



**NC Department of Health and Human Services
Division of Health Benefits**

2023 - 2028 CAP/C Waiver Overview

CAP/C Waiver
Overview of Program Operations
April 2023

CAP/C Coverage Overview

Coverage

Application approval period:
March 1, 2023 – February 29, 2028

Target population: 0-20 medically fragile and chronically ill individuals who meet a nurse facility level of care(LOC)

3 service options:

- Provider-led
- Consumer-directed
- Coordinated Caregiving

Coverage

18 [home and community-based services](#)

Initial independent assessments planning

Legally responsible person to become paid caregiver, when qualifying conditions are met

Coordinated transition plan from COVID-19 flexibilities to regular program administration by Nov. 2023

Operational Processes

CAP/C Operations

Maximum participant enrollment

- 6,000 by 2028; 500 more slots each waiver year

Program enrollment requirements

- Referral
- Meet LOC
- Determination of need (initial independent assessment) to confirm medical fragility & identification of unmet needs/risk factors

Person-centered planning, service plan specific to family needs including goals & preferences

CAP/C Operations

Enrollment a service option

- Provider-led
- Consumer-directed
- Coordinated caregiving

Categories of home and community-based services:

- Hands-on
- Supportive services
- Modification services

Managing health, safety and well-being

- Monthly case management
- Quarterly face-to-face in-home visits

Person-Centered Planning

- A planning process directed by the waiver participant/caregiver that builds services around their strengths, preferences, and desired outcomes
- The planning process enables a personalized mix of paid and non- paid services and supports that assist achieving personally defined outcomes in the community



Service Options Descriptions

Provider-Led	Consumer-Directed	Coordinated Caregiving
<p>Hands on support for assistance with ADLs and IADLs managed by an In-Home agency or a Home Health Agency:</p> <ul style="list-style-type: none"> • CAP In-Home Aide • Pediatric Nurse Aide • Congregate Care • Respite services <p>A legally responsible person can seek employment with an In-Home/Home Health Agency to become the paid caregiver for the above listed services other than respite</p>	<p>Personal assistance with ADLs/IADLs and other identified needs as directed by waiver participant:</p> <ul style="list-style-type: none"> • CAP In-Home Aide • Pediatric Nurse Aide • Congregate care • Attendant Nurse Care • Respite services <p>A legally responsible person can qualify to be the paid employee for the above services other than respite Paid parent must assign someone to be the employer of record</p>	<p>Supportive services to assist with ADLs/IADLs, adaptive skill development and skill-level intervention:</p> <ul style="list-style-type: none"> • CAP In-Home Aide • Pediatric Nurse Aide • Congregate care • Attendant Nurse Care <p>A stipend is paid to a live-in caregiver for providing supportive care to the waiver participant. Respite services can be arranged for the live-in caregiver.</p>
<p>Meet the hiring requirements of the In-Home Aide/Home Health Agency</p>	<p>Meet the competency assessment requirements and be CPR certified</p>	<p>Live in same home and willing to be a live-in caregiver</p>

Person-centered Choices

Hands-on service options minimal hiring requirements

Provider-Led	Consumer Directed	Coordinated Caregiving
<p>CAP In-Home Aide worker:</p> <ul style="list-style-type: none"> • CNAI or CNAII • 18 years and older <p>Pediatric Nurse Aide worker:</p> <p>CNAII</p> <p>18 years old and older</p> <p>Congregate Care worker</p> <ul style="list-style-type: none"> • CNAI or CNAII • 18 years old and older <p>Respite services</p> <ul style="list-style-type: none"> • 18 years old and older • Not a primary caregiver or live-in relative 	<p>CAP In-Home Aide worker</p> <p>Pediatric Nurse Aide worker</p> <p>Congregate Care worker:</p> <ul style="list-style-type: none"> • Meet competency assessment requirements • 18 years and older <p>Attendant Nurse Care worker:</p> <ul style="list-style-type: none"> • RN • LPN supervised by RN • 18 years old and older <p>Respite services</p> <ul style="list-style-type: none"> • 18 years old and older • Not a primary caregiver or live-in relative 	<p>CAP In-Home Aide acuity level</p> <p>Pediatric Nurse Aide acuity level</p> <p>Congregate care worker</p> <p>Attendant Nurse Care:</p> <ul style="list-style-type: none"> • *18 years old and older • Live in the same home <p>Respite services</p> <ul style="list-style-type: none"> • 18 years old and older • Not a primary caregiver or live-in relative <p>* Can be a teen parent</p>

Legally responsible person wanting to be the paid caregiver must meet the [extraordinary criteria](#)

Person-Centered Choices

Differences between Coordinated Caregiving and CD

Consumer Directed	Coordinated Caregiving
<ul style="list-style-type: none">• Be willing and qualified to direct care through evidence of a self-directed questionnaire• Recruit, hire and manage self-recruited staff• Set negotiated wages of staff• Train and supervise staff• Work with a financial manager to pay employees and assure budget management• Must comply with minimum hiring requirements	<ul style="list-style-type: none">• Live in same home with waiver participant• Ability to meet waiver participant needs at any time during the day• Receive coaching and supervision from a supporting agency• Doesn't have to be a RN or LPN if child has complex, continuous care that requires skill interventions.

Person-Centered Choices

Helping families chose their service option

Consumer Direction	Coordinated Caregiving
<ul style="list-style-type: none">• Time and opportunity to:<ul style="list-style-type: none">• Create job descriptions• Recruit and solicit employees• Evaluating skills and experience to set a wage for employees• Working closely with entities to manage employees and ensuring employees following work plan and program rules• Report fraud, abuse and exploitation• Keep case manager aware of needs and progression of waiver participant• Keep in close contact with medical professionals to ensure medical needs of child is being met and addressed	<ul style="list-style-type: none">• Live in the same home of the waiver participant• Willingness to be available for care needs of the child when needed• Willingness to accept support and coaching from a supporting entity to meet the needs of the waiver participant• Keep case manager and supporting entity informed of care needs and changing needs of the waiver participant

Qualified Providers

Provider-Led	Consumer-Directed	Coordinated Caregiving
<p>CAP In-Home Aide – In-Home Agency licensed by DHSR</p> <p>Pediatric Nurse Aide – Home Health or In-Home Agency licensed by DHSR</p> <p>Respite services – Home Health or In-Home Agency licensed by DHSR</p> <p>Enrolled as a NC Medicaid provider and adhere to interest-free service provision</p> <p>Service authorization through the e-CAP system</p> <p>Reimbursement: 15 min. fee-for-service</p>	<p>CAP In-Home Aide and Pediatric Nurse Aide - a signed employer/employee agreement and clearance from a background check</p> <p>Attendant Nurse Care Listed by BON as a registered nurse (RN) or a licensed practical nurse (LPN), a signed employer/employee agreement and clearance from a background check</p> <p>Service authorization to financial management entity through e-CAP systems</p> <p>Reimbursement: 15 min. fee-for-service</p>	<p>Qualified agencies and Home Health Agency licensed by DHSR and have access to RN, LPNs, behavioral health specialists, and allied health services professionals</p> <p>Enrolled as a NC Medicaid provider and adhere to interest-free service provision</p> <p>Service authorization through the e-CAP systems</p> <p>Reimbursement: daily rate for assessed level of acuity (low-moderate/high/skilled)</p>

Employee Qualifications

Provider-Led	Consumer-Directed	Coordinated Caregiving
<p>CAP In-Home Aide – CNA I</p> <p>Pediatric Nurse Aide – CNA I or CNA II or competencies approved by a RN</p> <p>Respite services CNA I or CNA II or ability as evaluated to carry out required tasks</p> <p>Meet hiring requirements of the In-home or Home Health Agency</p> <p>Reimbursement: agreed upon wages with In-home or Home Health Agency</p> <p>Limitations: max 40 hours/week and meet ability to meet care needs of waiver participant</p>	<p>CAP In-Home Aide and Pediatric Nurse Aide – meet hiring requirements of the employer of record and passes background check (criminal and registry)</p> <p>Attendant Nurse Care Listed by BON as a registered nurse (RN) or a licensed practical nurse (LPN), and have the number of years of experience and passes a background check (criminal and registry)</p> <p>Reimbursement: Negotiated hourly rate between employer and employee</p> <p>Limitations: max 40 hours/week and ability to meet care needs of waiver participant</p> <p>Paid parent must assign EOR</p>	<p>Lives in the home of the waiver participant</p> <p>Reimbursement: daily stipend for assessed level of acuity (low-moderate/high/skilled)</p> <p>Limitation: standby availability</p>

Parent Qualifications to be Paid

- Legally responsible person can become a paid caregiver when the following conditions are met:
 - When a legally responsible person or a live-in relative is interested in becoming the paid caregiver under provider-led or consumer-directed services, the following qualifying extraordinary circumstances must apply:
 - There are no available CNAs in the waiver participant's county or adjunct counties through a Home Health Agency/In-Home Aide Agency due to a lack of qualified providers, and the waiver participant needs extensive to maximal assistance with bathing, dressing, toileting and eating daily to prevent an out-of-home placement.
 - The waiver participant requires short-term isolation, 90-days or less, due to experiencing an acute medical condition/health care issue requiring extensive to maximal assistance with bathing, dressing, toileting and eating, and the waiver participant chooses to receive care in their home instead of an institution.
 - The waiver participant requires physician-ordered 24-hour direct observation and/or supervision specifically related to the primary medical condition(s) to assure the health and welfare of the participant and avoid institutionalization, and the legal guardian is not able to maintain full or part-time employment due to multiple absences from work to monitor and/or supervise the waiver participant; regular interruption at work to assist with the management of the waiver participant's monitoring/supervision needs; or an employment termination.
 - The waiver participant has specialized health care needs that can be only provided by the legal guardian, as indicated by medical documentation, and these health care needs require extensive to maximal assistance with bathing, dressing, toileting and eating to assure the health and welfare of the participant and avoid institutionalization.
 - Other documented extraordinary circumstances not previously mentioned that places the waiver participant's health, safety and well-being in jeopardy resulting in an institutional placement.

Categories of HCBS

Hands-on	Supportive Services	Modification Services
<p>Personal care type services:</p> <ul style="list-style-type: none"> • *Attendant Nurse Care • CAP In-Home Aide • Congregate Care • *Coordinated Caregiving • Pediatric Nurse Aide • Respite services <p>Managed through the options below:</p> <ul style="list-style-type: none"> • Provider-led • Consumer-directed • Coordinated caregiving 	<ul style="list-style-type: none"> • Care management/care coordination • Community transition • *Community integration • Financial management services • *Individual/participant goods and services • Non-medical transportation • Nutritional supplements • Pest eradication • Specialized medical equipment and supplies • Training, Educational and Consultative services 	<ul style="list-style-type: none"> • Assistive Technology • Home accessibility and adaptive services • Vehicle modification <p>Service requests for new budgets are being processed</p>

Justification of need for these services are made through an evaluation (initial or annual assessment) or collaborative input from the multidisciplinary team

Rollout/Implementation Plan

Implementation Timeline



Supporting Waiver Participant

- The PHE expires on May 11, 2023, Appendix K ends six months after the expiration of the PHE
- Transition planning discussion will begin in May 2023 with each waiver participant during their annual reassessments.
- Discussion will assist in evaluating health and well-being that leads to a plan of care
- Transition to the new service options may be implemented when there are no gaps in service provision or pay and when qualifying conditions are met to be a paid caregiver
- Individuals who had a CNR before May 2023, will be assigned a transition month to begin their transition planning discussion

Virtual Training Schedule

- Virtual training schedule for Providers, CMEs and FMS:
 - For **all provider** - Willing and Qualified provider of Hands-on services; April 28 at 3 pm
 - Registration link:
<https://attendee.gotowebinar.com/register/3757656185287168342>
 - For **CMEs only** – What I need to know as a CAP Case Manager; May 8 at 10 am
 - Registration link:
<https://attendee.gotowebinar.com/register/581328720851656282>
 - **For Financial Management Agencies only**; May 11 at 1-2:30 pm - Assisting families to direct their care through consumer-directed services
 - Registration link:
<https://attendee.gotowebinar.com/register/112766343159979866>

Virtual Training Schedule

- Virtual training schedule for **beneficiaries/families only**:
 - Becoming a paid caregiver for **In-Home and Pediatric Nurse Aide services**; May 17 at 9 am and 12 pm
 - Registration links:
<https://attendee.gotowebinar.com/rt/579766314828632156>
 - **Attendant Nurse Care**, hiring my own nurse; May 22 at 9 am and 12 pm
 - Registration links:
<https://attendee.gotowebinar.com/rt/1494903036766167388>
 - What is **Coordinated Caregiving** and how does it work? May 24 at 9 am and 12 pm
 - Registration links:
<https://attendee.gotowebinar.com/rt/6874293362474229086>



All questions will be compiled and addressed in a FAQ document.

Please type your questions in the chat or raise your hand to state a question.