Institutionalization Definitions

**Institutionalized for Transfer of Assets**: Individual receives institutional services (Nursing facility/ICF-MR/swing bed/inappropriate level of care) or services provided through a Program of All-Inclusive Care for the Elderly (PACE) or through the Community Alternatives Program (CAP).

**Institutionalized – Long Term Care Budgeting/Community Spouse Resource Allowance and Continuous Period of Institutionalization**: This is 30 consecutive days in a medical facility or combination of medical facilities. The facilities are nursing facility, intermediate care facility-mental retardation (ICF-MR), swing bed, inappropriate level of care in a hospital, or hospital acute level, and psychiatric residential treatment facility (PRTF).

**Institutional Living Arrangement**: Institution or establishment that provides food, shelter and some treatment to 4 or more persons who are not related to the owner. This includes nursing facilities, group homes, orphanages, adult care homes, residential treatment facilities.

### Lookback Date

**I. Starting point**: Date both the following conditions are met:

1. The a/r is institutionalized (refer to MA-2240, Transfer of Assets, for definition) or requests CAP or PACE, and
2. Applies for or receives Medicaid

**NOTE**: If lookback date has already been established, it does not change. Individuals who first applied for Medicaid 2/1/03 through 10/31/07 established their lookback date based on the first application in any Medicaid program category during that period. (See refer to MA-2240, Transfer of Assets)

**II. Lookback date**: Earliest point in time on or after which all transfers of resources are evaluated for sanction. The look back date is established by looking back for a specified time prior to the starting point. This time period now depends on when the starting point occurred:

#### Establishing Lookback Date

<table>
<thead>
<tr>
<th>Lookback Date</th>
<th>Starting Point prior to 11/1/10 or later, but prior to 11/1/12</th>
<th>Starting Point 11/1/10 or later, but prior to 11/1/12</th>
<th>Starting Point 11/1/12 or later</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lookback Date</td>
<td>3 years (36 months) prior to starting point for most transfers; 5 years (60 months) for transfers to trusts/annuities</td>
<td>November 1, 2007 for most transfers; 5 years prior to starting point for transfers to trusts/annuities</td>
<td>5 years prior to starting point for transfers of all types.</td>
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</tbody>
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