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To all beneficiaries enrolled in a Prepaid Health Plan (PHP): for questions about benefits and services available on or after implementation, please contact your PHP.

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DRAFT**Related Clinical Coverage Policies**

Refer to <https://medicaid.ncdhhs.gov/> for the related coverage policies listed below:

CCP 8B, ASAM Level 4, Medically Managed Intensive Inpatient Services

CCP 8B, ASAM Level 4WM, Medically Managed Intensive Inpatient Withdrawal Management

1.0 Description of the Procedure, Product, or Service

Substance Abuse Intensive Outpatient Program (SAIOP) provides a structured program of skilled treatment services for adults or adolescents with a primary substance use disorder (SUD) diagnosis. SAIOP is an American Society of Addiction Medicine (ASAM), Third Edition Level 2.1 service that provides 9-19 hours of skilled treatment services per week for adults, and 6-19 hours of skilled treatment services per week for adolescents.

SAIOP treatment services include individual, group, and family counseling, medication management through consultation and referral, educational groups, and service coordination activities provided in amounts, frequencies, and intensities appropriate to the objectives of the beneficiary's Person-Centered Plan (PCP). SAIOP treatment services can be delivered during the day, weekend, or evening.

1.1 Definitions

The ASAM Criteria, Third Edition

The American Society of Addiction Medicine Criteria is a comprehensive set of treatment standards for addictive, substance-related, and co-occurring conditions. The ASAM Criteria, Third Edition uses six dimensions to create a holistic, biopsychosocial assessment to be used for service planning and treatment. The six dimensions are:

1. Acute Intoxication and Withdrawal Potential;
2. Biomedical Conditions and Complications;
3. Emotional, Behavioral, or Cognitive Conditions and Complications;
4. Readiness to Change;
5. Relapse, Continued Use, or Continued Problem Potential; and
6. Recovery and Living Environment.

2.0 Eligibility Requirements

2.1 Provisions

2.1.1 General

(The term "General" found throughout this policy applies to all Medicaid policies)

- a. An eligible beneficiary shall be enrolled in the NC Medicaid Program (*Medicaid is NC Medicaid program, unless context clearly indicates otherwise*);
- b. Provider(s) shall verify each Medicaid beneficiary's eligibility each time a service is rendered;

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- c. The Medicaid beneficiary may have service restrictions due to their eligibility category that would make them ineligible for this service.

2.1.2 Specific

(The term “Specific” found throughout this policy only applies to this policy)

- a. **Medicaid**

An applicant may be approved for Medicaid if the applicant meets all eligibility requirements. A beneficiary may become retroactively eligible for Medicaid while receiving covered services.

A retroactively eligible beneficiary is entitled to receive Medicaid covered services and to be reimbursed by the provider for all money paid during the retroactive period except for any third-party payments or cost-sharing amounts. The qualified provider can file for reimbursement with Medicaid for these services.

- b. **Populations Served**

Medicaid shall cover Substance Abuse Intensive Outpatient Program for an eligible beneficiary who is either an adolescent aged 10-17 or and adult 18 years of age and older and meets the criteria in **Section 3.0** of this policy.

2.2 Special Provisions

2.2.1 EPSDT Special Provision: Exception to Policy Limitations for a Medicaid Beneficiary under 21 Years of Age

- a. **42 U.S.C. § 1396d(r) [1905(r) of the Social Security Act]**

Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) is a federal Medicaid requirement that requires the state Medicaid agency to cover services, products, or procedures for Medicaid beneficiary under 21 years of age **if** the service is **medically necessary health care** to correct or ameliorate a defect, physical or mental illness, or a condition [health problem] identified through a screening examination (includes any evaluation by a physician or other licensed practitioner).

This means EPSDT covers most of the medical or remedial care a child needs to improve or maintain his or her health in the best condition possible, compensate for a health problem, prevent it from worsening, or prevent the development of additional health problems.

Medically necessary services must be provided in the most economic mode, as long as the treatment made available is similarly efficacious to the service requested by the beneficiary’s physician, therapist, or other licensed practitioner; the determination process does not delay the delivery of the needed service; and the determination does not limit the beneficiary’s right to a free choice of providers.

EPSDT does not require the state Medicaid agency to provide any service, product or procedure:

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1. that is unsafe, ineffective, or experimental or investigational;
2. that is not medical in nature or not generally recognized as an accepted method of medical practice or treatment.

Service limitations on scope, amount, duration, frequency, location of service, and other specific criteria described in clinical coverage policies may be exceeded or may not apply as long as the provider's documentation shows that the requested service is medically necessary "to correct or ameliorate a defect, physical or mental illness, or a condition" [health problem]; that is, provider documentation shows how the service, product, or procedure meets all EPSDT criteria, including to correct or improve or maintain the beneficiary's health in the best condition possible, compensate for a health problem, prevent it from worsening, or prevent the development of additional health problems.

b. EPSDT and Prior Approval Requirements

1. If the service, product, or procedure requires prior approval, the fact that the beneficiary is under 21 years of age does **NOT** eliminate the requirement for prior approval.
2. **IMPORTANT ADDITIONAL INFORMATION** about EPSDT and prior approval is found in the *NCTracks Provider Claims and Billing Assistance Guide*, and on the EPSDT provider page. The Web addresses are specified below.

NCTracks Provider Claims and Billing Assistance Guide:

<https://www.nctracks.nc.gov/content/public/providers/provider-manuals.html>

EPSDT provider page: <https://medicaid.ncdhhs.gov/>

3.0 When the Procedure, Product, or Service Is Covered

Note: Refer to Subsection 2.2.1 regarding EPSDT Exception to Policy Limitations for a Medicaid Beneficiary under 21 Years of Age.

3.1 General Criteria Covered

Medicaid shall cover the procedure, product, or service related to this policy when medically necessary, and:

- a. the procedure, product, or service is individualized, specific, and consistent with symptoms or confirmed diagnosis of the illness or injury under treatment, and not in excess of the beneficiary's needs;
- b. the procedure, product, or service can be safely furnished, and no equally effective and more conservative or less costly treatment is available statewide; and
- c. the procedure, product, or service is furnished in a manner not primarily intended for the convenience of the beneficiary, the beneficiary's caretaker, or the provider.

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3.2 Specific Criteria Covered

3.2.1 Specific criteria covered by Medicaid

Medicaid shall cover SAIOP services when the beneficiary meets ALL the following specific criteria:

- a. The beneficiary has a current substance use disorder (SUD) diagnosis as defined by the Diagnostic and Statistical Manual of Mental Disorders Fifth Edition (DSM-5) or any subsequent editions of this reference manual; and
- b. The beneficiary meets the American Society of Addiction Medicine (ASAM Criteria) Third Edition, 2013 ASAM Level 2.1 (SAIOP) level of care.

3.2.1.1 Admission Criteria

A comprehensive clinical assessment (CCA) or diagnostic assessment (DA) must be completed by a licensed professional to determine an ASAM level of care for admission and discharge planning. The CCA or DA, which demonstrates medical necessity, must be completed prior to the provision of this service. If a substantially equivalent assessment is available, reflects the current level of functioning, and contains all the required elements as outlined in community practice standards as well as in all applicable federal and state requirements, it may be used as part of the current CCA or DA. Relevant diagnostic information must be obtained and documented in the beneficiary's Person-Centered Plan (PCP). The assessment and PCP must be updated as changes, new strengths and barriers are observed during the treatment process.

A service order for SAIOP must be completed by a physician, physician assistant, nurse practitioner, or PhD psychologist according to their scope of practice, prior to or on the day that SAIOP services are provided. Refer to **Section 5.4** for service order requirements.

The amount, duration, frequency, and intensity of SAIOP services must be documented in a beneficiary's PCP. Services may not be offered less frequently than the structured program set forth in the service description in **Section 1.0** of this policy.

3.2.1.2 Continued Stay Criteria

The beneficiary shall meet the criteria for continued stay at the present level of care if any ONE of the following applies:

- a. has achieved initial PCP goals and requires this present level of care in order to meet additional goals;
- b. is making some progress, but hasn't achieved goals yet, so continuing at the present level of care is indicated;
- c. is not making progress, is regressing, or new symptoms have been identified and the beneficiary has the capacity to resolve these problems; or

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- d. is actively working towards goals so continuation at the present level of care is indicated, and the PCP must be modified to identify more effective interventions.

3.2.1.3 Discharge Criteria

The beneficiary shall meet the criteria for discharge if any ONE of the following applies:

- a. substance use disorder (SUD) signs and symptoms are resolved such that the beneficiary can participate in self-directed recovery or ongoing treatment without the need for SAIOP services;
- b. signs and symptoms of SUD are not responding to treatment, and have intensified, indicating a transfer to a more intensive level of SUD treatment services is indicated; or
- c. The beneficiary or their legally responsible person requests a discharge from the service.

3.2.2 Medicaid Additional Criteria Covered

None Apply

4.0 When the Procedure, Product, or Service Is Not Covered

Note: Refer to Subsection 2.2.1 regarding EPSDT Exception to Policy Limitations for a Medicaid Beneficiary under 21 Years of Age.

4.1 General Criteria Not Covered

Medicaid shall not cover the procedure, product, or service related to this policy when:

- a. the beneficiary does not meet the eligibility requirements listed in **Section 2.0**;
- b. the beneficiary does not meet the criteria listed in **Section 3.0**;
- b. the procedure, product, or service duplicates another provider's procedure, product, or service; or
- a. the procedure, product, or service is experimental, investigational, or part of a clinical trial.

4.2 Specific Criteria Not Covered

4.2.1 Specific Criteria Not Covered by Medicaid

Medicaid shall not cover these activities:

- a. Transportation for the beneficiary or family members;
- b. Any habilitation activities;
- c. Time spent doing, attending, or participating in recreational activities unless tied to specific planned social skill building or therapy;
- d. Clinical and administrative supervision of SAIOP staff, which is covered as an indirect cost and part of the rate;
- e. Covered services that have not been rendered;
- f. Childcare services or services provided as a substitute for the parent or other individuals responsible for providing care and supervision;
- g. Services provided to teach academic subjects or as a substitute for education;
- h. Interventions not identified on the beneficiary's PCP;

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- i. Services provided to children, spouse, parents or siblings of the beneficiary under treatment or others in the beneficiary's life to address problems not directly related to the beneficiary's needs and not listed on the PCP; and
- j. Payment for room and board.

4.2.2 Medicaid Additional Criteria Not Covered

None Apply

5.0 Requirements for and Limitations on Coverage

Note: Refer to Subsection 2.2.1 regarding EPSDT Exception to Policy Limitations for a Medicaid Beneficiary under 21 Years of Age.

5.1 Prior Approval

Medicaid shall not require prior approval for SAIOP upon admission through the first 30 calendar days of service.

5.2 Prior Approval Requirements

5.2.1 General

None Apply

5.2.2 Specific

None Apply

5.3 Utilization Management and Additional Limitations or Requirements

5.3.1 Utilization Management

Utilization management of covered services is a part of the assurance of medically necessary service provision. Authorization is an aspect of utilization management and validates approval to provide a medically necessary covered service to an eligible beneficiary. All utilization review activity must be documented in the service record and be maintained by the program.

Services are based upon a finding of medical necessity, must be directly related to the beneficiary's diagnostic and clinical needs, and are expected to achieve the specific rehabilitative goals detailed in the beneficiary's PCP. Medical necessity is determined by North Carolina community practice standards according to 10A NCAC 25A .0201, and as verified by the Prepaid Inpatient Health Plan (PIHP), Prepaid Health Plan (PHP), or utilization management contractor who evaluates the request to determine if medical necessity supports intensive services.

Medically necessary services are authorized in the most cost-effective modes, if the treatment that is made available is similarly effective as services requested by the beneficiary's physician, therapist, or another licensed practitioner. The medically necessary service must be recognized as an accepted method of medical practice or treatment.

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5.3.2 Initial Authorization

To request an initial authorization, the CCA or DA, service order for medical necessity, the PCP, and the required NC Medicaid authorization request form must be submitted to the PIHP, PHP, or utilization management contractor within the first 30 calendar days of service initiation.

Concurrent reviews determine the ongoing medical necessity for the service or the need to move up or down the continuum of services to another level of care. The provider shall submit an updated PCP and any authorization or reauthorization forms required by the Local Management Entity-Managed Care Organization (LME-MCO).

5.3.1 Additional Limitation and Requirements

SAIOP must not be provided and billed during the same authorization as:

- a. Substance Abuse Comprehensive Outpatient Treatment (SACOT)
- b. Individual, family or group therapy for treatment of substance use disorder
- c. Clinically managed residential services
- d. Clinically managed residential withdrawal management
- e. Medically monitored inpatient withdrawal management
- f. Clinically managed population-specific high-intensity residential programs
- g. Clinically managed high-intensity residential services
- h. Medically monitored intensive inpatient services
- i. Community Support Team (CST)
- j. Assertive Community Treatment (ACT)

5.4 Service Order

A service order is a mechanism to demonstrate medical necessity for a service and is based upon an assessment of the beneficiary's needs.

ALL the following apply to a service order:

- a. Backdating of the service order is not allowed;
- b. Each service order must be signed and dated by the authorizing professional and must indicate the date on which the service was ordered;
- b. A service order must be in place prior to or on the first day that the service is initially provided to bill Medicaid for the service. Even if the beneficiary is retroactively eligible for Medicaid the provider shall not bill Medicaid without a valid service order; and
- c. Service orders are valid for one (1) calendar year. Medical necessity must be reviewed, and service must be ordered at least annually, based on the date of the original PCP service order.

5.4.1 Documentation Requirements

The service record documents the nature and course of a beneficiary's progress in treatment. To bill Medicaid, a provider shall ensure that their documentation is consistent with the requirements contained in this policy. The staff member who provides the service is responsible for accurately documenting the services billed to and reimbursed by Medicaid. Service notes must meet the requirements of the DHHS Records Management and Documentation Manual.

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6.0 Provider(s) Eligible to Bill for the Procedure, Product, or Service

To be eligible to bill for the procedure, product, or service related to this policy, the provider(s) shall:

- a. Meet Medicaid qualifications for participation;
- b. Have a current and signed Department of Health and Human Services (DHHS) Provider Administrative Participation Agreement; and
- c. Bill only for procedures, products, and services that are within the scope of their clinical practice, as defined by the appropriate licensing entity.

6.1 Provider Qualifications and Occupational Licensing Entity Regulations

SAIOP services must be delivered by a provider employed by an organization that:

- a. Meets the provider qualification policies, procedures, and standards established by NC Medicaid;
- b. Meets the requirements of 10A NCAC 27G;
- c. Demonstrates that it meets these standards by being credentialed and contracted by the DHHS designated contractor;
- d. Achieves national accreditation with at least one of the designated accrediting agencies within one (1) year of enrollment as a provider with NC Medicaid; and
- e. Becomes established as a legally constituted entity capable of meeting all the requirements of the Provider Certification Medicaid Enrollment Agreement, Medicaid Bulletins, and service implementation standards.

The facility shall be licensed by the [NC Division of Health Service Regulation Mental Health Licensure and Certification Section](#) under 10A NCAC 27G .4400 rules. Refer to [Tribal & Urban Indian Health Centers | HRSA](#) when the service is provided by an Indian Health Service (IHS) or 638 contract or compact operated by a Federally Recognized Tribe as allowed in 25 USC Ch. 18: INDIAN HEALTH CARE §1621t Licensing and §1647a Nondiscrimination under Federal health care programs in qualifications for reimbursement services.

Providers and organizations that provide SAIOP shall provide crisis response 24-hours-a-day, seven-days-a-week, to a beneficiary who is receiving SAIOP services.

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6.2 Provider Certifications
Staffing Requirements

Required Position/Role	Minimum Qualifications	Responsibilities
Clinical Supervisor	<p>Licensed Clinical Addictions Specialist (LCAS) or Certified Clinical Supervisor (CCS)</p> <p>Clinical Supervisor position may be divided by up to two staff</p> <p>Shall be licensed and in good standing with the NC Addictions Specialist Professional Practice Board</p>	<p>The Clinical Supervisor is responsible for clinical oversight of the program to ensure that adequate staff supervision is in place, management of admission and discharges, and that the program is adhering to the policy, rules, statutes and the provision of direct clinical care.</p> <p>In addition to the above, the Clinical Supervisor is responsible for the following:</p> <ul style="list-style-type: none"> • Oversee the clinical operation of the SAIOP and ensure on-site backup coverage, when needed • Supervise clinical staff to ensure the delivery of best and ethical practices • Maintain and review service notes and documentation to ensure accuracy and completeness • Conduct staff meetings and treatment team meetings • Develop and monitor the implementation of a programming calendar that ensures the beneficiary has access to the intensity and frequency of services indicated in their PCP • Track services offered to ensure all required program elements are available to the beneficiary • Complete routine monitoring to ensure services identified in PCPs are offered as clinically indicated • Monitor and evaluate the services, interventions, and activities provided by the team • Facilitate individual, group and family therapy sessions • Assist with crisis interventions • Participate in PCP development and updates • Facilitate service and discharge planning meetings • Facilitate transition to the next level of care and community-based resources

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		<ul style="list-style-type: none"> • Work with the beneficiary's natural supports with beneficiary consent • Develop collaborative working relationships with community-based providers and organizations to facilitate discharge • Facilitate program clinical meetings • Develop and implement supervision plans for staff that meet the requirements of 10A NCAC 27G .0203 and .0204
Clinical Staff	<p>LCAS, LCAS-A</p> <p>Shall be licensed and in good standing with the NC Addictions Specialist Professional Practice Board</p>	<p>The Licensed Clinical Addictions Specialist or Licensed Clinical Addictions Specialist-Associate is responsible for providing substance use focused and co-occurring assessment services, development of an ASAM Level of Care determination, providing substance use disorder treatment services or referral, and coordinating medically necessary substance use disorder treatment and recovery resources.</p> <p>In addition to the above, the LCAS or LCAS-A is responsible for the following:</p> <ul style="list-style-type: none"> • Discharge planning must begin upon admission • Lead the development of an individualized PCP and ongoing revisions • Provide ongoing assessment and reassessment of the beneficiary based on their PCP goals • Facilitate individual, group and family therapy sessions • Facilitate service coordination to address the needs of the beneficiary • Monitor signs and symptoms of substance use, intoxication, and withdrawal, as well as the clinically appropriate treatment and monitoring of those conditions • Provide crisis interventions, when clinically appropriate • Engage with family members or significant others and provide education regarding SUD treatment and the recovery process and supports, as appropriate with beneficiary consent • Provide coordination and consultation with medical, clinical, familial, and ancillary relevant parties, with beneficiary consent

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		<ul style="list-style-type: none"> Assess and determine clinically appropriate services that support recovery Coordinate with Care Management provider(s) to ensure the beneficiary is informed about benefits, community resources, and services Monitor and document the status of the beneficiary's progress and the effectiveness of the strategies and interventions outlined in the PCP Maintain accurate service notes and documentation for all interventions provided Participate in staff meetings and treatment team meetings
Clinical Staff	<p>CADC, CSAC, CADC-I, CSAC-I</p> <p>Shall be licensed and in good standing with the NC Addictions Specialist Professional Practice Board</p>	<p>The Certified Alcohol and Drug Counselor (CADC), Certified Substance Abuse Counselor (CSAC), Certified Alcohol and Drug Counselor Intern (CADC-I) and Certified Substance Abuse Counselor Intern (CSAC-I) coordinates with the LCAS or LCAS-A and Clinical Supervisor to ensure that the beneficiary has access to counseling supports, psychoeducation, and crisis interventions, when needed. They play a lead role in case management and coordination of care functions.</p> <p>In addition to the above, the CADC, CSAC, CADC-I, CSAC-I is responsible for the following:</p> <ul style="list-style-type: none"> Participate in the initial development, implementation, and ongoing revision of the PCP Provide ongoing assessment and reassessment of the beneficiary based on their PCP and goals Facilitate individual and group counseling sessions Monitor signs and symptoms of substance use, intoxication, and withdrawal as well as the appropriate treatment and monitoring of those conditions

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		<ul style="list-style-type: none"> • Coordinate with Medication for Opioid Use Disorder providers • Provide crisis interventions, when clinically appropriate • Provide psychoeducation as indicated in the PCP • Monitor and document the status of the beneficiary's progress and the effectiveness of the strategies and interventions outlined in the PCP • Provide substance use, health, and community services education • Assist with the development of relapse prevention and disease management strategies • Communicate the beneficiary's progress and the effectiveness of the strategies and interventions to the LCAS or LCAS-A and Clinical Supervisor as outlined in the PCP • Provide education to family members or significant others regarding the withdrawal management process with beneficiary's consent • Coordinate with Care Management provider(s) to ensure that the beneficiary is informed about benefits, community resources, and services • Coordinate with Care Management provider(s) to ensure the beneficiary is provided appropriate linkage and referrals for needed services and supports • Participate in staff meetings and treatment team meetings
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For an adult SAIOP, there must be at least one (1) Qualified Professional for every twelve (12) or fewer adult beneficiaries, when facilitating a group counseling session.

For an adolescent SAIOP, there must be at least one (1) Qualified Professional for every six (6) or fewer adolescent beneficiaries, when facilitating a group counseling session.

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The Clinical Supervisor may cover caseloads on a temporary basis in emergency situations as a result of staffing shortages and counts towards the staff to beneficiary ratio for group counseling sessions.

Note: To comply with the NC General Assembly Session Law 2019-240 Senate Bill 537, the certification name for Certified Substance Abuse Counselor (CSAC) is amended to Certified Alcohol and Drug Counselor (CADC). Policy amendments(s) will be effective the date the related rule for 10A NCAC 27G is finalized.

Note: According to 25 U.S.C. 1621t, licensed health professionals employed by a tribal health program are exempt, if licensed in any state, from the licensing requirements of the State (North Carolina) in which the tribal health program performs the services described in the contract or compact of the tribal health program under the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450 et seq.).

6.3 Program Requirements

SAIOP must be available at least five days per week, with no more than two consecutive days without services available to achieve 9-19 hours of services per week for adults and 6-19 hours of services per week for adolescents. SAIOP shall schedule a minimum of three service days per week to each beneficiary with a minimum of three (3) hours per service day for adults and a minimum of two (2) hours per service day for adolescents. A licensed professional shall be onsite when SAIOP is in operation.

SAIOP shall provide the following:

- a. Individual counseling, therapy and support;
- b. Group counseling, therapy and support;
- c. Family counseling and support, which involves family members, guardians, or significant other(s) in the assessment, treatment, and continuing care of the beneficiary;
- d. Coordination and referral for ancillary services;
- e. Biomedical testing to evaluate recent drug use (such as urine drug screens);
- f. Education on relapse prevention and development of support systems in treatment;
- g. Education on life skills and crisis contingency planning;
- h. Education on physical health management;
- i. Reproductive health education;
- j. A planned format of therapies, delivered on an individual and group basis and adapted to the beneficiary's developmental stage and comprehension level; and
- k. Service coordination activities.

SAIOP shall support a beneficiary who is prescribed or would benefit from medications, including medications for opioid use disorder (MOUD), to address their substance use or mental health diagnosis. Coordination of care with a prescribing physician is required.

A SAIOP provider shall ensure that all programs have access to naloxone or other Federal Food and Drug Administration approved opioid antagonist for drug overdose on site, and that all staff have training and education on the use of naloxone in suspected opioid overdoses. SAIOP shall develop policies that detail the use, storage and education provided to staff regarding naloxone.

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A comprehensive clinical assessment (CCA) or reassessment must be completed by a licensed professional to determine an ASAM level of care for discharge planning. Relevant diagnostic information must be obtained in the assessment or reassessment and documented in the beneficiary's PCP. CCA, toxicology testing, and psychiatric and medical services can be billed separate from SAIOP.

6.3.1 Staff Training Requirements

Time Frame	Training Required	Who
Upon Hire, Prior to First Day Worked	<ul style="list-style-type: none"> ▪ Crisis Response ▪ Opioid Antagonist administration (Administering Naloxone or other federal Food and Drug Administration approved opioid antagonist for drug overdose) ▪ Harm Reduction ▪ Substance Abuse Intensive Outpatient Program (SAIOP) ASAM Level 2.1 Definition Required Components 	All Staff
Within 30 calendar days of hire to provide service	<ul style="list-style-type: none"> ▪ ASAM Criteria 	All Staff
	<ul style="list-style-type: none"> ▪ PCP Instructional Elements ▪ Designated therapies, practices or modalities used in SAIOP ▪ Trauma informed care* ▪ Co-occurring conditions* 	Clinical Supervisor, CCS, LCAS, LCAS-A, CSAC, CSAC-I, CADC or CADC-I
Within 90 calendar days of hire to provide this service	<ul style="list-style-type: none"> ▪ Introductory Motivational Interviewing* ▪ Designated therapies, practices or models specific to the population(s) served in SAIOP 	All Staff
Annually	<ul style="list-style-type: none"> ▪ Continuing education in evidence-based treatment practices including crisis response and cultural competency * 	All Staff

The initial training requirements may be waived by the hiring agency if the employee can produce documentation certifying that training was completed no more than 48-months prior to hire date.

* Training must be approved and certified by a nationally recognized program that issues continuing education for licensed or clinical professionals. Approved programs include North Carolina Addictions Specialist Professional Practice Board (NCASPPB), National Association for Addiction Professionals (NAADAC), National Board for Certified Counselors (NBCC) Approved Continuing Education Provider (ACEP), National Association of Social Work (NASW), and Motivational Interviewing Network of Trainers (MINT).

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Documentation of staff training activities must be maintained by the program.

6.3.2 Expected Outcomes

The expected clinical outcomes for SAIOP are specific to recommendations resulting from clinical assessments and meeting the identified goals in the beneficiary's PCP. Expected outcomes are as follows:

- a. Reduction or elimination of substance use, and substance use disorder symptoms;
- b. Sustained improvement in health and psychosocial functioning;
- c. Reduction in involvement in the justice system;
- d. Reduction of risk of relapse, continued problems, or continued use;
- e. Reintegration of the individual into the community;
- f. Linkage to other necessary treatment services concurrently and upon discharge;
- g. Identification and linkage to community-based resources to address unmet social determinants of health; and
- h. Increase in the identification and use of healthy coping skills.

7.0 Additional Requirements

Note: Refer to Subsection 2.2.1 regarding EPSDT Exception to Policy Limitations for a Medicaid Beneficiary under 21 Years of Age.

7.1 Compliance

Provider(s) shall comply with the following in effect at the time the service is rendered:

- a. All applicable agreements, federal, state and local laws and regulations including the Health Insurance Portability and Accountability Act (HIPAA) and record retention requirements; and
- b. All NC Medicaid's clinical (medical) coverage policies, guidelines, policies, provider manuals, implementation updates, and bulletins published by the Centers for Medicare and Medicaid Services (CMS), DHHS, DHHS division(s) or fiscal contractor(s). Federally recognized tribal and IHS providers may be exempt to one or more of these items in accordance with Federal law and regulations.

8.0 Policy Implementation and History

Original Effective Date: Month Day, Year

History:

NC Medicaid Substance Abuse Intensive Outpatient Program (SAIOP)	Medicaid Clinical Coverage Policy No: 8A-12 Amended Date:
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Date	Section or Subsection Amended	Change
XXXXXXX	All Sections and Attachment(s)	Initial implementation of stand-alone Substance Abuse Intensive Outpatient Program (SAIOP) policy.

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Attachment A: Claims-Related Information

Provider(s) shall comply with the, *NCTracks Provider Claims and Billing Assistance Guide*, Medicaid bulletins, fee schedules, NC Medicaid's clinical coverage policies and any other relevant documents for specific coverage and reimbursement for Medicaid. Federally recognized tribal and Indian Health Service providers may be exempt from one or more of these items in accordance with Federal Law and regulations.

A. Claim Type

Professional (CMS-1500/837P transaction)

Institutional (UB-04/837I transaction)

Unless directed otherwise, Institutional Claims must be billed according to the National Uniform Billing Guidelines. All claims must comply with National Coding Guidelines.

B. International Classification of Diseases and Related Health Problems, Tenth Revisions, Clinical Modification (ICD-10-CM) and Procedural Coding System (PCS)

Provider(s) shall report the ICD-10-CM and Procedural Coding System (PCS) to the highest level of specificity that supports medical necessity. Provider(s) shall use the current ICD-10 edition and any subsequent editions in effect at the time of service. Provider(s) shall refer to the applicable edition for code description, as it is no longer documented in the policy.

ICD-10-CM Code(s)

ICD-10-PCS Code(s)

C. Code(s)

Provider(s) shall report the most specific billing code that accurately and completely describes the procedure, product or service provided. Provider(s) shall use the Current Procedural Terminology (CPT), Health Care Procedure Coding System (HCPCS), and UB-04 Data Specifications Manual (for a complete listing of valid revenue codes) and any subsequent editions in effect at the time of service. Provider(s) shall refer to the applicable edition for the code description, as it is no longer documented in the policy.

If no such specific CPT or HCPCS code exists, then the provider(s) shall report the procedure, product or service using the appropriate unlisted procedure or service code.

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CPT Code(s)

Revenue Code(s)

HCPCS Code(s)
H0015

Unlisted Procedure or Service

CPT: The provider(s) shall refer to and comply with the Instructions for Use of the CPT Codebook, Unlisted Procedure or Service, and Special Report as documented in the current CPT in effect at the time of service.

HCPCS: The provider(s) shall refer to and comply with the Instructions for Use of HCPCS National Level II codes, Unlisted Procedure or Service and Special Report as documented in the current HCPCS edition in effect at the time of service.

D. Modifiers

Provider(s) shall follow applicable modifier guidelines.

E. Billing Units

Provider(s) shall report the appropriate code(s) used which determines the billing unit(s). Federally recognized tribal or Indian Health Service providers may be entitled to alternate reimbursement methodologies under Federal Law and Regulations.

SAIOP service is billed as an hourly unit.

PHPs, PIHPs and provider agencies shall monitor utilization of service by conducting service record reviews and internal audits of units of service billed. PHPs and PIHPs shall assess network providers' adherence to service guidelines to assure quality services for a beneficiary.

F. Place of Service

SAIOP is a licensed clinic-based service that must be provided in a facility licensed under 10A NCAC 27G .4400.

G. Co-payments

For Medicaid refer to Medicaid State Plan:

<https://medicaid.ncdhhs.gov/meetings-notices/medicaid-state-plan-public-notices>

H. Reimbursement

Provider(s) shall bill their usual and customary charges.

For a schedule of rates, refer to: <https://medicaid.ncdhhs.gov/>

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Outpatient therapy services can be billed separately when the beneficiary needs specialized therapy that cannot be provided by the SAIOP provider (Dialectical Behavioral Therapy, exposure therapy, Eye Movement Desensitization and Reprocessing).