health care needs and to initiate and monitor referrals for specialized services, when required.
- **Program of All-Inclusive Care for the Elderly (PACE):** A federal program that provides a capitated benefit for individuals age 55 and older who meet nursing facility level of care. PACE features a comprehensive service delivery system and integrated Medicare and Medicaid financing.
- **Risk stratification:** Method for identifying high-risk patients who would benefit from care management. Tier 3 practices (or their designated CIN/other partner) are required to use a consistent method to combine risk scoring information received from PHPs with clinical information to score and stratify the patient panel. Practices are not required to purchase a risk stratification tool. Applying clinical judgment to risk scores received from the PHP will suffice.
- **Serious mental illness (SMI):** Characterized by persons 18 years and older who, at any time during the past year, have had a diagnosable mental, behavioral, or emotional disorder that causes serious functional impairment that substantially interferes with or limits one or more major life activities. Diagnoses commonly associated with SMI include major depression, schizophrenia, and bipolar disorder.
- **Short-term, transitional care management:** Management of beneficiary needs during transitions of care (e.g., from hospital to home).
- **Substance use disorder (SUD):** Recurrent use of alcohol and/or drugs that causes clinically and functionally significant impairment, such as health problems, disability, and failure to meet major responsibilities at work, school, or home.
- **Traumatic Brain Injury (TBI) Waiver:** A North Carolina Medicaid 1915(c) Waiver program that established pilot project in Cumberland, Durham, Johnston and Wake counties to offer rehabilitation services for adults who have suffered TBI on or after their 22nd birthday.
- **Upside-only risk:** Tier 3 practices will be eligible for incentive payments from PHPs based on performance on State-approved AMH quality measures (more information on measures will be provided in the fall of 2018). For at least the first two years of the AMH program, these incentives will be on an "upside-only" basis, meaning that practices will be eligible to earn additional payments if they meet specified cost of care, quality and patient experience measure benchmarks. Practices will NOT be at risk of losing money if they do not meet specified performance targets (i.e., they will not be exposed to "downside risk"). In other words, PHPs will not be permitted to require practices to pay back PMPM Medical Home Fees, Care Management Fees or any other payments for medical services. Practices are permitted to negotiate arrangements that include downside risk, but PHPs may not mandate that practices accept these terms. Beginning in year 3 of managed care, the State plans to launch AMH Tier 4, which will require that practices take on downside risk.