

Amendment Number 22 (23)
Prepaid Health Plan Services
#30-190029-DHB – PHP

THIS Amendment to the Prepaid Health Plan Services Contract #30-190029-DHB – **PHP Name** (Contract) awarded February 4, 2019, and subsequently amended, is between the North Carolina Department of Health and Human Services, Division of Health Benefits (Division), and **PHP Name** (Contractor), each, a Party and collectively, the Parties.

Background:

The purpose of this Amendment is to update Section X. Summary of Contractual Payments and Risk Sharing Terms to incorporate rates for the period spanning April 1, 2022 – June 30, 2022.

The Parties agree as follows:

1. **Section X. Third Revised and Restated Summary of Contractual Payment and Risk Sharing Terms** is revised and restated in its entirety and renamed as *Section X. Third Revised and Restated Summary of Contractual Payment and Risk Sharing Terms (November 2021 – June 30, 2022)* to incorporate the rates for the period spanning April 1, 2022 – June 30, 2022.
2. **Effective Date**
This Amendment is effective upon the later of the execution dates by the Parties, subject to approval by CMS.
3. **Other Requirements**
Unless expressly amended herein, all other terms and conditions of the Contract, as previously amended, shall remain in full force and effect.

Execution:

By signing below, the Parties execute this Amendment in their official capacities and agree to the amended terms and conditions outlined herein as of the Effective Date.

Department of Health and Human Services

Jay Ludlam, Deputy Secretary
NC Medicaid

Date: _____

PHP Name

PHP Authorized Signature

Date: _____

SECTION X. Third Revised and Restated Summary of Contractual Payment and Risk Sharing Terms (November 2021 – June 2022)

A. This Section summarizes November 1, 2021 – December 31, 2021 capitation payment and risk sharing terms and figures included the Standard Plan Rate Book for State Fiscal Year 2022 dated December 10, 2021. Beginning in August 2021, the ABD, TANF and Other Related Children ages 1–20, and TANF and Other Related Adults ages 21+ rates will be risk-adjusted using the Combined Chronic Illness and Pharmacy Payment System (CDPS+Rx) risk adjustment model.

Base Capitation Rates by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$1,638.69	\$1,568.54	\$1,805.36	\$1,651.78	\$1,508.27	\$1,482.06
TANF, Newborns (<1)	\$979.21	\$814.62	\$901.66	\$842.13	\$805.08	\$843.07
TANF, Children (1–20)	\$181.70	\$160.32	\$169.46	\$155.08	\$159.47	\$155.19
TANF, Adults (21+)	\$406.17	\$412.71	\$417.29	\$403.51	\$423.37	\$403.99
Maternity Event	\$11,174.86	\$10,737.45	\$12,097.22	\$10,996.25	\$10,547.38	\$11,544.12

PMPM Add-on for Legislative Rate Increases by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$14.25	\$13.94	\$16.08	\$14.93	\$14.02	\$14.55
TANF, Newborns (<1)	\$6.91	\$7.26	\$8.02	\$7.52	\$8.33	\$7.88
TANF, Children (1–20)	\$2.79	\$2.48	\$2.72	\$2.42	\$2.53	\$2.41
TANF, Adults (21+)	\$5.03	\$5.70	\$5.76	\$5.85	\$6.28	\$5.61
Maternity Event	\$104.73	\$113.68	\$120.26	\$107.08	\$118.37	\$119.99

PMPM Add-on for DHHS Authorized Rate Increases by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$22.63	\$36.19	\$53.26	\$41.21	\$36.02	\$39.14
TANF, Newborns (<1)	\$4.81	\$5.01	\$5.02	\$5.01	\$4.62	\$4.71
TANF, Children (1–20)	\$3.29	\$3.14	\$3.11	\$3.30	\$3.25	\$3.13
TANF, Adults (21+)	\$2.16	\$2.32	\$2.63	\$2.39	\$2.74	\$2.73
Maternity Event	\$12.00	\$3.21	\$7.15	\$14.88	\$7.30	\$16.93

Target Service Ratio Underlying Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	92.5%	91.8%	92.3%	91.8%	92.1%	92.1%

TANF, Newborns (<1)	91.8%	91.1%	91.6%	91.0%	91.3%	91.4%
TANF, Children (1–20)	89.0%	88.5%	88.6%	87.8%	88.1%	88.6%
TANF, Adults (21+)	90.7%	90.2%	90.5%	90.0%	90.4%	90.4%
Maternity Event	95.1%	94.6%	94.9%	94.6%	94.8%	94.9%

Target Service Ratios Underlying COVID-19 Add-Ons

COA/Region	Legislative Add-Ons	DHHS Rate Action Add-Ons
All COA, All Regions	98.75%	98.75%

Minimum PCP Expenditures as a Percentage of Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	4.4%	4.9%	4.7%	4.6%	5.3%	4.8%
TANF, Newborns (<1)	11.5%	15.2%	14.1%	13.8%	15.4%	13.4%
TANF, Children (1–20)	17.6%	18.9%	18.5%	18.1%	18.3%	17.5%
TANF, Adults (21+)	8.6%	8.8%	9.1%	9.1%	10.3%	8.9%
Maternity Event	15.4%	15.9%	15.5%	15.5%	16.8%	16.5%

Contractual Minimum MLR Thresholds by Rating Group

ABD	TANF, Newborn (<1)	TANF, Child (1-20)	TANF, Adult (21+)	Maternity Event	Total Standard Plan
89.1%	88.7%	85.8%	88.0%	91.9%	88.0%

B. This Section summarizes January 1, 2022 – January 31, 2022 capitation payment and risk sharing terms and figures. Beginning in August 2021, the ABD, TANF and Other Related Children ages 1–20, and TANF and Other Related Adults ages 21+ rates will be risk-adjusted using the Combined Chronic Illness and Pharmacy Payment System (CDPS+Rx) risk adjustment model.

Base Capitation Rates by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$1,638.74	\$1,568.59	\$1,805.41	\$1,652.18	\$1,508.33	\$1,482.11
TANF, Newborns (<1)	\$979.21	\$814.61	\$901.65	\$843.39	\$805.07	\$843.07
TANF, Children (1–20)	\$181.73	\$160.34	\$169.48	\$156.36	\$159.49	\$155.20
TANF, Adults (21+)	\$406.19	\$412.73	\$417.31	\$403.53	\$423.39	\$404.00
Maternity Event	\$11,174.84	\$10,737.43	\$12,097.20	\$10,996.22	\$10,547.36	\$11,544.11

PMPM Add-on for Legislative Rate Increases by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$0.16	\$0.19	\$0.31	\$1.01	\$0.21	\$0.34
TANF, Newborns (<1)	\$0.00	\$0.00	\$0.01	\$0.01	\$0.01	\$0.00
TANF, Children (1–20)	\$0.10	\$0.04	\$0.07	\$0.09	\$0.05	\$0.08
TANF, Adults (21+)	\$0.09	\$0.06	\$0.14	\$0.52	\$0.14	\$0.13
Maternity Event	\$0.00	\$0.00	\$0.00	\$0.01	\$0.00	\$0.00

PMPM Add-on for DHHS Authorized Rate Increases by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$12.88	\$24.05	\$37.87	\$28.12	\$24.47	\$26.54
TANF, Newborns (<1)	\$0.90	\$0.81	\$0.71	\$0.80	\$0.51	\$0.45
TANF, Children (1–20)	\$0.30	\$0.21	\$0.11	\$0.18	\$0.11	\$0.15
TANF, Adults (21+)	\$0.45	\$0.56	\$0.79	\$0.70	\$0.83	\$0.78
Maternity Event	\$6.73	\$0.70	\$0.83	\$3.95	\$3.00	\$6.85

Target Service Ratio Underlying Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	92.5%	91.8%	92.3%	91.8%	92.1%	92.1%
TANF, Newborns (<1)	91.8%	91.1%	91.6%	90.9%	91.3%	91.4%
TANF, Children (1–20)	89.0%	88.5%	88.6%	87.1%	88.1%	88.6%
TANF, Adults (21+)	90.7%	90.2%	90.5%	90.0%	90.4%	90.4%
Maternity Event	95.1%	94.6%	94.9%	94.6%	94.8%	94.9%

Target Service Ratios Underlying COVID-19 Add-Ons

COA/Region	Legislative Add-Ons	DHHS Rate Action Add-Ons
All COA, All Regions	98.75%	98.75%

Minimum PCP Expenditures as a Percentage of Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	4.4%	4.9%	4.7%	4.6%	5.3%	4.8%
TANF, Newborns (<1)	11.5%	15.2%	14.1%	13.8%	15.4%	13.4%
TANF, Children (1–20)	17.6%	18.9%	18.5%	18.0%	18.3%	17.5%
TANF, Adults (21+)	8.6%	8.8%	9.1%	9.1%	10.3%	8.9%
Maternity Event	15.4%	15.9%	15.5%	15.5%	16.8%	16.5%

Contractual Minimum MLR Thresholds by Rating Group

ABD	TANF, Newborn (<1)	TANF, Child (1-20)	TANF, Adult (21+)	Maternity Event	Total Standard Plan
89.1%	88.7%	85.7%	88.0%	91.9%	88.0%

C. **This Section summarizes February 1, 2022 – February 28, 2022 capitation payment and risk sharing terms and figures.** Beginning in August 2021, the ABD, TANF and Other Related Children ages 1–20, and TANF and Other Related Adults ages 21+ rates will be risk-adjusted using the Combined Chronic Illness and Pharmacy Payment System (CDPS+Rx) risk adjustment model.

Base Capitation Rates by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$1,638.74	\$1,568.59	\$1,805.41	\$1,652.18	\$1,508.33	\$1,482.11
TANF, Newborns (<1)	\$979.21	\$814.61	\$901.65	\$843.39	\$805.07	\$843.07
TANF, Children (1–20)	\$181.73	\$160.34	\$169.48	\$156.36	\$159.49	\$155.20
TANF, Adults (21+)	\$406.19	\$412.73	\$417.31	\$403.53	\$423.39	\$404.00
Maternity Event	\$11,174.84	\$10,737.43	\$12,097.20	\$10,996.22	\$10,547.36	\$11,544.11

PMPM Add-on for Legislative Rate Increases by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$0.16	\$0.19	\$0.31	\$1.01	\$0.21	\$0.34
TANF, Newborns (<1)	\$0.00	\$0.00	\$0.01	\$0.01	\$0.01	\$0.00
TANF, Children (1–20)	\$0.10	\$0.04	\$0.07	\$0.09	\$0.05	\$0.08
TANF, Adults (21+)	\$0.09	\$0.06	\$0.14	\$0.52	\$0.14	\$0.13
Maternity Event	\$0.00	\$0.00	\$0.00	\$0.01	\$0.00	\$0.00

PMPM Add-on for DHHS Authorized Rate Increases by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$12.09	\$23.88	\$37.63	\$27.68	\$24.10	\$26.09
TANF, Newborns (<1)	\$0.47	\$0.41	\$0.63	\$0.35	\$0.33	\$0.15
TANF, Children (1–20)	\$0.06	\$0.06	\$0.06	\$0.04	\$0.02	\$0.04
TANF, Adults (21+)	\$0.11	\$0.50	\$0.70	\$0.51	\$0.64	\$0.56
Maternity Event	\$0.12	\$0.06	\$0.23	\$0.13	\$1.14	\$0.12

Target Service Ratio Underlying Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	92.5%	91.8%	92.3%	91.8%	92.1%	92.1%

TANF, Newborns (<1)	91.8%	91.1%	91.6%	90.9%	91.3%	91.4%
TANF, Children (1–20)	89.0%	88.5%	88.6%	87.1%	88.1%	88.6%
TANF, Adults (21+)	90.7%	90.2%	90.5%	90.0%	90.4%	90.4%
Maternity Event	95.1%	94.6%	94.9%	94.6%	94.8%	94.9%

Target Service Ratios Underlying COVID-19 Add-Ons

COA/Region	Legislative Add-Ons	DHHS Rate Action Add-Ons
<i>All COA, All Regions</i>	98.75%	98.75%

Minimum PCP Expenditures as a Percentage of Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	4.4%	4.9%	4.7%	4.6%	5.3%	4.8%
TANF, Newborns (<1)	11.5%	15.2%	14.1%	13.8%	15.4%	13.4%
TANF, Children (1–20)	17.6%	18.9%	18.5%	18.0%	18.3%	17.5%
TANF, Adults (21+)	8.6%	8.8%	9.1%	9.1%	10.3%	8.9%
Maternity Event	15.4%	15.9%	15.5%	15.5%	16.8%	16.5%

Contractual Minimum MLR Thresholds by Rating Group

ABD	TANF, Newborn (<1)	TANF, Child (1-20)	TANF, Adult (21+)	Maternity Event	Total Standard Plan
89.1%	88.7%	85.7%	88.0%	91.9%	88.0%

D. This Section summarizes March 1, 2022 – March 31, 2022 capitation payment and risk sharing terms and figures. Beginning in August 2021, the ABD, TANF and Other Related Children ages 1–20, and TANF and Other Related Adults ages 21+ rates will be risk-adjusted using the Combined Chronic Illness and Pharmacy Payment System (CDPS+Rx) risk adjustment model.

Base Capitation Rates by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$1,640.01	\$1,571.62	\$1,809.79	\$1,655.86	\$1,510.78	\$1,485.59
TANF, Newborns (<1)	\$979.30	\$814.83	\$901.94	\$843.56	\$805.19	\$843.10
TANF, Children (1–20)	\$181.77	\$160.37	\$169.50	\$156.38	\$159.49	\$155.20
TANF, Adults (21+)	\$406.21	\$412.75	\$417.39	\$403.96	\$423.49	\$404.09
Maternity Event	\$11,174.70	\$10,737.12	\$12,096.79	\$10,995.76	\$10,547.10	\$11,543.69

PMPM Add-on for Legislative Rate Increases by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$0.06	\$0.02	\$0.02	\$0.03	\$0.03	\$0.09
TANF, Newborns (<1)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TANF, Children (1–20)	\$0.03	\$0.00	\$0.01	\$0.00	\$0.01	\$0.04
TANF, Adults (21+)	\$0.07	\$0.01	\$0.03	\$0.02	\$0.02	\$0.05
Maternity Event	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

PMPM Add-on for DHHS Authorized Rate Increases by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$8.97	\$16.40	\$25.90	\$19.63	\$17.53	\$17.72
TANF, Newborns (<1)	\$0.53	\$0.18	\$0.44	\$0.20	\$0.38	\$0.16
TANF, Children (1–20)	\$0.94	\$0.65	\$0.90	\$1.27	\$1.39	\$0.74
TANF, Adults (21+)	\$0.13	\$0.40	\$0.56	\$0.43	\$0.51	\$0.41
Maternity Event	\$0.12	\$0.06	\$0.23	\$0.13	\$1.02	\$0.12

Target Service Ratio Underlying Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	92.5%	91.8%	92.3%	91.8%	92.1%	92.1%
TANF, Newborns (<1)	91.8%	91.1%	91.6%	90.9%	91.3%	91.4%
TANF, Children (1–20)	89.0%	88.5%	88.6%	87.1%	88.1%	88.6%
TANF, Adults (21+)	90.7%	90.2%	90.5%	90.0%	90.4%	90.4%
Maternity Event	95.1%	94.7%	94.9%	94.6%	94.8%	94.9%

Target Service Ratios Underlying COVID-19 Add-Ons

COA/Region	Legislative Add-Ons	DHHS Rate Action Add-Ons
All COA, All Regions	98.75%	98.75%

Minimum PCP Expenditures as a Percentage of Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	4.4%	4.8%	4.7%	4.6%	5.3%	4.8%
TANF, Newborns (<1)	11.5%	15.2%	14.1%	13.8%	15.4%	13.4%
TANF, Children (1–20)	17.6%	18.9%	18.5%	18.0%	18.3%	17.5%
TANF, Adults (21+)	8.6%	8.8%	9.1%	9.1%	10.3%	8.9%
Maternity Event	15.4%	15.9%	15.5%	15.5%	16.8%	16.5%

Contractual Minimum MLR Thresholds by Rating Group

ABD	TANF, Newborn (<1)	TANF, Child (1-20)	TANF, Adult (21+)	Maternity Event	Total Standard Plan
89.1%	88.7%	85.7%	88.0%	91.9%	88.0%

E. This Section summarizes April 1, 2022 – June 30, 2022 capitation payment and risk sharing terms and figures. Beginning in August 2021, the ABD, TANF and Other Related Children ages 1–20, and TANF and Other Related Adults ages 21+ rates will be risk-adjusted using the Combined Chronic Illness and Pharmacy Payment System (CDPS+Rx) risk adjustment model.

Base Capitation Rates by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$1,640.01	\$1,571.62	\$1,809.79	\$1,655.86	\$1,510.78	\$1,485.59
TANF, Newborns (<1)	\$979.30	\$814.83	\$901.94	\$843.56	\$805.19	\$843.10
TANF, Children (1–20)	\$181.77	\$160.37	\$169.50	\$156.38	\$159.49	\$155.20
TANF, Adults (21+)	\$406.21	\$412.75	\$417.39	\$403.96	\$423.49	\$404.09
Maternity Event	\$11,174.70	\$10,737.12	\$12,096.79	\$10,995.76	\$10,547.10	\$11,543.69

PMPM Add-on for Legislative Rate Increases by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$0.06	\$0.02	\$0.02	\$0.03	\$0.03	\$0.09
TANF, Newborns (<1)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TANF, Children (1–20)	\$0.03	\$0.00	\$0.01	\$0.00	\$0.01	\$0.04
TANF, Adults (21+)	\$0.07	\$0.01	\$0.03	\$0.02	\$0.02	\$0.05
Maternity Event	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

PMPM Add-on for DHHS Authorized Rate Increases by Region and COA

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	\$8.68	\$19.07	\$30.63	\$22.76	\$19.68	\$20.39
TANF, Newborns (<1)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TANF, Children (1–20)	\$0.00	\$0.01	\$0.01	\$0.01	\$0.00	\$0.01
TANF, Adults (21+)	\$0.02	\$0.42	\$0.55	\$0.40	\$0.45	\$0.40
Maternity Event	\$0.00	\$0.00	\$0.00	\$0.00	\$0.42	\$0.00

Target Service Ratio Underlying Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	92.5%	91.8%	92.3%	91.8%	92.1%	92.1%
TANF, Newborns (<1)	91.8%	91.1%	91.6%	90.9%	91.3%	91.4%
TANF, Children (1–20)	89.0%	88.5%	88.6%	87.1%	88.1%	88.6%
TANF, Adults (21+)	90.7%	90.2%	90.5%	90.0%	90.4%	90.4%
Maternity Event	95.1%	94.7%	94.9%	94.6%	94.8%	94.9%

Target Service Ratios Underlying COVID-19 Add-Ons

COA/Region	DHHS Rate Action	
	Legislative Add-Ons	Add-Ons
All COA, All Regions	98.75%	98.75%

Minimum PCP Expenditures as a Percentage of Base Capitation Rates

COA	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
ABD	4.4%	4.8%	4.7%	4.6%	5.3%	4.8%
TANF, Newborns (<1)	11.5%	15.2%	14.1%	13.8%	15.4%	13.4%
TANF, Children (1–20)	17.6%	18.9%	18.5%	18.0%	18.3%	17.5%
TANF, Adults (21+)	8.6%	8.8%	9.1%	9.1%	10.3%	8.9%
Maternity Event	15.4%	15.9%	15.5%	15.5%	16.8%	16.5%

Contractual Minimum MLR Thresholds by Rating Group

ABD	TANF, Newborn (<1)	TANF, Child (1-20)	TANF, Adult (21+)	Maternity Event	Total Standard Plan
89.1%	88.7%	85.7%	88.0%	91.9%	88.0%