

Amendment Number 12 (13)
Prepaid Health Plan Services
#30-190029-DHB – PHP

THIS Amendment to the Prepaid Health Plan Services Contract #30-190029-DHB – PHP Name (Contract) awarded February 4, 2019 and subsequently amended, is between the North Carolina Department of Health and Human Services, Division of Health Benefits (Division), and PHP Name (Contractor), each, a Party and collectively, the Parties.

Background:

The purpose of this Amendment is to make updates to capitation rates and risk sharing terms.

The Parties agree as follows:

- 1. Section X. Fourth Revised and Restated Summary of Contractual Payment and Risk Sharing Terms**
is revised and restated in its entirety as *Section X. Fifth Revised and Restated Summary of Contractual Payment and Risk Sharing Terms*.

- 2. Effective Date**
This Amendment is effective upon the later of the execution dates by the Parties, subject to approval by CMS.

- 3. Other Requirements**
Unless expressly amended herein, all other terms and conditions of the Contract, as previously amended, shall remain in full force and effect.

Execution:

By signing below, the Parties execute this Amendment in their official capacities and agree to the amended terms and conditions outlined herein as of the Effective Date.

Department of Health and Human Services

Dave Richard, Deputy Secretary

Date: _____

PHP Name

PHP Authorized Signature

Date: _____

SECTION X. FIFTH REVISED AND RESTATED SUMMARY OF CONTRACTUAL PAYMENT AND RISK SHARING TERMS

A. This section summarizes SFY 2023 capitation payment and risk sharing terms and figures included in the Standard Plan Rate Book for State Fiscal Year 2023 dated July 29, 2022. Beginning in August 2021, the ABD, TANF and Other Related Children ages 1-20, and TANF and other Related Adults ages 21+ rates will be risk-adjusted using the Combined Chronic Illness and Pharmacy Payment System (CDPS+Rx) risk adjustment mode.

Base Capitation Rates by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$1,682.70	\$1,601.73	\$1,858.52	\$1,701.82	\$1,536.52	\$1,532.32
TANF, Newborns (<1)	\$1,027.18	\$831.15	\$924.50	\$865.21	\$828.49	\$866.10
TANF, Children (1–20)	\$183.73	\$162.03	\$174.11	\$160.48	\$162.78	\$157.99
TANF, Adults (21+)	\$404.84	\$412.59	\$422.13	\$407.58	\$431.61	\$406.60
Maternity Event	\$11,515.44	\$10,862.95	\$12,417.16	\$11,257.89	\$10,782.66	\$11,805.50

PMPM Capitation Add-on Rates by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$7.31	\$13.20	\$20.77	\$15.61	\$13.09	\$14.18
TANF, Newborns (<1)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TANF, Children (1–20)	\$0.00	\$0.01	\$0.01	\$0.00	\$0.00	\$0.00
TANF, Adults (21+)	\$0.02	\$0.27	\$0.34	\$0.24	\$0.28	\$0.21
Maternity Event	\$0.00	\$0.00	\$0.00	\$0.00	\$0.28	\$0.00

Target Service Ratio Underlying Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	91.7%	91.0%	91.5%	90.9%	91.3%	91.3%
TANF, Newborns (<1)	91.1%	90.3%	90.8%	90.0%	90.5%	90.6%
TANF, Children (1–20)	88.2%	87.6%	87.8%	85.8%	87.2%	87.7%
TANF, Adults (21+)	89.9%	89.4%	89.7%	89.1%	89.5%	89.6%
Maternity Event	94.5%	94.0%	94.3%	94.0%	94.2%	94.2%

Minimum PCP Expenditures as a Percentage of Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	4.5%	4.8%	4.7%	4.5%	5.4%	4.8%
TANF, Newborns (<1)	11.2%	15.2%	14.2%	13.7%	15.4%	13.3%
TANF, Children (1–20)	17.9%	19.1%	18.6%	17.9%	18.4%	17.6%
TANF, Adults (21+)	8.9%	8.9%	9.3%	9.2%	10.3%	9.0%
Maternity Event	15.3%	16.1%	15.5%	15.5%	16.8%	16.5%

Contractual Minimum MLR Thresholds by Rating Group

ABD	TANF, Newborn (<1)	TANF, Child (1-20)	TANF, Adult (21+)	Maternity Event	Total Standard Plan
89.2%	88.8%	85.8%	88.1%	92.3%	88.0%