NC Department of Health and Human Services

COVID-19 Updates to Nursing Homes:
Testing, Reporting and Visitation

Office Hours, 10:00-11:00

October 8, 2020
Revised Office Hours Overview

• Goal is to establish resource for nursing homes to access nursing home-specific updates on DHHS COVID Activities.

• Today’s Topics:
  • Updates and clarifications
  • Q&A
  • COVID-19 Testing: Nursing Home Reporting Portal: Staff Roster
Updates and Reminders

Updates this Week

- NC DHHS Spreadsheet for LTFC Lab results posted.
- Long-term Care Guidance collected here.

Reminders this Week

Today is last of scheduled office hours. We will be extending one more week to cover additional guidance about Staff Roster Template and other financial reporting updates.

<table>
<thead>
<tr>
<th>Date</th>
<th>Reporting Period</th>
<th>Testing Weeks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monday, 10/12/2020</td>
<td>Reporting Period 5 (under SO No. 4) Begins</td>
<td>10/12/2020-10/18/2020 and 10/19/2020-10/25/2020</td>
</tr>
<tr>
<td>Monday, 10/19/2020</td>
<td>Due Date: Reporting Period 4 Testing Activity</td>
<td></td>
</tr>
<tr>
<td>Monday, 10/26/2020</td>
<td>Reporting Period 6 (under SO No.4) Begins</td>
<td>10/26/2020-11/1/2020 and 11/2/2020-11/8/2020</td>
</tr>
<tr>
<td>Monday, 11/2/2020</td>
<td>Due Date: Reporting Period 5 Testing Activity</td>
<td></td>
</tr>
<tr>
<td>Monday, 11/16/2020</td>
<td>Due Date: Reporting Period 6 Testing Activity</td>
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Additional reporting periods may be established depending on status of Secretarial Order No.4

<table>
<thead>
<tr>
<th>Date</th>
<th>Reporting Period</th>
<th>Testing Weeks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monday, 11/30/2020</td>
<td>Due Date: Reporting Period 7 Testing Activity</td>
<td></td>
</tr>
</tbody>
</table>
Aligning Federal and State Testing Requirements
Outbreak Duration and Testing under Secretarial Order No. 4

**OUTBREAK**

- No New Cases after 14 Days
  - Can go back to surveillance testing, following federal Community COVID-19 Activity Level*

- No New Cases after 28 Days
  - Will be removed from NC DHHS Congregate Care Outbreak List

*Please see CMS QSO-20-38-NH Transmittal

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*Please see CMS QSO-20-38-NH Transmittal*
COVID-19 Testing: Nursing Home Reporting

Updates on Portal Use and Reporting Activity under Secretarial Order No. 4
THANK YOU!

• For Reporting Period 3 submissions.
• For fast responses to our follow up questions.
• For asking questions and giving feedback that help us improve the process.
• For managing the unprecedented enormity of COVID.
Update: Once Submitted, Where Do I Go?

10/7/20 Update: This “Close Case” Option has been removed.

Finished? Another week to log? Go Home.
Guidance on Submitting Both POC and Vendor Testing Data

- What if you used lab and point of care in same week? Do you do 2 cases?
  - For reporting testing activity through the “Nursing Home COVID Test Reporting” option on the Portal, you only need to submit 1 case and just note “yes” to question reflected below.

  * Did facility a utilize Point of Care testing device for any of testing preformed this week?

  -- None --

  - For supporting financial documentation,
    - Please submit an entry for each testing method for which the facility is requesting an interim payment. If requesting payment for both vendor-based and POC testing, the facility would make 2 submissions, resulting in 2 cases.

  * Testing type

  ○ Lab Vendor  ○ Point of Care Device  ○ Other
Clarification for Combination Facilities (NH and ACH beds)

<table>
<thead>
<tr>
<th></th>
<th>Secretarial Order No. 2 Guidance</th>
<th>Secretarial Order No. 4 Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Staff Census</strong></td>
<td>*Staff who work in both sections of the facility, should be included.</td>
<td>Staff who work in both sections of the facility should be included. Staff who work exclusively in the adult care home section of the facility are not required to be included in the census, but if a facility tests and seeks reimbursement for tests, these staff should be included in both the census and testing counts.</td>
</tr>
<tr>
<td></td>
<td>*Staff who work in only the ACH section should be excluded.</td>
<td></td>
</tr>
<tr>
<td><strong>Resident Census</strong></td>
<td>Residents in adult care home beds within combination facility not expressly addressed in guidance.</td>
<td>State defers to facility on whether these residents are included in reported census. If included in testing count, should also be included in census.</td>
</tr>
<tr>
<td><strong>Allowable Payment</strong></td>
<td></td>
<td>Expanded to allow reimbursement of staff who work exclusively in ACH section if both sections in same building.</td>
</tr>
</tbody>
</table>
If our facility wants to continue to do bi-weekly testing even though our county is considered low, would we still receive financial support?

• Yes. A facility that elects to continue an every other week staff testing schedule, exceeding the schedule required for facilities in counties with low COVID-19 Community Activity levels,* may seek reimbursement to maintain every other week staff surveillance testing schedule.

* See CMS QSO-20-38-NH Transmittal
Staff Level Roster as Part of Reconciliation Process
Reminder of slides shared during August Training

Vendor Invoice/Roster

- Itemized vendor invoice or staff/HCP roster will be required as part of reconciliation (not required for interim payment).
- Vendor invoice/roster must include minimally
  - Staff level detail of number of tests performed and DOS.
- NC DHHS will develop roster template and distribute.
- If nursing home sought an interim payment for testing-related costs, the roster will need to include all HCPs tested, regardless of testing methodology.

Simplified Example for Illustration Only: Staff Roster Template

<table>
<thead>
<tr>
<th>Staff Roster</th>
<th>Tests performed for Dates of Service (listed here) Tests</th>
<th>Additional data elements as required by the Department ( TBD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Staff A</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>Staff B</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>Staff C</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>Staff D</td>
<td>4</td>
<td></td>
</tr>
</tbody>
</table>

NCDHHS | COVID-19 Testing Reporting under Federal Title 42 (45CFR300) REMED
Staff Roster, Modifications and Updates

• Due to the variance in invoice formats and types of testing now covered, each provider receiving interim payments under Secretarial Order No. 2 and No. 4 will provide a de-identified staff roster as part of its reconciliation process.

• Feedback from template circulated among “pilot” facilities (thank you!) is being considered and may result in a modified format to better meet facility’s current tracking.
  – Tracking by de-identified staff across Reporting Periods
  – Tracking by reporting period, listing de-identified staff
Staff Roster Fields

• De-identified Staff/HCP Reference
• # of tests that specific staff/HCP received during the testing week.
• The invoice number cross reference associated with those tests.
• The applicable case number associated with those tests.
Part of a Larger Reconciliation Process

• NC Medicaid is conducting “rolling reconciliation” of projected costs to actual cost submissions, as actuals are submitted.

• THANK YOU for not holding actual invoices once you receive them from vendors. Please submit as soon as practicable, as part of your Reporting Period submission.

• Additional guidance about submission deadlines and oversight guidance to be provided at a later date.

• Staff roster will be submitted as part of final reconciliation process.
Questions on Visitation
Questions on Point of Care Antigen Testing Reporting
Staying in Touch

• Reminder: Office Hours extended through next Thursday, 10/15/2020 at 10-11a to discuss Staff Roster template/other portal-related activities.

• For questions in the meantime about COVID-19 Testing: Nursing Home Portal, please email Medicaid.ProviderReimbursement@dhhs.nc.gov

• Will likely launch a final Office Hours series later in the year to assist with reconciliation guidance and as Secretarial Order No. 4 is due to expire.

• For questions about CMS federal requirements:
  – Cindy Deporter at cindy.deporter@dhhs.nc.gov or Becky Wertz at becky.wertz@dhhs.nc.gov
Questions and Answers