

Amendment Number 5
Contract #30-2022-007-DHB-#
Medicaid Direct Prepaid Inpatient Health Plan Contract

This Amendment (“Amendment”) to Contract #30-2022-007-DHB-# (Contract), as subsequently amended, is between the North Carolina Department of Health and Human Services, Division of Health Benefits (Division), and PIHP NAME (Contractor), each, a Party and collectively, the Parties.

Purpose:

The purpose of this Amendment is to revise and restate the PIHP Catchment Areas and incorporate PIHP Medicaid Payment Amounts in *Section VI. Contract Attachments*.

The Parties agree as follows:

I. Modifications to Section VI. Attachments

Specific subsections are modified as stated herein.

For Trillium Health Resources Only: Attachment L: Policies, 7. Approved Trillium Health Resources In Lieu of Services is revised and restated in its entirety as *Attachment L: Policies, 7. First Revised and Restated Approved Trillium Health Resources In Lieu of Services* and is attached to this Amendment.

- a. **Attachment P: PIHP Capitation Rates** is revised and restated in its entirety to incorporate PIHP Capitation Rates as *Attachment P: First Revised and Restated PIHP Capitation Rates* and is attached to this Amendment.
- b. **Attachment Q: PIHP Catchment Areas** is revised and restated in its entirety as *Attachment Q: First Revised and Restated PIHP Catchment Areas* and is attached to this Amendment.

II. Effective Date

This Amendment is effective February 1, 2024, unless otherwise explicitly stated herein, upon the later of the execution dates by the Parties, subject to approval by CMS.

III. Other Requirements

Unless expressly amended herein, all other terms and conditions of the Contract, as previously amended, shall remain in full force and effect.

IV. Execution

By signing below, the Parties execute this Amendment in their official capacities and agree to the amended terms and conditions outlined herein as of the Effective Date.

North Carolina Department of Health and Human Services

Jay Ludlam, Deputy Secretary

Date: _____

PIHP NAME

PIHP EXECUTIVE NAME FOR EXECUTION

Date: _____

Section VI. Attachment P: PIHP Capitation Rates

The PIHP Medicaid Payment Amounts provided below apply to the services under Contract #30-2022-007-DHB.

PIHP Medicaid Payment Amounts

Alliance Health PIHP Medicaid Capitation Rates

July 1, 2023 - November 30, 2023

CAPITATION RATES (Total Rate)

Rating Group	Ages	July - November 2023 Contract Rates
Standard Plan, Non-ABD	All Ages	\$ 11.27
Standard Plan, ABD	All Ages	\$ 30.93
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 596.43
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 950.59
BH I/DD Tailored Plan, ABD	21+	\$ 1,253.60
Innovations	All Ages	\$ 8,935.78
Foster Children	All Ages	\$ 801.78
Other	All Ages	\$ 6.87
TBI	22+	\$ 7,281.84

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	July - November 2023 Contract Rates
Total Across All Rating Groups	All Ages	91.6%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	July - November 2023 Contract Rates
Total Across All Rating Groups	All Ages	85.0%

PIHP Medicaid Payment Amounts

Alliance Health PIHP Medicaid Capitation Rates

December 1, 2023 - December 31, 2023

CAPITATION RATES (Total Rate)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Standard Plan, Non-ABD	All Ages	\$ 11.27
Standard Plan, ABD	All Ages	\$ 30.94
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 596.52
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 950.73
BH I/DD Tailored Plan, ABD	21+	\$ 1,253.78
Innovations	All Ages	\$ 8,937.07
Foster Children	All Ages	\$ 801.90
Other	All Ages	\$ 6.87
TBI	22+	\$ 7,282.89
Standard Plan, Newly Eligible	19-64	\$ 18.91
BH I/DD Tailored Plan, Newly Eligible	19-64	\$ 444.91

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Total Across Non-Expansion Rating Groups	All Ages	91.6%
Total Across Expansion Rating Groups	19-64	91.6%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Total Across All Rating Groups	All Ages	85.0%

PIHP Medicaid Payment Amounts

Alliance Health PIHP Medicaid Capitation Rates

January 1, 2024 - January 31, 2024

CAPITATION RATES (Total Rate)

Rating Group	Ages	January 2024 - June 2024 Contract Rates
Standard Plan, Non-ABD	All Ages	\$ 11.57
Standard Plan, ABD	All Ages	\$ 35.66
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 642.12
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 1,024.33
BH I/DD Tailored Plan, ABD	21+	\$ 1,305.53
Innovations	All Ages	\$ 8,917.78
Foster Children	All Ages	\$ 857.31
Other	All Ages	\$ 8.45
TBI	22+	\$ 8,192.57
Standard Plan, Newly Eligible	19-64	\$ 21.47
BH I/DD Tailored Plan, Newly Eligible	19-64	\$ 481.41

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	January 2024 - June 2024 Contract Rates
Total Across Non-Expansion Rating Groups	All Ages	91.8%
Total Across Expansion Rating Groups	19-64	91.9%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	January 2024 - June 2024 Contract Rates
Total Across All Rating Groups	All Ages	85.0%

PIHP Medicaid Payment Amounts

Alliance Health PIHP Medicaid Capitation Rates

February 1, 2024 - June 30, 2024

CAPITATION RATES (Total Rate)

Rating Group	Ages	Alliance - Historical Region February 2024 - June 2024	Alliance - Harnett County February 2024 - June 2024
Standard Plan, Non-ABD	All Ages	\$ 11.57	\$ 10.83
Standard Plan, ABD	All Ages	\$ 35.64	\$ 28.17
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 656.76	\$ 516.95
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 1,041.39	\$ 1,036.52
BH I/DD Tailored Plan, ABD	21+	\$ 1,311.17	\$ 788.23
Innovations	All Ages	\$ 8,913.31	\$ 8,689.29
Foster Children	All Ages	\$ 873.05	\$ 418.22
Other	All Ages	\$ 8.45	\$ 4.48
TBI	22+	\$ 8,187.17	\$ 8,187.17
Standard Plan, Newly Eligible	19-64	\$ 21.46	\$ 18.71
BH I/DD Tailored Plan, Newly Eligible	19-64	\$ 502.08	\$ 431.19

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	February 2024 - June 2024 Alliance - Total Catchment Area
Total Across Non-Expansion Rating Groups	All Ages	91.8%
Total Across Expansion Rating Groups	19-64	91.9%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	February 2024 - June 2024 Alliance - Total Catchment Area
Total Across All Rating Groups	All Ages	85.0%

PIHP Medicaid Payment Amounts

Partners Health Management PIHP Medicaid Capitation Rates

July 1, 2023 - November 30, 2023

CAPITATION RATES (Total Rate)

Rating Group	Ages	July - November 2023 Contract Rates	
Standard Plan, Non-ABD	All Ages	\$	11.18
Standard Plan, ABD	All Ages	\$	28.72
BH I/DD Tailored Plan, Non-ABD	All Ages	\$	503.65
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$	813.56
BH I/DD Tailored Plan, ABD	21+	\$	1,278.91
Innovations	All Ages	\$	7,990.70
Foster Children	All Ages	\$	681.28
Other	All Ages	\$	5.97

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	July - November 2023 Contract Rates	
Total Across All Rating Groups	All Ages		90.0%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	July - November 2023 Contract Rates	
Total Across All Rating Groups	All Ages		85.0%

PIHP Medicaid Payment Amounts

Partners Health Management PIHP Medicaid Capitation Rates

December 1, 2023 - December 31, 2023

CAPITATION RATES (Total Rate)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Standard Plan, Non-ABD	All Ages	\$ 11.18
Standard Plan, ABD	All Ages	\$ 28.73
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 503.93
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 814.02
BH I/DD Tailored Plan, ABD	21+	\$ 1,279.62
Innovations	All Ages	\$ 7,995.31
Foster Children	All Ages	\$ 681.67
Other	All Ages	\$ 5.97
Standard Plan, Newly Eligible	19-64	\$ 18.23
BH I/DD Tailored Plan, Newly Eligible	19-64	\$ 365.43

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Total Across Non-Expansion Rating Groups	All Ages	89.9%
Total Across Expansion Rating Groups	19-64	90.1%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Total Across All Rating Groups	All Ages	85.0%

PIHP Medicaid Payment Amounts

Partners Health Management PIHP Medicaid Capitation Rates

January 1, 2024 - January 31, 2024

CAPITATION RATES (Total Rate)

Rating Group	Ages	January 2024 - June 2024 Contract Rates	
Standard Plan, Non-ABD	All Ages	\$	11.92
Standard Plan, ABD	All Ages	\$	31.85
BH I/DD Tailored Plan, Non-ABD	All Ages	\$	557.99
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$	907.70
BH I/DD Tailored Plan, ABD	21+	\$	1,321.43
Innovations	All Ages	\$	7,968.65
Foster Children	All Ages	\$	735.73
Other	All Ages	\$	6.82
Standard Plan, Newly Eligible	19-64	\$	21.47
BH I/DD Tailored Plan, Newly Eligible	19-64	\$	418.79

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	January 2024 - June 2024 Contract Rates	
Total Across Non-Expansion Rating Groups	All Ages		90.3%
Total Across Expansion Rating Groups	19-64		90.4%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	January 2024 - June 2024 Contract Rates	
Total Across All Rating Groups	All Ages		85.0%

PIHP Medicaid Payment Amounts

Partners Health Management PIHP Medicaid Capitation Rates

February 1, 2024 - June 30, 2024

CAPITATION RATES (Total Rate)

Rating Group	Ages	Partners - Historical Region February 2024 - June 2024	Partners - Davidson County February 2024 - June 2024
Standard Plan, Non-ABD	All Ages	\$ 11.91	\$ 10.83
Standard Plan, ABD	All Ages	\$ 31.83	\$ 28.17
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 565.09	\$ 516.16
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 909.98	\$ 873.96
BH I/DD Tailored Plan, ABD	21+	\$ 1,324.28	\$ 1,017.03
Innovations	All Ages	\$ 7,965.72	\$ 7,929.77
Foster Children	All Ages	\$ 737.40	\$ 698.03
Other	All Ages	\$ 6.82	\$ 4.48
Standard Plan, Newly Eligible	19-64	\$ 21.46	\$ 18.71
BH I/DD Tailored Plan, Newly Eligible	19-64	\$ 434.22	\$ 433.60

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	February 2024 - June 2024 Partners - Total Catchment Area
Total Across Non-Expansion Rating Groups	All Ages	90.4%
Total Across Expansion Rating Groups	19-64	90.5%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	February 2024 - June 2024 Partners - Total Catchment Area
Total Across All Rating Groups	All Ages	85.0%

PIHP Medicaid Payment Amounts

Trillium Health Resources PIHP Medicaid Capitation Rates

July 1, 2023- November 30, 2023

CAPITATION RATES (Total Rate)

Rating Group	Ages	July - November 2023 Contract Rates	
Standard Plan, Non-ABD	All Ages	\$	9.42
Standard Plan, ABD	All Ages	\$	25.71
BH I/DD Tailored Plan, Non-ABD	All Ages	\$	553.23
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$	842.90
BH I/DD Tailored Plan, ABD	21+	\$	1,327.90
Innovations	All Ages	\$	8,738.15
Foster Children	All Ages	\$	668.26
Other	All Ages	\$	5.12

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	July - November 2023 Contract Rates	
Total Across All Rating Groups	All Ages		91.4%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	July - November 2023 Contract Rates	
Total Across All Rating Groups	All Ages		85.0%

PIHP Medicaid Payment Amounts

Trillium Health Resources PIHP Medicaid Capitation Rates

December 1, 2023- December 31, 2023

CAPITATION RATES (Total Rate)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Standard Plan, Non-ABD	All Ages	\$ 9.42
Standard Plan, ABD	All Ages	\$ 25.72
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 553.31
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 843.03
BH I/DD Tailored Plan, ABD	21+	\$ 1,328.10
Innovations	All Ages	\$ 8,739.50
Foster Children	All Ages	\$ 668.36
Other	All Ages	\$ 5.12
Standard Plan, Newly Eligible	19-64	\$ 15.43
BH I/DD Tailored Plan, Newly Eligible	19-64	\$ 386.68

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Total Across Non-Expansion Rating Groups	All Ages	91.4%
Total Across Expansion Rating Groups	19-64	91.5%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Total Across All Rating Groups	All Ages	85.0%

PIHP Medicaid Payment Amounts

Trillium Health Resources PIHP Medicaid Capitation Rates

January 1, 2024 - January 31, 2024

CAPITATION RATES (Total Rate)

Rating Group	Ages	January 2024 - June 2024 Contract Rates
Standard Plan, Non-ABD	All Ages	\$ 11.07
Standard Plan, ABD	All Ages	\$ 33.40
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 601.55
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 921.79
BH I/DD Tailored Plan, ABD	21+	\$ 1,359.33
Innovations	All Ages	\$ 8,722.37
Foster Children	All Ages	\$ 740.65
Other	All Ages	\$ 6.19
Standard Plan, Newly Eligible	19-64	\$ 18.13
BH I/DD Tailored Plan, Newly Eligible	19-64	\$ 422.58

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	January 2024 - June 2024 Contract Rates
Total Across Non-Expansion Rating Groups	All Ages	91.6%
Total Across Expansion Rating Groups	19-64	91.7%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	January 2024 - June 2024 Contract Rates
Total Across All Rating Groups	All Ages	85.0%

PIHP Medicaid Payment Amounts

Trillium Health Resources PIHP Medicaid Capitation Rates

February 1, 2024 - June 30, 2024

CAPITATION RATES (Total Rate)

Rating Group	Ages	Trillium - Historical Region February 2024 - June 2024	Trillium - Former Eastpointe Region February 2024 - June 2024	Trillium - Sandhills Consolidating Counties February 2024 - June 2024
Standard Plan, Non-ABD	All Ages	\$ 11.06	\$ 7.33	\$ 11.14
Standard Plan, ABD	All Ages	\$ 33.37	\$ 23.43	\$ 28.68
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 616.14	\$ 551.99	\$ 542.38
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 924.70	\$ 901.95	\$ 851.82
BH I/DD Tailored Plan, ABD	21+	\$ 1,378.43	\$ 1,205.97	\$ 1,233.61
Innovations	All Ages	\$ 8,714.48	\$ 8,721.15	\$ 10,875.31
Foster Children	All Ages	\$ 743.15	\$ 755.82	\$ 688.72
Other	All Ages	\$ 6.19	\$ 4.21	\$ 4.61
Standard Plan, Newly Eligible	19-64	\$ 18.12	\$ 14.86	\$ 19.20
BH I/DD Tailored Plan, Newly Eligible	19-64	\$ 461.64	\$ 563.20	\$ 550.99

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	February 2024 - June 2024 Trillium - Total Catchment Area
Total Across Non-Expansion Rating Groups	All Ages	90.8%
Total Across Expansion Rating Groups	19-64	90.6%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	February 2024 - June 2024 Trillium - Total Catchment Area
Total Across All Rating Groups	All Ages	85.0%

PIHP Medicaid Payment Amounts

Vaya Health PIHP Medicaid Capitation Rates

July 1, 2023 - November 30, 2023

CAPITATION RATES (Total Rate)

Rating Group	Ages	July - November 2023 Contract Rates	
Standard Plan, Non-ABD	All Ages	\$	14.46
Standard Plan, ABD	All Ages	\$	40.49
BH I/DD Tailored Plan, Non-ABD	All Ages	\$	628.47
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$	867.07
BH I/DD Tailored Plan, ABD	21+	\$	1,300.02
Innovations	All Ages	\$	7,968.44
Foster Children	All Ages	\$	695.02
Other	All Ages	\$	10.75

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	July - November 2023 Contract Rates	
Total Across All Rating Groups	All Ages		89.3%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	July - November 2023 Contract Rates	
Total Across All Rating Groups	All Ages		85.0%

PIHP Medicaid Payment Amounts

Vaya Health PIHP Medicaid Capitation Rates

December 1, 2023- December 31, 2023

CAPITATION RATES (Total Rate)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Standard Plan, Non-ABD	All Ages	\$ 14.45
Standard Plan, ABD	All Ages	\$ 40.46
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 628.10
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 866.55
BH I/DD Tailored Plan, ABD	21+	\$ 1,299.25
Innovations	All Ages	\$ 7,963.59
Foster Children	All Ages	\$ 694.60
Other	All Ages	\$ 10.75
Standard Plan, Newly Eligible	19-64	\$ 26.15
BH I/DD Tailored Plan, Newly Eligible	19-64	\$ 488.74

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Total Across Non-Expansion Rating Groups	All Ages	89.3%
Total Across Expansion Rating Groups	19-64	89.5%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	December 2023 - June 2024 Contract Rates
Total Across All Rating Groups	All Ages	85.0%

PIHP Medicaid Payment Amounts

Vaya Health PIHP Medicaid Capitation Rates

January 1, 2024 - January 31, 2024

CAPITATION RATES (Total Rate)

Rating Group	Ages	January 2024 - June 2024 Contract Rates
Standard Plan, Non-ABD	All Ages	\$ 16.21
Standard Plan, ABD	All Ages	\$ 47.82
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 672.81
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 930.97
BH I/DD Tailored Plan, ABD	21+	\$ 1,337.97
Innovations	All Ages	\$ 7,939.02
Foster Children	All Ages	\$ 751.49
Other	All Ages	\$ 13.29
Standard Plan, Newly Eligible	19-64	\$ 30.52
BH I/DD Tailored Plan, Newly Eligible	19-64	\$ 529.60

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	January 2024 - June 2024 Contract Rates
Total Across Non-Expansion Rating Groups	All Ages	89.7%
Total Across Expansion Rating Groups	19-64	89.8%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	January 2024 - June 2024 Contract Rates
Total Across All Rating Groups	All Ages	85.0%

PIHP Medicaid Payment Amounts

Vaya Health PIHP Medicaid Capitation Rates

February 1, 2024 – June 30, 2024

CAPITATION RATES (Total Rate)

Rating Group	Ages	Vaya - Historical Region February 2024 - June 2024	Vaya - Rockingham County February 2024 - June 2024
Standard Plan, Non-ABD	All Ages	\$ 16.21	\$ 10.83
Standard Plan, ABD	All Ages	\$ 47.80	\$ 28.17
BH I/DD Tailored Plan, Non-ABD	All Ages	\$ 678.12	\$ 489.44
BH I/DD Tailored Plan, Blind/Disabled	0 - 20	\$ 938.35	\$ 895.94
BH I/DD Tailored Plan, ABD	21+	\$ 1,339.73	\$ 1,020.90
Innovations	All Ages	\$ 7,936.81	\$ 8,329.97
Foster Children	All Ages	\$ 754.90	\$ 462.79
Other	All Ages	\$ 13.29	\$ 4.48
Standard Plan, Newly Eligible	19-64	\$ 30.51	\$ 18.71
BH I/DD Tailored Plan, Newly Eligible	19-64	\$ 537.73	\$ 502.41

CAPITATION RATES (Risk Corridor Target Treatment Ratio)

Rating Group	Ages	February 2024 - June 2024 Vaya - Total Catchment Area
Total Across Non-Expansion Rating Groups	All Ages	89.8%
Total Across Expansion Rating Groups	19-64	89.9%

CAPITATION RATES (Minimum Medical Loss Ratio)

Rating Group	Ages	February 2024 - June 2024 Vaya - Total Catchment Area
Total Across All Rating Groups	All Ages	85.0%

Section VI. Attachment Q: First Revised and Restated PIHP Catchment Areas

The Department has defined four (4) PIHP Catchment Areas within North Carolina. See Table 1: List of Counties by PIHP Catchment Area for the counties included in each of the four (4) PIHP Catchment Areas.

Table 1: List of Counties by PIHP Catchment Area

PHIP	Counties in Catchment Area
Alliance Health	Cumberland, Durham, Harnett, Johnston, Mecklenburg, Orange and Wake.
Partners Health Management	Burke, Cabarrus, Catawba, Cleveland, Davie, Davidson, Forsyth, Gaston, Iredell, Lincoln, Rutherford, Stanly, Surry, Union, and Yadkin.
Trillium Health Resources	Anson, Beaufort, Bertie, Bladen, Brunswick, Camden, Carteret, Chowan, Columbus, Craven, Currituck, Dare, Duplin, Edgecombe, Gates, Greene, Guilford, Halifax, Hertford, Hoke, Hyde, Jones, Lee, Lenoir, Martin, Montgomery, Moore, Nash, New Hanover, Northampton, Onslow, Pamlico, Pasquotank, Pender, Perquimans, Pitt, Randolph, Richmond, Robeson, Sampson, Scotland, Tyrrell, Warren, Washington, Wayne and Wilson.
Vaya Health	Alexander, Alamance, Alleghany, Ashe, Avery, Buncombe, Caldwell, Caswell, Chatham, Cherokee, Clay, Franklin, Graham, Granville, Haywood, Henderson, Jackson, Macon, Madison, McDowell, Mitchell, Person, Polk, Rockingham, Rowan, Stokes, Swain, Transylvania, Vance, Watauga, Wilkes, and Yancey.

For Trillium Health Only

II. Modifications to Section VI. Attachments

Specific subsections are modified as stated herein.

- a. **Attachment L: Policies, 7. Approved Trillium Health Resources In Lieu of Services** is revised and restated in its entirety as **Attachment L: Policies, 7. First Revised and Restated Approved Trillium Health Resources In Lieu of Services** and is attached to this Amendment.
- b. **Attachment P: PIHP Capitation Rates** is revised and restated in its entirety to incorporate PIHP Capitation Rates as **Attachment P: First Revised and Restated PIHP Capitation Rates** and is attached to this Amendment.
- c. **Attachment Q: PIHP Catchment Areas** is revised and restated in its entirety as **Attachment Q: First Revised and Restated PIHP Catchment Areas** and is attached to this Amendment.

Attachment L: Policies

L. Policies, 7. First Revised and Restated Approved Trillium Health Resources In Lieu of Services

In Lieu of Services are alternative services or settings that are substituted for services or settings covered under the Medicaid State Plans or otherwise covered by this Contract but have been determined by the Department to be medically appropriate, cost-effective substitutes for the State Plan services included within this Contract.

The PIHP may cover for Members, services or settings that are in lieu of services or settings covered under the State Plans as follows:

1. The Department determines that the alternative service or setting is a medically appropriate and cost effective substitute based on documentation provided to the Department by the PIHP demonstrating such cost effectiveness and clinical effectiveness;
2. Members shall not be required by the PIHP to use the alternative service or setting;
3. The approved In Lieu of Services are authorized and identified in this Contract and will be offered to Members at the option of the PIHP; and
4. The utilization and actual cost of In Lieu of Services is taken into account in developing the component of the capitation rates that represent the covered State Plan services, unless a federal or State statute or regulation explicitly requires otherwise.

In the event In Lieu of Services do not meet cost neutrality, excess expenses will be excluded from the rate development process. In accordance with Section IV.F. Benefits, the following In Lieu of Services have been approved by the Department: Individual Plan Tables follow.

If the PIHP wishes to continue offering the conditionally approved ILOS beyond December 31, 2023, the PIHP shall resubmit the Department’s standardized ILOS Service Request Form at least ninety (90) Calendar Days prior to December 31, 2023.

Section VI. Attachment L. Policies, 7. First Revised and Restated Approved Trillium Health Resources In Lieu of Services				
No.	Service Name	Revenue/Procedure Code	End Date (Glidepath only)	Description
BCM07-MD_ILJX_2	Child First	H2022 TJ	As directed by the Department	
BCM07-MD_ILJX_6	Behavioral Health Crisis Assessment and Intervention (BH-CAI)	T2016 U5 or T2016 U6 depending on tier		A designated service that is designed to provide triage, crisis risk assessment, evaluation and intervention within a Behavioral Health Urgent Care (BHUC) setting. A BHUC setting is an alternative, but not a replacement, to a community hospital Emergency Department.
BCM07-MD_ILJX_5	Family Centered Treatment (FCT)	H2022 U5 U1 H2022 U5 U2 H2022 U5 U3 H2022 U5 U4		Family Centered Treatment is a researched, viable alternative to residential placements, hospitalization, correctional facility placement and other community-based services. A distinctive aspect of FCT is that it has been developed as a result of frontline Qualified Professionals’ effective practice.
BCM07-MD_ILJX_3	Community Living Facilities and Support (CLFS)	T2016 U5 U1 through U5 U4 and U5-U6 T2016 U5 U1 – Level 1 T2016 U5 U2 – Level 2 T2016 U5 U3 – Level 3 T2016 U5 U4 – Level 4 T2016 U5 U6 – Level 5		Community Living Facilities and Supports (CLFS) consist of a broad range of services for adults with developmental disabilities who, through the Person Center Plan (PCP) process, choose to access active treatment to assist them with skills to live as independently as possible in the community.

**Section VI. Attachment L. Policies, 7. First Revised and Restated Approved Trillium Health Resources
In Lieu of Services**

No.	Service Name	Revenue/Procedure Code	End Date (Glidepath only)	Description
BCM07-MD_ILJX_1	High Fidelity Wraparound (HFW)	H0032 - U5		High Fidelity Wraparound (HFW) is an intensive, team-based, person-centered service that provides coordinated, integrated, family-driven care to meet the complex needs of youth/young adults who are involved with multiple systems (e.g. mental health, child welfare, juvenile/criminal justice, special education), who are experiencing serious emotional or behavioral difficulties, have dual diagnosis (MH and/or SUD, and IDD) with complex needs, and are at risk of placement in therapeutic residential settings, or other institutional settings, or have experienced multiple crisis events.
BCM07-MD_ILJX_4	Family Navigator	T2041 U5	As directed by the Department	Family Navigators can assist members and families to navigate these challenging times and to understand the changes in systems through lived experience. NC already offers this for adults who experience Mental Health and Substance use disorders using a Peer support model. Family Navigator is the equivalent for Medicaid beneficiaries who experience IDD or TBI.

**Section VI. Attachment L. Policies, 7. First Revised and Restated Approved Trillium Health Resources
In Lieu of Services**

No.	Service Name	Revenue/Procedure Code	End Date (Glidepath only)	Description
BCM07- MD_ILJX_12	Acute and Subacute Services Provided in an Institute for Mental Disease	RC 0160		This service provides 24-hour access to continuous intensive evaluation and treatment delivered in an Institute for Mental Disease (IMD) as defined in CFR 435.1010 for acute and subacute inpatient psychiatric or substance use disorders. Delivery of service is provided by nursing and medical professionals under the supervision of a psychiatrist. Members ages 21-64 who meet medical necessity criteria for inpatient level of care may be treated for up to fifteen (15) days per calendar month in an IMD.